

RESPIRATORY PROTECTION GUIDANCE

for the Employers of Those Working in Nursing Homes, Assisted Living, and Other Long-Term Care Facilities During the COVID-19 Pandemic



OSHA is committed to protecting the health and safety of America's workers. This guidance is designed specifically for nursing homes, assisted living, and other long-term care facilities (LTCFs) (e.g., skilled nursing facilities, inpatient hospice, convalescent homes, and group homes with nursing care). LTCFs are different than other healthcare settings because they assist residents and clients with tasks of daily living in addition to providing skilled nursing care.

While this guidance focuses on protecting workers from occupational exposure to SARS-CoV-2 (the virus that causes COVID-19 disease) by the use of respirators, primary reliance on engineering and administrative controls for controlling exposure is consistent with good industrial hygiene practice and with OSHA's traditional adherence to a "hierarchy of controls."¹ Under this hierarchy, engineering and administrative controls are preferred to personal protective equipment (PPE). Therefore, employers should always reassess their engineering controls (e.g., ventilation) and administrative controls (e.g., hand hygiene, physical distancing, cleaning/disinfection of surfaces) to identify any changes they can make to avoid over-reliance on respirators and other PPE (see CDC's COVID-19 webpage on *Nursing Homes and Long-Term Care Facilities*). This is especially vital considering the current supply chain demand for N95 filtering facepiece

¹ More information about the hierarchy of controls can be found at: <u>https://www.osha.gov/shpguidelines/hazard-prevention.html</u>.

respirators (FFRs). Additional control strategies for preventing exposure to SARS-CoV-2 in LTCFs can be found in OSHA's <u>COVID-19 Guidance for</u> <u>Nursing Home and Long-Term Care Facility Workers</u> and CDC's <u>Nursing</u> <u>Homes and Long-Term Care Facilities</u>.

Even when control strategies are in place, PPE, including respirators, will be needed for workers when close contact with someone who is known or suspected of having COVID-19 cannot be avoided. Whenever respirators are required, employers must implement a written, worksite-specific respiratory protection program (RPP), including medical evaluation, fit testing,² training, and other elements, as specified in <u>OSHA's Respiratory Protection standard</u> (29 CFR 1910.134). OSHA requirements for other PPE (e.g., eye protection, protective clothing) can be found in OSHA's <u>General PPE standard</u> (29 CFR 1910.132) and <u>Eye and Face Protection standard</u> (29 CFR 1910.133).



Face Coverings, Facemasks Authorized for Use as Source Control by the FDA, FDA-cleared or Authorized Surgical Masks, and Respirators

There are multiple products/devices that can be used during the COVID-19 pandemic to cover a wearer's mouth and nose, and it is important to select the right one for the situation. These products/devices can provide source control, and some of them are also considered PPE that will protect the wearer as well. Source control refers to the use of a product/device to cover a person's mouth and nose to reduce the spread of respiratory secretions and aerosols when that person is breathing, talking, sneezing, or coughing. Because of the potential for asymptomatic and pre-symptomatic transmission, source control measures are currently recommended for everyone in healthcare facilities, including in LTCFs, even if they do not have symptoms of COVID-19. Healthcare providers should wear source control products/devices at all times while they are in a LTCF, including in breakrooms or other spaces where they might encounter other people, including co-workers. The source control product/device should be appropriate for the anticipated exposure(s). These products/devices include:

Cloth Face Coverings: These are homemade or commercially available products made of cloth that cover the nose and mouth. Cloth face coverings should NOT be worn instead of an FDA-cleared or authorized surgical mask if protection against exposure to splashes and sprays of infectious material from others is needed. Cloth face coverings do not provide effective respiratory protection for workers

² Note: In its March 14, 2020, memo (https://www.osha.gov/memos/2020-03-14/temporary-enforcement-guidance-healthcare-respiratoryprotection-annual-fit), OSHA has articulated a temporary policy under which OSHA will exercise enforcement discretion with respect to the annual fit testing requirements in paragraph (f)(2) of 29 CFR § 1910.134 for FFRs used in healthcare, as long as the employer conducts initial fit tests for each healthcare professional with the same model, style, and size respirator that the worker will be required to wear for protection against SARS-CoV-2. Initial fit testing is essential to determine if the respirator properly fits the worker and is capable of providing the expected level of protection.

when protection against airborne hazards is needed, and do not fall under OSHA's <u>Respiratory</u> <u>Protection standard</u>. They are not considered PPE for the wearer, but can assist in source control. LTCF patients and visitors should wear their own cloth face covering upon arrival at and throughout their stay in a LTCF for source control.³ If they do not have a cloth face covering, they should be offered a facemask, surgical mask, or cloth face covering by the LTCF, as supplies allow.

- Facemasks: These products look similar to, and are often mistaken for, surgical masks, but do not provide fluid resistance. They do not provide effective respiratory protection for workers when protection against airborne hazards is needed, and do not fall under OSHA's <u>Respiratory Protection standard</u>. They are not considered PPE for the wearer, but can assist in source control. The FDA has authorized the emergency use of facemasks, including cloth face coverings, that meet certain criteria for use as source control by the general public and healthcare personnel in accordance with CDC recommendations during the COVID-19 public health emergency. An example of this type of product would be a KN95 respirator with ear loops instead of head straps and that has not undergone rigorous fit testing to demonstrate a proper fit/effective seal to the wearer's face.
- FDA-cleared or authorized surgical masks: Surgical masks are cleared, or are authorized for emergency use, by the FDA and are jointly regulated by OSHA under the <u>PPE standard</u> (29 CFR 1910.132) and the <u>Bloodborne Pathogens standard</u> (29 CFR 1910.1030). When available, FDA-cleared or authorized surgical masks are preferred over cloth face coverings for healthcare workers, as they offer both source control and protection for the wearer against exposure to splashes and sprays of infectious material from others. They are loose-fitting devices that do not provide effective respiratory protection for workers when the wearer might be exposed to airborne hazards, and do not fall under OSHA's Respiratory Protection standard.



N95 respirators and surgical masks

Respirators (including FDA-cleared or authorized surgical

N95 FFRs): Healthcare providers who are in close contact with an LTCF resident with suspected or confirmed SARS-CoV-2 infection must use a NIOSH-approved N95 FFR or equivalent or higher-level respirator (<u>29 CFR 1910.134</u>). When protection against exposure to splashes and sprays of infectious material from others is also needed, an FDA-cleared or authorized surgical N95 FFR must be worn by healthcare workers (<u>29 CFR 1910.134</u> and <u>29 CFR 1910.1030</u>). Surgical N95 respirators provide the same level of respiratory protection as a N95 respirator; however, a surgical N95 respirator meets the FDA requirements for fluid penetration, flammability, and biocompatibility (see 21 CFR 878.4040(b)(1)). OSHA regulates respirators under the <u>Respiratory Protection standard</u> (29 CFR 1910.134). In order for

³ CDC's most up-to-date recommendations for the general public (vs. healthcare workers) on how to select, wear, and clean a face covering can be found at https://www.cdc.gov/coronavirus/2019-ncov/prevent-getting-sick/about-face-coverings.html).

a respirator to provide the expected level of protection, it must be used in the context of a respiratory protection program (<u>29 CFR 1910.134</u>).

For additional information see CDC's *Interim Infection Prevention and Control Recommendations for Healthcare Personnel During the Coronavirus Disease 2019 (COVID-19) Pandemic*.

Respiratory Protection Program (RPP)

Employers can refer to OSHA's <u>Small Entity Compliance Guide for the</u> <u>Respiratory Protection Standard</u> for a better understanding of OSHA's Respiratory Protection standard. The guide includes step-by-step instructions for compliance with the standard, checklists, and commonly-asked questions, as well as a sample written RPP. The key elements of an RPP that employers must implement when any of their staff are required to wear respirators include the following:

Assign a suitably trained program administrator to oversee all elements of the RPP. This can be an infection prevention and control practitioner or a nurse administrator. If there are no staff members suitably trained to be the program administrator, consider hiring a local industrial hygiene consulting correlate to help optical as DDD or control OSUM's On Site Correlation



consulting service to help establish a RPP or contact OSHA's <u>On-Site Consultation Program</u>.⁴

- Implement and maintain a written RPP that details worksite-specific procedures and elements for required respirator use (e.g., medical evaluation, fit testing, training, maintenance, etc.). Certain program elements may also be required by OSHA for voluntary respirator use in order to prevent potential hazards associated with the use of a respirator.
- Conduct a risk assessment to identify which workers are at risk of exposure to any airborne hazards (e.g., SARS-CoV-2, tuberculosis [TB], Legionella, certain hazardous chemicals). Such workers could include: any staff (whether clinical or not) in close contact (less than 6 feet) with residents with confirmed or suspected COVID-19 (e.g., during bathing, dressing, toileting, and direct clinical care); clinical staff performing aerosol-generating procedures⁵ (e.g., respiratory therapy, open suctioning of airways, BiPaP and CPAP); cleaning staff; maintenance staff; and visiting practitioners (e.g., physicians or physical therapists who do not normally work at that facility). Note: For classifying exposure risk to SARS-CoV-2, OSHA has divided job tasks into four risk exposure levels, as depicted in the <u>occupational risk pyramid for COVID-19</u>.

⁴ OSHA's On-Site Consultation Program offers no-cost and confidential occupational safety and health services to small- and medium-sized businesses in all 50 states, the District of Columbia, and several U.S. territories, with priority given to high-hazard worksites. On-Site Consultation services are separate from enforcement and do not result in penalties or citations. Consultants from state agencies or universities work with employers to identify workplace hazards, provide advice for compliance with OSHA standards, and assist in establishing and improving safety and health programs.

⁵ See "Which Procedures Are Considered Aerosol Generating Procedures in Healthcare Settings?" at: <u>https://www.cdc.gov/coronavirus/2019-ncov/</u> hcp/faq.html

- Implement procedures for selecting the appropriate type of respirator(s) for the hazard, whether it be an infectious agent (e.g., SARS-CoV-2) and/or a hazardous chemical. The program administrator is responsible for identifying which type(s) of respirator is suitable based on the hazard(s), workplace factors, and user factors. OSHA's <u>Small Entity Compliance Guide for the Respiratory Protection Standard</u> can be a useful tool for assisting in general respirator selection.
- Select from NIOSH-approved respirators and be cautious of counterfeit respirators, which often come to the commercial market during pandemics. Employers can access NIOSH's <u>NIOSH-Approved N95</u> <u>Particulate Filtering Facepiece Respirators</u> and <u>Counterfeit Respirators / Misrepresentation of NIOSH-Approval</u> to determine if the respirator model they are considering is NIOSH-approved.
- During times like the present pandemic, when there are increased demands on the supply chain for N95 FFRs, consider alternatives to N95 FFRs, including other FFRs (e.g., P100s, N99s), reusable elastomeric (rubber) respirators, and powered air purifying respirators (PAPRs). While the initial investment for elastomeric respirators and PAPRs may be greater than for N95 FFRs, purchasing these types of respirators can often lead to cost savings over the long-term since they are reusable and can also help reduce the impact of supply chain disruptions. In addition, loose-fitting PAPRs do not require fit testing, which can lead to further cost and time savings for employers. For additional information on the advantages and limitations of using elastomeric respirators and PAPRs during COVID-19, refer to CDC's <u>Elastomeric Respirators: Strategies During Conventional and Surge Demand Situations</u> and CDC's <u>Considerations for Optimizing the Supply of Powered Air-Purifying Respirators (PAPRs)</u>.
- Choose eye and face protection that can be worn safely together with the type of respirator being used, meaning that care must be taken to ensure that the eye or face protection will not interfere with the seal of the respirator.
- Implement procedures for performing medical evaluations of workers required to use respirators to determine their ability to safely wear a respirator prior to needing to wear one in the workplace. Identify a physician or other licensed healthcare professional to conduct the medical evaluations and maintain confidentiality.
- Ensure that any worker using a tight-fitting respirator (e.g., N95 FFR) is fit-tested prior to initial use of the respirator, whenever a different respirator size, style, model or make is used, and at least annually thereafter. Passing a fit-test is important because it ensures that the size, make, and model of the respirator can provide a proper facial seal to offer the expected level of protection to the wearer.
- Ensure that only OSHA-approved fit test protocols (which can be found in <u>29 CFR 1910.134</u>, <u>Appendix</u> <u>A</u>) are used for fit testing.² If you are having difficulty obtaining commercially available fit-testing solutions required for some qualitative fit tests due to limited commercial supplies, refer to OSHA's/ NIOSH's guidance for <u>Preparing Solutions for Qualitative Fit Testing from Available Chemicals</u>, or consider switching to a quantitative fit test protocol or contracting with a reputable occupational health clinic that provides fit-testing services.

 Establish procedures and schedules for the maintenance and storage of any respirators used for more than a single use (e.g., procedures for cleaning, disinfecting, storing, repairing, discarding). Note that while N95 FFRs are meant to be discarded after each use, CDC has developed contingency and crisis strategies, including reuse and decontamination of N95 FFRs, to help healthcare facilities conserve their supplies in the face of shortages. For additional information, refer to CDC's *Implementing Filtering Facepiece Respirator (FFR) Reuse, Including Reuse after Decontamination, When There Are Known Shortages of N95 Respirators* and CDC's *Elastomeric Respirators: Strategies During Conventional and Surge Demand Situations*.



- Provide effective training to workers required to wear respirators. Training must be conducted in a manner that is understandable to workers, meaning that your training program should be tailored to the education level and language background of your workers.
- Train workers who wear respirators on: how to properly put them on and take them off; how to conduct proper user seal checks; how to recognize respiratory hazards in their workplace; the limitations and capabilities of respirators; and how to recognize the medical signs and symptoms that may prevent or limit effective respirator use. Ensure that they can demonstrate the knowledge to safely and correctly use their respirators.
- Conduct periodic evaluations of the workplace to ensure that your written RPP is being properly implemented and is up-to-date, and to ensure that workers are using their respirators properly. Solicit input from workers (and union representatives, if applicable) to provide feedback on the program.

Temporary Enforcement Discretion Related to the Respiratory Protection Standard During COVID-19

In light of the essential need for adequate supplies of respirators during the COVID-19 pandemic, OSHA has temporarily allowed for some enforcement flexibility regarding respirators - *including certain fit testing provisions*,² *the use of respirators that are beyond their manufacturer's recommended shelf life, extended use and reuse of respirators, the use of alternative respirators certified under standards of certain other countries and jurisdictions, and decontamination of respirators - as is described in detail in various temporary enforcement memoranda, which can be found on <u>OSHA's COVID-19 webpage</u>. In order for OSHA to exercise enforcement discretion, employers must demonstrate and document good-faith efforts to comply with OSHA standards, as outlined in the same memoranda and summarized in <u>Understanding</u> <u>Compliance with OSHA's Respiratory Standard During the Coronavirus Disease (COVID-19) Pandemic</u>. OSHA's temporary enforcement memoranda are time-limited to the current COVID-19 crisis and are aligned with CDC's <u>Strategies for Optimizing the Supply of N95 Respirators</u>, which recommend a variety*

of conventional, contingency, and crisis capacity control strategies. Enforcement discretion applies only after an employer has considered and taken all possible steps to comply with measures in a particular control strategy. LTCF employers should periodically refer to <u>OSHA's COVID- 19 webpage</u> for the most up-to-date interim/temporary enforcement discretion memoranda and guidance.

Additional Resources

- OSHA's Guidance on Preparing Workplaces for COVID-19 (<u>https://www.osha.gov/Publications/</u> <u>OSHA3990.pdf</u>)
- OSHA's Respiratory Protection Training Videos (<u>https://www.osha.gov/respiratory-protection/</u> <u>training</u>)
- NIOSH's Healthcare Respiratory Protection Resources (<u>https://www.cdc.gov/niosh/npptl/</u> <u>hospresptoolkit/default.html</u>)
- NIOSH's & OSHA's Hospital Respiratory Protection Program Toolkit (<u>https://www.cdc.</u> <u>gov/niosh/docs/2015-117/default.html</u>)
- OSHA's Inspection Procedures for the Respiratory Protection Standard (<u>https://www.osha.gov/sites/default/files/enforcement/</u><u>directives/CPL_02-00-158.pdf</u>)
- FDA's Decontamination Systems for Personal Protective Equipment EUAs (<u>https://www.fda.gov/medical-devices/</u> <u>coronavirus-disease-2019-covid-19-</u> <u>emergency-use-authorizations-medical-</u> <u>devices/decontamination-systems-person-</u> <u>al-protective-equipment-euas</u>)
- The Joint Commission's Implementing Hospital Respiratory Protection Programs: Strategies from the Field (<u>https://www.jointcommission.org/resources/</u> <u>patient-safety-topics/infection-prevention-</u> <u>and-control/respiratory-protection/</u>)

- NIOSH's Respirator Trusted-Source Information (https://www.cdc.gov/niosh/npptl/topics/ respirators/disp_part/respsource3surgicaln95. html)
- NIOSH's National Personal Protective Technology Laboratory (<u>https://www.cdc.gov/</u> <u>niosh/npptl/default.html</u>)
- NIOSH's Education and Research Centers (<u>https://www.cdc.gov/niosh/oep/ercportfolio.</u> <u>html</u>)
- National Institute for Environmental Health Sciences' Worker Training Program (<u>https://</u> <u>www.niehs.nih.gov/careers/hazmat/awardees/</u> <u>index.cfm</u>)
- Professional industrial hygiene and occupational health associations (e.g., <u>AIHA</u>)
- Certified industrial hygienists/health and safety consultants
- Local or state Departments of Health/Public Health
- Respirator manufacturers

