ABSTRACT

Purpose: This Notice implements OSHA’s Site-Specific Targeting 2011 (SST-11) inspection plan, and replaces the August 18, 2010 Notice that implemented OSHA’s Site-Specific Targeting 2010 (SST-10) inspection plan. This program does not include construction worksites.

Scope: OSHA-wide.

References: OSHA Instruction CPL 02-00-135, Recordkeeping Policies and Procedures Manual (RKM), December 30, 2004; OSHA Instruction CPL 02-00-025, Scheduling System for Programmed Inspections, January 4, 1995; OSHA Instruction CPL 02-00-150, Field Operations Manual (FOM), April 22, 2011; OSHA Instruction CSP 01-00-025, State Plan Policies and Procedures Manual, March 21, 2001; and OSHA Instruction CSP 03-02-002, OSHA Strategic Partnership Program for Worker Safety and Health, December 9, 2004 (effective February 10, 2005).

Cancellations: OSHA Notice 10-06 (CPL 02), Site-Specific Targeting 2010 (SST-10), August 18, 2010.

Expiration Date: One year from the effective date, unless replaced earlier by a new Notice. Upon the expiration or replacement of this Notice, inspection cycles already underway must be completed as provided in section XI.B., fourth paragraph.
State Impact: Notice of Intent and Equivalency required. State Plan documentation of its current general industry targeting system required if not current. See paragraph VII.B, Federal Program Change.

Action Offices: National, Regional, and Area Offices.

Originating Office: Directorate of Enforcement Programs

Contact: Directorate of Enforcement Programs
Office of General Industry Enforcement
200 Constitution Avenue, NW, N3119
Washington, DC 20210
202-693-1850

By and Under the Authority of

David Michaels, PhD, MPH
Assistant Secretary
Executive Summary

This Notice cancels and replaces OSHA Notice 10-06 (CPL 02), *Site-Specific Targeting 2010 (SST-10)*, issued August 18, 2010. Except for construction inspections, which are not conducted pursuant to the SST inspection plan, this Notice explains OSHA’s major, current site-specific targeting inspection program.

This Notice defines key terms, describes the three inspection lists, provides scheduling and inspection procedures, and gives information on OSHA coding. Three appendices give information on the industry groups included in the 2010 OSHA Data Initiative (ODI), provide a checklist for compliance safety and health officers (CSHOs), and instruct Area Offices on how to use the Inspection Targeting website.

Significant Changes

- Uses CY 2009 injury and illness data to compile the targeting lists.
- Changes the establishment employee size from 40 to 20.
- Incorporates a study to determine the impact of SST inspections on injury/illness rates and compliance for establishments.
# TABLE OF CONTENTS

I. PURPOSE .................................................................................................................................................. 1

II. SCOPE ........................................................................................................................................................ 1

III. REFERENCES ........................................................................................................................................ 1

IV. CANCELLATIONS .................................................................................................................................. 2

V. EXPIRATION DATE .................................................................................................................................. 2

VI. ACTION INFORMATION .................................................................................................................... 2
   A. RESPONSIBLE OFFICE ......................................................................................................................... 2
   B. ACTION OFFICES ................................................................................................................................. 2
   C. INFORMATION OFFICES ................................................................................................................... 2

VII. STATE PLAN IMPACT .......................................................................................................................... 3

VIII. BACKGROUND .................................................................................................................................. 5
   A. OSHA’S PROGRAMMED INSPECTION PROGRAMS ......................................................................... 5
   B. CREATING THE SST INSPECTION LISTS ......................................................................................... 5
   C. SIC and NAICS CODES ....................................................................................................................... 6

IX. DEFINITIONS ......................................................................................................................................... 6
   A. COMPREHENSIVE INSPECTION .......................................................................................................... 6
   B. DATA INITIATIVE .................................................................................................................................. 6
   C. DAYS AWAY, RESTRICTED, OR TRANSFERRED (DART) RATE ...................................................... 6
   D. DAYS AWAY FROM WORK INJURY AND ILLNESS (DAFWII) CASE RATE ...................................... 7
   E. ESTABLISHMENT ............................................................................................................................... 7
   F. PARTNERSHIP ....................................................................................................................................... 8

X. DESCRIPTION OF THE SITE-SPECIFIC TARGETING 2011 (SST-11) PLAN ......................................... 8
   A. PRIMARY INSPECTION LIST ................................................................................................................ 8
   B. SECONDARY INSPECTION LIST ......................................................................................................... 10
   C. TERTIARY INSPECTION LIST ........................................................................................................... 11
   D. EVALUATION STUDY OF THE IMPACT OF SST INSPECTIONS .................................................... 11
   E. INDUSTRIES WITHOUT PERMANENT WORKPLACES ................................................................ 12
   F. ESTABLISHMENTS WITH FEWER THAN 20 EMPLOYEES ........................................................... 12
   G. DIFFERENT SIC/NAICS CODES ...................................................................................................... 13
   H. DIFFERENT ADDRESS THAN ON ODI DATA SHEET ................................................................. 13
   I. TWO OR MORE ESTABLISHMENTS IN ODI DATA ....................................................................... 13
   J. OFFICE-ONLY SITES ....................................................................................................................... 14

XI. SCHEDULING ..................................................................................................................................... 15
   A. MAINTAINING INSPECTION LISTS/CYCLES AND DOCUMENTATION ........................................... 15
   B. CYCLE SIZE ....................................................................................................................................... 16
   C. USE OF SST SOFTWARE .................................................................................................................... 16
   D. DEFERRALS ....................................................................................................................................... 16
   E. INSPECTION PRIORITY ....................................................................................................................... 18

XII. DELETIONS .......................................................................................................................................... 19
   A. PREVIOUS INSPECTIONS .................................................................................................................. 19
XIII. INSPECTION PROCEDURES ................................................................. 21
   A. Scope ................................................................................................. 21
   B. Verify SIC/NAICS Code ................................................................. 24
   C. Citations ......................................................................................... 24
   D. Recordkeeping Violations ............................................................ 24
   E. Compliance Officers ..................................................................... 25
   F. Ownership .................................................................................... 25
   G. Calculate DART and DAFWII .................................................... 26

XIV. RELATIONSHIP TO OTHER PROGRAMS ...................................... 27
   A. Unprogrammed Inspections ....................................................... 27
   B. Emphasis Programs ...................................................................... 27
   C. Process Safety Management Inspections ...................................... 28

XV. RECORDING AND TRACKING ...................................................... 29
   A. SST-Only Inspections ................................................................. 29
   B. SST Combined with Unprogrammed Inspections ..................... 29
   C. SST Combined with Emphasis Program Inspections ................ 29
   D. SST Combined with Unprogrammed and Other Programmed Inspections ......................................................... 29
   E. Strategic Management Plan ....................................................... 29

XVI. DUN & BRADSTREET'S NUMBER .................................................... 30

APPENDIX A – DESCRIPTION OF INDUSTRY GROUPS INCLUDED IN ODI 2010 .................. A-1

APPENDIX B – COMPLIANCE OFFICER CHECKLIST ............................................ B-1

APPENDIX C – SST WEBSITE .................................................................. C-1
I. Purpose.

This Notice implements OSHA’s Site-Specific Targeting 2011 (SST-11) inspection plan, and replaces the August 18, 2010 Notice that implemented OSHA’s Site-Specific Targeting 2010 (SST-10) inspection plan. This program does not include construction worksites.

II. Scope.

This Notice applies OSHA-wide.

III. References.


C. 29 CFR Part 1908, Consultation Agreements.

D. Revisions to the Voluntary Protection Programs to Provide Safe and Healthful Working Conditions, Federal Register, January 9, 2009 (74 FR 927).

E. Agency Information Collection Activities; Announcement of OMB Approval, Federal Register, April 23, 2004 (69 FR 22096).

F. OSHA Directives.

   • ADM 03-01-005, OSHA Compliance Records, August 3, 1998.

   • CPL 02-00-025, Scheduling System for Programmed Inspections, January 4, 1995.

   • CPL 02-00-051, Enforcement and Limitations under the Appropriations Act, May 28, 1998.

   • CPL 02-00-150, Field Operations Manual (FOM), April 22, 2011.


   • CPL 02-00-149, Severe Violator Enforcement Program (SVEP), June 18, 2010.


• **CPL 10-05 (CPL 02)**, *PSM Covered Chemical Facilities National Emphasis Program*, July 8, 2010.


• **CSP 03-02-002**, *OSHA Strategic Partnership Program for Worker Safety and Health*, December 9, 2004 (effective February 10, 2005).


IV. **Cancellations.**

OSHA Notice 10-06 (CPL 02), *Site-Specific Targeting 2010 (SST-10)*, dated August 18, 2010.

V. **Expiration Date.**

This Notice will terminate one year from the effective date, unless replaced earlier by a new Notice. Upon the expiration or replacement of this Notice, inspection cycles already underway must be completed as provided in section XI.B., fourth paragraph.

VI. **Action Information.**

A. **Responsible Office.** Directorate of Enforcement Programs (DEP).

B. **Action Offices.** National, Regional, and Area Offices.

C. **Information Offices.** State Plan States, OSHA Training Institute, Consultation Project Managers, VPP Managers and Coordinators, OSHA Strategic Partnership
Coordinators, Compliance Assistance Coordinator, and Compliance Assistance Specialists.

VII. **State Plan Impact.**

A. Notice of Intent and Equivalency required. State Plan documentation of targeting system required.

B. This Notice describes a Federal program change which establishes policies and procedures regarding general industry inspection targeting under the SST-11 plan. States with OSHA approved State Plans are required to have their own inspection targeting systems (a “core inspection policy”), which must be documented in their State Plans and revised as necessary to reflect current practices, and must include the elements of the plan described in section VII.D. These inspection policies and procedures must be at least as effective as Federal OSHA’s and must be made available for review. States are required to notify OSHA whether they intend to adopt policies and procedures identical to SST-11 or adopt or maintain different policies and procedures for targeting of general industry inspections.

If a State adopts or maintains policies and procedures that differ from Federal OSHA’s, the State may either post its new or existing different policies on its State plan website and provide the link to OSHA or provide an electronic copy to OSHA and information on how the public may obtain a copy from the State. If the State adopts identical policies and procedures, it must provide the date of adoption to OSHA. OSHA will provide summary information on the State responses to this instruction on its website.

The State’s general industry inspection targeting system, including supporting documents such as directives or other guidance, must be documented in its State plan. Whether or not the State adopts the current SST, if a State’s plan is not up-to-date in its documentation of its targeting procedures, the State must submit a plan change supplement, if different from the Federal program, or documentation of adoption (such as a cover sheet or notice to staff), if identical.

C. **State Targeting Systems.**

Targeting options available to States:

1. Use a State-developed high hazard inspection targeting system based on available State data; or

2. Use a high hazard inspection targeting system based on OSHA Instruction CPL 02-00-025, *Scheduling System for Programmed Inspections*
(1/4/1995), which is based on Bureau of Labor Statistics (BLS) injury/illness rate data. The Office of Statistical Analysis will make these State-specific lists available to a State for 2011, upon request. This option may not be available in future years; or

3. Use OSHA’s Site-Specific Targeting 2011 inspection plan. The plan is based on establishment-specific employer Days Away, Restricted, or Transferred (DART) and Days Away from Work Injury and Illness (DAFWII) data obtained through the 2010 Data Initiative. The Office of Statistical Analysis will provide a list of high-rate employers within the State and criteria for inspection categories upon request.

D. Deletions and Deferrals.

1. In accordance with CSP 02-00-002, the Consultation Policies and Procedures Manual (Chapter I, Section IV), States must have a recognition and exemption program and compliance policies and procedures related to the implementation of the Occupational Safety and Health Administration Compliance Assistance Authorization Act of 1998 and 29 CFR Part 1908, as amended on October 26, 2000 (65 FR 64281). As related to inspection targeting, these include:

   a. Discretionary authority for deferring programmed inspections for employers working toward exemption program participation (comparable to pre-SHARP) from programmed inspection lists for up to 18 months ($1908.7(b)(4)(i)(A)). See paragraph XI.D.4 of this Notice.

   b. Deletions for State recognition and exemption program participants (comparable to SHARP) from programmed inspection lists ($1908.7(b)(4)(i)(B)). See paragraph XII.D (Deletions – VPP or SHARP), of this Notice.

2. States may, but are not required to, defer an inspection or assign lower priority, for 90 days, to establishments that have requested a full-service comprehensive consultation visit for safety and health and that visit has been scheduled. See paragraph XI.D.2 (Scheduling – Deferrals – OSHA On-Site Consultation Program) of this Notice.

3. Any State that has not submitted a plan supplement concerning these deletions and deferrals or documentation of an identical plan change must submit this documentation not later than 30 days after the effective date of this Notice.
E. **Release of Data.**

OSHA Data Initiative (ODI) data are collected by the States for Federal OSHA under a 100% funded contract and shared with the States as a limited interagency disclosure. Therefore, Federal OSHA is responsible for any release of data to other parties. Any State receiving requests for these data should refer the requester to Federal OSHA.

VIII. **Background.**

A. **OSHA’s Programmed Inspection Programs.**

OSHA’s Site-Specific Targeting (SST) program is OSHA’s main programmed inspection plan for non-construction workplaces that have 20 or more employees. The SST plan is based on the data received from the prior year’s OSHA Data Initiative survey. The Data Initiative survey and the SST program help OSHA achieve its goal of reducing the number of injuries and illnesses that occur at individual workplaces by directing enforcement resources to those workplaces where the highest rate of injuries and illness have occurred.

In addition, OSHA implements both national and local “emphasis” inspection programs to target high-risk hazards and industries. OSHA currently has 13 National Emphasis Programs (NEPs) focusing on amputations, lead, crystalline silica, shipbreaking, trenching/excavations, petroleum refinery process safety management, process safety management covered chemical facilities, hexavalent chromium, diacetyl, recordkeeping, federal agencies, FAA air traffic control towers, and combustible dust. OSHA currently has approximately 140 Regional/Local Emphasis Programs (REPs/LEPs).

B. **Creating the SST-11 Inspection Lists.**

By applying industry and establishment-size criteria, OSHA focuses its data collection towards establishments that are most likely to be experiencing elevated rates and numbers of occupational injuries and illnesses. Specifically, OSHA collects injury and illness data through the Data Initiative survey from 80,000 larger establishments (20 or more employees) in historically high-rate industries. See Appendix A for the list of industries on which the 2010 Data Initiative survey was focused.

NOTE: The 2009 injury and illness data that was collected by the 2010 Data Initiative is used in the 2011 Site-Specific Targeting program.
C. **Standard Industrial Classification (SIC) and North American Industry Classification System (NAICS) Codes.**

The SIC codes as well as the NAICS codes are referenced in this Notice. Eventually the NAICS codes will replace the SIC codes, but during the years of the transition, one or the other, and sometimes both, will be used.

Beginning with calendar year 2003, injury and illness data published by the Bureau of Labor Statistics (BLS) uses the NAICS codes. BLS data referenced in this Notice use NAICS codes.

Coverage of OSHA’s injury and illness recordkeeping rule (29 CFR Part 1904) is defined by SIC in §1904.2. In turn, the OSHA Data Initiative survey is based on the coverage of the recordkeeping rule. This is why Appendix A of this Notice uses SIC codes. Until OSHA amends the coverage of its recordkeeping rule to reflect data published under the NAICS coding system, this Notice will use both the NAICS and SIC codes.

Whenever possible, OSHA’s Office of Statistical Analysis will provide both the SIC and NAICS codes for individual establishments to the Area Offices.

IX. **Definitions.**

A. **Comprehensive Inspection.**

A comprehensive inspection is a substantially complete and thorough inspection of all potentially hazardous areas of the establishment. An inspection may be deemed comprehensive even though, as a result of the exercise of professional judgment, not all potentially hazardous conditions or practices within those areas are inspected. See paragraph III.A of Chapter 3 of the FOM, **CPL 02-00-150.**

B. **Data Initiative.**

The Data Initiative is a nationwide collection of establishment-specific injury and illness data from approximately 80,000 establishments. It collects data from establishments by using the “OSHA Work-Related Injury and Illness Data Collection Form.” The Data Initiative is OSHA’s Annual Survey that is referred to in 29 CFR §1904.41.

C. **Days Away, Restricted, or Transferred (DART) Rate.**

The DART rate includes cases involving days away from work, restricted work activity, and transfers to another job. It is calculated based on \((N \div EH) \times \)
(200,000) where N is the number of cases involving days away and/or restricted work activity, and/or job transfers; EH is the total number of hours worked by all employees during the calendar year; and 200,000 is the base number of hours worked for 100 full-time equivalent employees.

For example: Employees of an establishment, including management, temporary, and leased employees, worked 645,089 hours at the workplace. There were 22 injury and illness cases involving days away and/or restricted work activity and/or job transfer from the OSHA-300 Log (total of column H plus column I). The DART rate would be \( \frac{22}{645,089} \times 200,000 = 6.8 \).

D. Days Away from Work Injury and Illness (DAFWII) Case Rate.

The DAFWII case rate is the number of cases that involve days away from work per 100 full-time equivalent employees. Cases that involve only temporary transfers to another job or restricted work are not included. It is calculated based on \( \frac{N}{EH} \times 200,000 \) where N is the number of cases involving days away from work; EH is the total number of hours worked by all employees during the calendar year; and 200,000 is the base number of hours worked for 100 full-time equivalent employees. NOTE: The DART and DAFWII rates are differentiated by the makeup of N in the calculation formula. For the DAFWII rate, N is equal to the total of Column H from the OSHA-300 Log.

For example, from the OSHA-300 Log: Employees of an establishment, including management, temporary, and leased employees, worked 452,680 hours at the workplace. There were 25 injury and illness cases involving days away from work from the OSHA-300 Log (total of column H). The DAFWII case rate would be \( \frac{25}{452,680} \times 200,000 = 11.0 \).

E. Establishment.

An establishment is a single physical location where business is conducted or where services or industrial operations are performed. For activities where employees do not work at a single physical location, such as transportation, communications, electric, gas, sanitary services, and similar operations, the establishment is represented by main or branch offices, terminals, stations, etc., that either supervise such activities or are the base from which personnel carry out these activities. For a more detailed definition of “Establishment,” see 29 CFR 1904.46 and Chapter 2, paragraph VII.B of CPL 02-00-135, Recordkeeping Policies and Procedures Manual.
For purposes of this Notice, the term “Establishment” does not include construction worksites.

F. Partnership.

In this Notice, “Partnership” refers only to those agreements in which establishments participate in an OSHA Strategic Partnership (OSP) in accordance with OSHA Instruction CSP 03-02-002, OSHA Strategic Partnership Program for Worker Safety and Health (12/9/2004), and for which there is a signed partnership agreement.

X. Description of the Site-Specific Targeting 2011 (SST-11) Plan.

A. Primary Inspection List.

1. Selection Criteria

The SST-11 plan selects for inspection individual establishments identified through the 2010 Data Initiative survey. For comparison purposes, the national DART rate for private industry for 2009 was 1.8, and the DAFWII case rate was 1.1.

When the period for deleting establishments with a previous comprehensive inspection was changed from 24 months to 36 months, it became evident that new manufacturing establishments were not getting on the Primary Inspection List. Therefore, in order to get additional manufacturing establishments on this list, different DART and DAFWII rates were created for manufacturing, non-manufacturing and nursing and personal care facilities.

The SST-11 plan initially selects for inspecting all establishments with the following DART rates and DAFWII case rates:

a. Manufacturing Establishments

Manufacturing establishments with a DART rate at or above 7.0, or a DAFWII case rate at or above 5.0 (only one of these criteria must be met). This is approximately 3,000 sites.
b. Non-manufacturing Establishments

Non-manufacturing establishments (except for Nursing and Personal Care Facilities) with a DART rate at or above 15.0, or a DAFWII case rate at or above 14.0 (only one of these criteria must be met). This is approximately 400 sites.

c. Nursing and Personal Care Facilities.

Establishments in SIC code 805 with a DART rate at or above 16.0, or a DAFWII case rate at or above 13.0 (only one of these criteria must be met). This is approximately 300 sites. Inspections in the above SIC code will focus specifically on ergonomic stressors; exposure to blood and other potentially infectious materials; exposure to tuberculosis; and slips, trips, and falls. When additional hazards come to the attention of the compliance officer, the scope of the inspection may be expanded to include those hazards. See FOM (OSHA Instruction CPL 02-00-150), Chapter 3, Paragraph III.B.

When conditions indicate that a General Duty Clause citation relating to ergonomics may be warranted, the Area Office will contact the Regional Ergonomics Coordinator.

Because of the large number of establishments in SIC code 805 that reported high rates in the OSHA Data Initiative survey, higher DART and DAFWII rates are used to select a limited number (300 for the SST-11) of the highest rated establishments in this SIC code.

2. Low-Rate Establishments in High-Rate Industries.

In past years the SST included some inspections of low-rate establishments in high-rate industries to verify the reliability of claims by establishments that they had achieved low DART rates. These inspections will not be included in the SST this year because OSHA implemented OSHA Notice 10-07 (CPL 02), Injury and Illness Recordkeeping National Emphasis Program (RK NEP) to accomplish this objective. The NEP established a program and procedures to be used to verify the accuracy of the OSHA Form 300 for selected low-rate establishments in high-rate industries.
3. **2010 OSHA Data Survey Non-Responders.**

A random sample of establishments that did not provide rate information in accordance with the 2010 OSHA Data Initiative survey by May 2011, have been added to the Primary Inspection List by the Office of Statistical Analysis (OSA) in Washington, DC. Inclusion of non-responders is intended to discourage employers from not responding to the Data Initiative in order to avoid inspection. The establishments selected will be identified on the Area Offices’ inspection lists by three asterisks (***), and will not be deleted from the list. See paragraph X.G, *Different SIC/NAICS Codes.*

If the company has gone out of business, moved, changed name, etc., the Office of Statistical Analysis (OSA) must be notified so that the information can be updated for the next ODI survey. Contact Dave Schmidt by e-mail or at 202/693-1886.

If it is found that an establishment consists of only an office, see paragraph X.J, *Office-Only Sites.*

B. **Secondary Inspection List.**

1. **Selection Criteria**

If an Area Office completes its inspections of all establishments on its Primary Inspection List before the expiration of this SST program, it may obtain additional establishments from its Secondary Inspection List.

The Secondary Inspection List will contain establishments with the following DART rates and DAFWII case rates, and will be inspected using the procedures of this Notice:

a. **Manufacturing Establishments**

   Establishments reporting DART rates of 5.0 or greater but less than 7.0, or a DAFWII case rate of 4.0 or greater but less than 5.0. Only one of these criteria must be met.

b. **Non-manufacturing Establishments**

   Establishments reporting DART rates of 5.0 or greater but less than 15.0, or a DAFWII case rate of 4.0 or greater but less than 14.0. Only one of these criteria must be met.
c. **Nursing and Personal Care Facilities.**

Nursing and Personal Care establishments reporting DART rates of 13.0 or greater but less than 16.0, or a DAFWII case rate of 11.0 or greater but less than 13.0 will be included on the secondary list.

Inspections in SIC code 805 will focus specifically on ergonomic stressors; exposure to blood and other potentially infectious materials; exposure to tuberculosis; and slips, trips, and falls. When additional hazards come to the attention of the compliance officer, the scope of the inspection may be expanded to include those hazards. See FOM (OSHA Instruction CPL 02-00-150), Chapter 3, paragraph III.B.

When conditions indicate that a General Duty Clause citation relating to ergonomics may be warranted, the Area Office will contact the Regional Ergonomics Coordinator.

Because of the large number of establishments in SIC code 805 that reported high rates in the OSHA Data Initiative survey, higher DART and DAFWII rates are used to select a limited number (300 for the SST-11) of the highest rated establishments in this SIC code.

C. **Tertiary Inspection List.**

If an Area Office completes its inspections of all establishments on its Primary and Secondary Inspection Lists before the expiration of this SST program, it may obtain additional establishments by contacting the Office of Statistical Analysis (OSA); Dave Schmidt by e-mail or at 202/693-1886.

The threshold rates will vary with each Area Office. OSA will randomly select and provide each Area Office with the number of establishments specifically requested by that Area Office. No establishments with a DART rate of 3.6 or lower and a DAFWII case rate of 2.2 or lower will be included. No establishments in SIC 805 will be included.

D. **Evaluation Study of the Impact of SST Inspections**

OSHA is working with the Department of Labor’s Office of the Assistant Secretary for Policy on a study to test the impact of inspections on injury/illness rates and overall compliance with OSHA standards and regulations for establishments on the Primary and Secondary SST inspection lists. This
Evaluation study is designed to provide OSHA with information that will allow the Agency to use its inspection resources in the most effective manner. The study will address the following questions:

- What is the impact of SST program inspections on future employer compliance?
- Which employer characteristics are strong indicators of future compliance?
- What are the best practices and measures to reduce future occupational injuries and illnesses among employers, investigated or otherwise?

OSHA’s Office of Statistical Analysis has selected 840 establishments – randomly from both the Primary and Secondary lists -- for inspection pursuant to the Evaluation Study and has divided them into six groups for inspection as part of the Site-Specific Targeting inspection plans for 2011 and for 2012.

OSHA’s National Office will provide each Area Office with a list of establishments that must be inspected to support the Evaluation Study component of the SST-11.

E. Industries without Permanent Workplaces.

For industries like SIC 0783, Ornamental Shrub and Tree Services, which do not have permanent workplaces, the establishment list will normally only identify the employer's central office. The Area Office will, so far as possible, determine (e.g., by visiting the central office) which worksites are available based on the type of work scheduled for each worksite and the length of time remaining to complete the work, and then choose one worksite to inspect by using a random number table. This will apply to such establishments on all SST inspection lists.

F. Establishments with Fewer than 20 Employees

If an establishment to be inspected under the SST-11 plan has fewer than 20 employees at the time the CSHO arrives on site to begin the inspection, the inspection will still be conducted, provided that the establishment has more than 10 employees and either its calculated DART rate or DAFWII case rate is at or above twice the private sector 2009 national incidence rates (that is, DART = 3.6; DAFWII = 2.2), or records are not available. See paragraph XIII.G, below, for more details on calculating DART and DAFWII rates. If the establishment has had 10 or fewer employees at all times during the previous twelve months at the time of the inspection, the CSHO will not conduct an SST inspection.
G. Different SIC/NAICS Codes.

If an establishment on any of the inspection lists (including a non-responder establishment identified by three asterisks *** as stated in paragraph X.A.3) is found to have a SIC code not on the Data Initiative SIC code list (Appendix A), proceed with the inspection if the establishment has a calculated DART rate or DAFWII case rate at or above twice the private sector 2009 national incidence rates (that is, DART = 3.6; DAFWII = 2.2). Enter the correct NAICS code on the OSHA-1. If injury and illness records are not available, proceed with the inspection unless the establishment’s correct SIC/NAICS code exempts the establishment from recordkeeping. If the establishment is exempt from recordkeeping, do not conduct an inspection.

See also, paragraph XIII.B, Verify SIC/NAICS Codes.

H. Different Address than on ODI Data Sheet.

The ODI data sheet may show an address for an establishment that does not match the named establishment in an Area Office’s jurisdiction. The ODI address may be that of the employer’s administrative office or some other facility with a different economic activity, or even the home address of the person completing the ODI survey. This may also occur when the establishment changes physical location – moves across the street or to the neighboring town – after it has submitted its ODI data.

Whenever the address on the ODI data sheet differs from that of the establishment in the Area Office’s jurisdictional area, it must be checked to determine that the ODI survey data relates to the same establishment. If injury and illness data from the ODI data sheet pertains to the establishment site in the Area Office’s jurisdiction, then it will be inspected. If such an address discrepancy is found, then the OSA must be informed (contact Dave Schmidt by e-mail or at 202/693-1886) so that its records can be corrected. OSA will also transfer the establishment to the proper Area Office’s inspection list, if appropriate. See also paragraph X.J, Office-Only Sites.

I. Two or More Establishments in ODI Data.

1. General.

Whenever injury and illness data for two or more establishments of the same employer are included in the same ODI data sheet, and the employer is unable to separate the establishment-specific injury and illness data for
each establishment within a reasonable amount of time, an inspection of at least one of the establishments will be conducted.

2. Selecting Establishments.

If the employer is able to separate the establishment-specific injury and illness data for each of its establishments within a reasonable amount of time, an inspection of one or more of the establishments will be conducted, if the establishment’s DART rate is at or above 3.6, or the DAFWII case rate is at or above 2.2.

The establishment(s) selected for inspection will be based on their rate; the highest rate establishments will be inspected first.

If more than one establishment is inspected, an OSHA-1 will be opened for each inspection.

3. Recordkeeping Rule.

The recordkeeping regulation at §1904.40(a) states that once a request is made, an employer must provide the required recordkeeping records within four (4) business hours.

Although the employer has four hours to provide recordkeeping records, there is no requirement that compliance officers must wait until the records are provided before beginning the walkaround portion of the inspection. As soon as the opening conference is completed, the compliance officer(s) are to begin the walkaround portion of the inspection. See FOM (OSHA Instruction CPL 02-00-150), Chapter 3, paragraph V.A.6.

4. Contact Office of Statistical Analysis (OSA)

If any of the establishments are not within the Area Office’s jurisdiction, contact the OSA, Dave Schmidt by e-mail or at 202/693-1886, so OSA can move the establishment(s) to the correct Area Office’s inspection list. If one of the establishments is an Office-Only site, see paragraph X.J.

J. Office-Only Sites.

The SST is not intended to include establishments that are only offices. Therefore, if a CSHO arrives at an establishment and discovers that there is only an office at
the site, the CSHO should attempt to determine for what site or sites the OSHA Data Initiative (ODI) survey data was entered.

If the ODI data includes data for a site (or sites) in addition to an office, then an inspection of that site (at least one of several sites) will be conducted if it is within the Area Office’s jurisdiction. If the site(s) is not within the Area Office’s jurisdiction, contact the OSA, Dave Schmidt by e-mail or at 202/693-1886, so OSA can move the establishment to the correct Area Office’s inspection list.

The OSA must also be contacted if an Office-Only site is found or if the Office site data includes data from other site(s). This is necessary so that the site can be removed from, or updated on, future ODI surveys. The OSA is also available to assist with any ODI data survey issues that may arise.

NOTE: Recordkeeping rule §1904.30 requires an employer to keep a separate OSHA-300 Log for each establishment that is expected to be in operation for one year or longer.

XI. Scheduling.

The National Office in Washington, DC, will provide each Area Office with access to software and databases containing the establishments on the Primary Inspection List for its coverage area. As discussed in paragraphs X.B and X.C, the National Office will also provide each Area Office with a Secondary and a Tertiary Inspection List. The software and databases for the primary and secondary lists will be available on the SST website. Only the OSHA National Office, Regional Offices, Area Offices, and State Plan States will be able to access this website.

A. Maintaining Inspection Lists/Cycles and Documentation.

The Area Director is responsible for maintaining documentation necessary to demonstrate that the SST inspection lists and cycles have been properly utilized in accordance with the requirements of this Notice, including adequate documentation on all deletions, deferrals or other modifications (modifications such as rationale for inspections to be expanded to cover health hazards based either (a) on the prior inspection history of the establishment, or (b) on current knowledge concerning the industry in which an establishment is classified). All such inspection lists, cycles and documentation must be maintained in the Area Office for a period of three years after all the inspections conducted under this SST plan are closed. See paragraph B.1.b.(1)(c).3 in CPL 02-00-025, Scheduling System for Programmed Inspections (1/4/1995) and Appendix D, Compliance Records Disposition Schedule, in ADM 03-01-005, OSHA Compliance Records.

B. **Cycle Size.**

Inspection cycles for the Primary and Secondary Inspection Lists must be generated by using the SST software (see Appendix C) that randomly selects the establishments. Area Offices will base their determination of cycle size (i.e., 5 to 50 establishments) on considerations of available resources and geographic range of the office. Larger cycle sizes will allow greater flexibility and efficiency of scheduling, but once begun, the cycle must be completed. If a cycle larger than 50 would provide the Area Office with more efficient use of staff, the office will request Regional Office approval for a larger cycle size. Within a cycle, the establishments may be scheduled and inspected in any order that makes efficient use of available resources.

After the inspection has been opened, use the “Update” function of the SST application to enter the OSHA-1 number in the activity ID field.

When a cycle is completed, the Area Office may generate a new cycle using the SST software (see Appendix C).

All of the establishments in a cycle must be inspected (that is, the inspection must be initiated) before any establishments in a new cycle may be inspected. The exceptions are provided in OSHA Instruction CPL 02-00-025, Scheduling System for Programmed Inspections (1/4/1995), at paragraph B.1.b.(1)(e).1, which lists permissible carryovers from one cycle to another cycle. In addition, any cycle begun but not yet completed by the expiration date of this Notice must be completed, even if the inspections for the remaining establishments in the cycle are initiated after the expiration date.

C. **Use of SST Software.**

Each Area Office must use the “Create” function of the SST web-based application to create cycles from the SST inspections lists, and must also use the application to update the inspection lists regarding deletions and conducted inspections. Area Offices are not to create cycles manually.

D. **Deferrals.**

1. **OSHA Strategic Partnerships (OSP).**

   An establishment participating in an OSP in accordance with OSHA
Instruction CSP 03-02-002, *OSHA Strategic Partnership Program for Worker Safety*, and for which there is a signed agreement, may be carried over to a future cycle to allow the SST inspection to be deferred for up to six months from the signing of the partnership agreement or an establishment’s subsequent entry into the partnership. The Regional Partnership Coordinator/Manager will be contacted if there are questions regarding a specific workplace. See also paragraph XII.C, *Deletions -- Partnerships*, and paragraph XIII.A.6, *Scope -- Partnerships*.

2. **OSHA On-Site Consultation Program.**

   a. **90-Day Deferral.** An establishment that has requested an initial full-service comprehensive consultation visit for safety and health from the OSHA Consultation Program may be deferred from the SST inspection for 90 calendar days from the date of notification by the State Consultation Program to the Regional Office, providing that the visit has been scheduled by the State Consultation Program. See Chapter 7, II.C of *CSP 02-00-002, Consultation Policies and Procedures Manual*.

   b. **“In Progress” Deferral.** No extension of the deferral beyond the 90 calendar days is possible unless the consultation visit is “in progress,” which begins at the opening conference of the consultation visit. If an establishment currently has a consultation visit “in progress,” that site shall receive a deferral for all hazards covered by the consultation visit. Such deferral will continue from the beginning of the opening conference through the end of the correction due dates and any extensions thereof. See 29 CFR 1908.7(b)(1) for a definition of an on-site consultation visit “in progress.”

3. **VPP Applicant.**

   When the Area Director receives notification from the VPP Manager that a VPP on-site review has been scheduled, the applicant will be removed from any programmed inspection list for a period of up to 75 days prior to commencement of the scheduled VPP on-site review. The Applicant workplace will be removed from any programmed inspection list for the duration of VPP participation, unless the site chooses otherwise (see paragraph XII.D, *Deletions -- VPP or SHARP*). See *Federal Register* (74 FR 927), which is referenced in paragraph III.D.
4. **Pre-SHARP.**

If an establishment is in pre-SHARP status, that is, in the process of meeting the criteria of the OSHA Consultation Safety and Health Achievement Recognition Program (SHARP), the inspection may be deferred for up to 18 months while the employer is working to achieve recognition and exemption status. See 29 CFR 1908.7(b)(4)(i)(A) and CSP 02-00-002, Consultation Policies and Procedures Manual, Chapter 8, paragraph III.

E. **Inspection Priority.**

Normally, the first inspection priority for Area Offices is to conduct unprogrammed inspections, and the inspection priorities as described in the *FOM* (OSHA Instruction CPL 02-00-150), or its successor, will be followed with the following additional procedures:

1. Area Offices that have started but not completed a cycle of inspections from the SST-10 plan must normally complete that cycle before moving to inspections under the SST-11 plan. See CPL 02-00-025, Scheduling System for Programmed Inspections, at paragraph B.1.b.(1)(e).1 that lists permissible carryovers from one cycle to another cycle.

2. All establishments on the SST-11 Primary Inspection List must be inspected unless, in view of resource considerations, such as agency emphasis programs, the Regional Administrator authorizes the Area Director to conduct a smaller number of inspections. Such authorization will normally require the Area Office to complete all inspections in the current cycle. The Regional Administrators shall submit their yearly goals in the annual performance plan which states how many SST inspections will be conducted by the Region.

Regional Administrators must notify the Directorate of Enforcement Programs of the adjustment in projected SST inspections, and send a memorandum **before the end of the fiscal year** to the Deputy Assistant Secretary, through the Director of the Directorate of Enforcement Programs, stating the reason why the number of inspections on the Area Offices’ primary lists had to be reduced.

3. All Federal offices having jurisdiction over sites in State Plan States are expected to inspect all establishments (i.e., U.S. Post Offices, ship and boat building and repairing sites over which Federal OSHA has jurisdiction) on their Primary List, and as many on their Secondary List as resources permit. With regard to establishments that are in SIC code 373...
(ship and boat building and repairing), the Federal office, in coordination with the State plan, must determine whether the State, OSHA, or both have jurisdiction over the establishment.

4. The Secondary List does not have to be completed before the expiration date of this Notice.

5. Area Offices will continue to conduct other programmed inspections under national emphasis programs, or under regional/local emphasis/initiative programs, as the Area Office and Regional goals dictate.

XII. Deletions.

Area Offices will be responsible for making appropriate deletions, as stated below, from the inspection cycle. In addition, deletions will also include those establishments, such as those no longer in business, in accordance with CPL 02-00-025, Scheduling System for Programmed Inspections, at B.1.b.(1)(b) 6.d., except criteria H# (Health inspection) and S# (Safety inspection) at B.1.b.(1)(b)6.d. will not be used.

Deletion criteria for Previous Inspections, Public Sector Employers, VPP, or SHARP establishments may be applied to establishments either prior to creating a cycle or after a cycle is created. However, deletion criteria for Partnership establishments must be applied after a cycle is created.

Because Partnership establishments have not met the same rigorous safety and health requirements of VPP and SHARP establishments, they are not to be deleted until the cycle is created.

A. Previous Inspections.

Establishments will be deleted from the inspection list if, within 36 months of the creation of the current inspection cycle, one of the following conditions is met:

- Establishment received a comprehensive safety inspection;

- Establishment qualified for a “records only” inspection; or

- Establishment in SIC code 805 received an inspection that focused on ergonomic stressors relating to resident handling; exposure to blood and other potentially infectious material; exposure to tuberculosis; and slips, trips, and falls.
The comprehensive safety inspection need not have been initiated by an SST inspection. See also paragraph XIII.A., Scope.

The SST software keeps track of the opening conference date entered for establishments that are deleted because of prior comprehensive inspections within the last 36 months. Once the 36-month period passes, the software will make that establishment available again for selection.

For deletion purposes, the date when an establishment is considered to have received a comprehensive safety inspection will be the opening conference date, not the closing conference date or the citation issuance date. For example, if the opening conference date occurred within the previous 36 months of the creation of the current inspection cycle, the establishment will be deleted from the list for SST-11 inspections.

If the inspection is a “joint inspection,” conducted by both a safety CSHO and a health CSHO, there will be two OSHA-1s. The two CSHOs may hold joint or separate opening conferences. Therefore, there may be one opening date for the safety inspection and a different opening date for the health inspection for the same employer under the same SST inspection plan. If the inspection is conducted by a cross-trained CSHO, there will be one OSHA-1 and one opening conference date.

B. Public Sector Employers.

If any public sector employers (i.e., Federal, State, or local government) appear on the Primary, Secondary, or Tertiary Inspection Lists, they are to be deleted.

NOTE: The OSH Act was amended in 1998 to treat the U.S. Postal Service as a private sector employer.

C. Partnerships.

If an establishment is participating in an OSHA Strategic Partnership, it may be deleted from the SST inspections lists in accordance with paragraph XIV.B.5.a.i., of CSP 03-02-002, OSHA Strategic Partnership Program for Worker Safety, and paragraph XII.A, Deletions - Previous Inspections, of this Notice.

In accordance with CSP 03-02-002 paragraph XIV.B.5.a.ii., the Area Director, with the approval of the Regional Administrator, may extend the deletion for another year if the partner continues to meet the conditions of the partnership agreement and demonstrates improved performance in areas measured by the partnership. See also paragraph XI.D.1, Deferrals – OSHA Strategic
Partnerships, and paragraph XIII.A.6, Scope – Partnerships.

D. VPP or SHARP.

1. If an establishment is an approved participant in OSHA’s Voluntary Protection Programs (VPP) it is to be deleted from the inspection lists.

2. If the establishment is in OSHA Consultation’s Safety and Health Achievement Recognition Program (SHARP), it is to be deleted from OSHA’s SST inspection lists for a period established by the On-Site Consultation Project and approved by the Regional Administrator. See paragraph IV.H.2. of Chapter 7 of CSP 02-00-002, Consultation Policies and Procedures Manual.

If the CSHO discovers that the establishment to be inspected is an On-Site Consultation SHARP site and, therefore, may qualify for deletion, exit the worksite without conducting an inspection if the deletion period approved by the Regional Administrator has not already expired. If the deletion period has expired, the CSHO may proceed with the inspection.

E. Office-Only.

See paragraph X.J as to when an establishment is to be deleted if the establishment injury and illness data is only for an office.

XIII. Inspection Procedures.

A. Scope.

1. Inspections under SST-11.

Inspections conducted under this plan will be comprehensive safety inspections.

Health inspections under this plan will be limited to:

(a) Focused inspections of Nursing and Personal Care Facilities (SIC 805), as described below in paragraph XIII.A.4;

(b) CSHO referrals (i.e., when a CSHO on site sees a potential health hazard); or
(c) Inspections expanded by the Area Director based on prior inspection history of the employer. See paragraph XIII.2.

NOTE: The above health inspections conducted in accordance with this Notice are also to be coded as an SST-11 inspection. See Recording and Tracking paragraphs XV.A. and B.

2. Health Inspections.

When an Area Director orders an SST inspection to be expanded to cover health hazards at a particular establishment based on the prior inspection history of the employer, the Area Director must fully explain and document his/her rationale for the expanded inspection.

In assessing prior inspection history, the Area Director will consider the employer’s OSHA inspection/violation history maintained in OSHA’s Integrated Management Information System (IMIS) or the OSHA Information System (OIS) for the period three years prior to creating the inspection cycle. The Area Director will consider the potential that similar hazards may be present. For example, upon review of the employer’s injury and illness records, the CSHO identifies a number of work-related cases, such as but not limited to hearing loss, needle sticks, respiratory ailments, etc.

Inspections will be conducted in accordance with the procedures described in the FOM (OSHA Instruction CPL 02-00-150) or its successor, and in other pertinent OSHA policy and procedure documents.

Documentation is necessary to describe the rationale for expanding the SST inspection to include health hazards/issues. This is to ensure that the expansion was based on objective and neutral criteria.

Documentation specific to a particular case file is to be maintained in the case file (i.e., on the OSHA-1 narrative or as a separate memorandum). Since this documentation also describes a modification to the SST inspection scope, it must also be maintained along with the inspection lists. See paragraph XI.A, Maintaining Inspection Lists/Cycles and Documentation.

3. Both Safety and Health Inspection Conducted.

If both a safety and health inspection are conducted, such inspections may be conducted either as one combined safety and health inspection by a
cross-trained CSHO (as established through specific training or demonstrated ability), or as separate safety and health inspections, or as joint safety and health inspections. See also the last paragraph of XII.A.

When an SST inspection is assigned to a cross-trained Industrial Hygienist (IH), the inspection (comprehensive safety) is counted as a health inspection. If the cross-trained IH is asked by the area director to do a health inspection at an SST site, the inspection is also counted as a health inspection. In addition, if the cross-trained IH does both a combined safety and health inspection at an SST site, it is counted as a health inspection because IMIS/OIS can only count an inspection as either “Health” or “Safety,” but not both.

4. Nursing and Personal Care Facilities (SIC code 805).

   The scope of inspections for nursing and personal care facilities (SIC code 805) will focus specifically on ergonomic stressors; exposure to blood and other potentially infectious materials; exposure to tuberculosis; and slips, trips, and falls. When additional hazards come to the attention of the compliance officer, the scope of the inspection may be expanded to include those hazards. See FOM (OSHA Instruction CPL 02-00-150), Chapter 3, paragraph III.B. In addition, see paragraph X.A.1.c, Description of the SST-11 Plan – Primary Inspection List – Nursing and Personal Care Facilities.

5. Residential Care Facilities (SIC code 8361).

   There are generally three factual situations that can fall under Residential Care Facilities and each requires a different inspection scope protocol. The first situation is very much like a nursing and personal care facilities (SIC code 805) setting where the patients/clients live-in. In such facilities, a focused inspection like those conducted for Personal Care Facilities will be done. That is, focus the inspection specifically on ergonomic stressors; exposure to blood and other potentially infectious materials; exposure to tuberculosis; and slips, trips, and falls. See paragraph X.A.1.c, Description of the SST-11 Plan – Primary Inspection List – Nursing and Personal Care Facilities.

   The second situation is where the facility may have buildings such as greenhouses, classrooms, and industry buildings with woodshops. Such buildings will receive a normal SST inspection, that is, a comprehensive
safety inspection and any health inspections that meet the criteria stated under paragraph XIII.A.2, *Scope – Health Inspections*.

The third situation is where the facility is similar to group homes or where its employees go out to clients’ homes. In this situation, OSHA compliance officers will not go into the living quarters of the residential living facility or out to the clients’ homes with the employees. The compliance officer, however, can review whether systems are in place and functioning to protect employees from the inherent hazards in the industry (i.e., Hepatitis B vaccinations, bloodborne exposure follow-up, bloodborne pathogens training, ergonomic stressors) by interviewing the employer and employees.

6. **Partnerships.**

An SST inspection at any partnership site will normally be a comprehensive safety inspection. However, if the establishment has undergone the necessary on-site non-enforcement verification inspection, a limited scope inspection may be conducted in accordance with CSP 03-02-002, OSHA Strategic Partnership Program for Worker Safety, paragraph XIII.B.4. See also *Deferrals* at paragraph XI.D.1. and *Deletions* at paragraph XII.C.

**B. Verify SIC/NAICS Codes.**

At the opening conference, verify the establishment’s SIC/NAICS code. As needed, establish what activities occur at the workplace before determining the appropriate SIC/NAICS code. See paragraph X.G, *Different SIC/NAICS Codes*.

**C. Citations.**

Violations will be cited and penalties proposed in accordance with the *FOM* (OSHA Instruction CPL 02-00-150), and other pertinent policy and procedure documents.

**D. Recordkeeping Violations.**

Whenever OSHA recordkeeping violations are identified, appropriate citations and penalties will be proposed, and supporting documentation will be provided, in accordance with policies and procedures in the *FOM* (OSHA Instruction CPL 02-00-150), or its successor, and the Recordkeeping Policies and Procedures Manual (CPL 02-00-135).
E. Compliance Officers.

For a checklist of items that compliance officers (CSHOs) need to accomplish on SST inspections, refer to Appendix B.

F. Ownership.


If the establishment has changed ownership after December 31, 2009, and has been under new ownership for less than six months, go to paragraph XIII.G., Calculate DART and DAFWII. If the CSHO is unable to calculate the DART or DAFWII rates because the new owner does not have records from the previous owner, continue with the inspection.


If the establishment changed ownership after December 31, 2009, and has been under new ownership for six months or more, recalculate the rate for the period of new ownership. If the DART rate or the DAFWII case rate is at or above twice the private sector 2009 national incidence rates, continue with the inspection. If the recalculated DART rate and the DAFWII case rate are below twice the private sector rates (that is, if DART is less than 3.6; DAFWII is less than 2.2), classify the inspection as a “records only” inspection and conduct a partial walkthrough inspection, as required in the next paragraph, before exiting the facility.

3. For “Records Only” Inspections.

For “records only” inspections, a partial walkthrough must be conducted to interview employees in order to verify the establishment’s injury and illness experience. Any serious violations that are observed in plain view and in the vicinity or brought to the attention of the CSHO must be investigated and may be cited.

4. Recalculating the Rate.

This Notice is projected to expire in mid-2012. The period of new ownership, therefore, could range between 6 months and 2½ years. If the new ownership is for a period of 6 months or more but less than one year, recalculate the DART and DAFWII rate for the entire period of new ownership. If the new ownership is for a period of greater than one year, recalculate the rate for the preceding 12 months of new ownership from
the date of inspection. When calculating the DART for the period of the new ownership, be sure that both N (number of cases involving days away from work) and EH (total number of hours worked by all employees during the calendar year) are for the same period of time.

5. **IMIS/OIS and DUNS Number.**

In establishments where the ownership has changed, CSHOs must enter into the IMIS/OIS, the Dun & Bradstreet, Data Universal Numbering System (DUNS) number of the new owner in the appropriate field on the Establishment Detail Screen. If the new owner does not have a new DUNS number, enter the old DUNS number (see paragraph XVI.).

G. **Calculate DART and DAFWII.**

During inspections under this Notice, the OSHA-300 Logs for 2008, 2009, and 2010 will be reviewed. The CSHO will calculate the DART rate and the DAFWII case rate for each of those years.

**NOTE:** The OSHA-300 Logs for 2011 (and 2012 when applicable) may also be reviewed for possible injuries and illnesses occurring during the year, but are not to be substituted for the calculations below.

The CSHO-calculated DART rate for 2009 will be compared to the DART rate reported by the employer in the OSHA 2010 Data Initiative data collection. Calculations will not be performed if, for any reason, the relevant records are not available. CSHOs will check OSHA-301 Forms, or equivalent, as they deem appropriate to confirm the OSHA-300 Forms.

**For reference:** Twice the private sector 2009 national incidence rates: for DART rate \(1.8 \times 2.0 = 3.6\); or DAFWII case rate \(2 \times 1.1 = 2.2\).

**FIRST:** If records are not available to make this determination, proceed with the inspection.

**SECOND:** If any two of the CSHO-calculated 2008, 2009, or 2010 DART rates are at or above 3.6, proceed with the inspection.

**THIRD:** If any two of the above DART rates are below 3.6, but any two of the CSHO-calculated DAFWII case rates are at or above 2.2, proceed with the inspection.
FOURTH: If for any two of the above three years the DART rates are below 3.6, and the DAFWII case rates for the same two years are below 2.2, then do a records review (not a records audit, which requires the use of an audit software program) for the most current year in which the establishment’s DART and DAFWII rates fall below twice the private sector 2009 national incidence rates. Then, recalculate the DART and DAFWII for that most current year, and if the DART is below 3.6, and the DAFWII is below 2.2, classify the inspection as a “records only” inspection and conduct a partial walkthrough inspection before exiting the facility [as required by the last paragraph in this section]. If, however, either the DART rate is at or above 3.6, or the DAFWII case rate is at or above 2.2, proceed with the SST inspection.

All non-responder establishments referred to in paragraph X.A.3. will receive at least a comprehensive safety inspection regardless of the CSHO-calculated DART and DAFWII rates. If the establishment is Office-Only, see paragraph X.J. The non-responder establishments will be identified on the Area Offices’ inspection lists by three asterisks (**). If they have a different SIC/NAICS code, see paragraphs X.G, Different SIC/NAICS Codes and XIII.B, Verify SIC/NAICS Codes.

If recordkeeping violations are discovered, see paragraph XIII.D.

For “records only” inspections, a partial walkthrough must be conducted to interview employees in order to verify the establishment’s injury and illness experience. Any serious violations that are observed in plain view in the vicinity or brought to the attention of the CSHO must be investigated and may be cited.

XIV. Relationship to Other Programs.

A. Unprogrammed Inspections.

Unprogrammed inspections will be conducted according to OSHA’s FOM(CPL, 02-00-150) or other pertinent policy and procedure documents. If the occasion for an unprogrammed (e.g., complaint, catastrophe, or fatality) inspection arises with respect to an establishment that is also in the current inspection cycle to receive a programmed inspection under the SST-11 plan, the two inspections may be conducted either concurrently or separately. See paragraph XV.B. of this Notice.

B. Emphasis Programs.

An establishment that is on the Primary List may be moved to the current inspection cycle if it is also on any Emphasis Program inspection list (that is, any Special, National, Regional or Local Emphasis Program). If an Area Office has
finished their Primary List and is working off its Secondary List, the establish-
ment can be added to the current cycle of their Secondary List. Contact the OSA
to have the establishment placed in the current SST cycle.

Some establishments may be selected for inspection under the SST-11 plan and
also under one or more other OSHA initiatives (Emphasis Programs). Programs
based upon specific hazards (such as amputations, combustible dust, crystalline
silica, or lead) or specific industries (such as logging, shipyards, shipbreaking, and
petroleum refinery process) can be run concurrently with the SST-11 plan.

Whenever an establishment is scheduled for inspection on the current cycle of the
SST-11 plan and on the current cycle of an Emphasis Program, both inspections
may be scheduled at the same time. CSHOs will apply all applicable OSHA
codes (i.e., NEP, LEP, Strategic, Optional Information) to the inspection. The
employer’s DUNS number must also be recorded for each inspection since it is
important for tracking. See paragraph XV.C., Recording & Tracking – SST
Combined with Emphasis Program Inspections, of this Notice.

In the case of an establishment scheduled for inspection under both SST and an
Emphasis Program, an inspection limited in scope to the safety and health issues
targeted by the Emphasis Program may be conducted even though all CSHO-
calculated rates for the establishment are found to be below the SST-11 inspection
thresholds.

C. Process Safety Management Inspections.

Inspections conducted under the SST plan will address process safety manage-
ment where 29 CFR 1910.119 applies to the establishment being inspected. Such
inspections must be a comprehensive safety inspection. However, they will not
normally be Program-Quality-Verification (PQV) inspections as defined by
OSHA Instruction CPL 02-02-045, Process Safety Management of Highly
Hazardous Chemicals -- Compliance Guidelines and Enforcement Procedures.
For policies and procedures on inspections for PSM-covered processes at
petroleum refineries (SIC 2911), see the OSHA Instruction, CPL 03-00-010,
Petroleum Refinery Process Safety Management National Emphasis Program,
issued on August 18, 2009. In addition, for policies and procedures on
inspections at PSM-covered chemical facilities, see the OSHA Instruction, CPL
10-05 (CPL 02), PSM-Covered Chemical Facilities National Emphasis Program,
issued on July 8, 2010. NOTE: Inspections under the Refinery NEP and the
Chemical NEP may be done concurrently with SST inspections.
XV. Recording and Tracking.

A. SST-Only Inspections.

The OSHA-1 Form must be marked as “programmed planned” in Item 24. In addition, the “NEP” box is to be checked and the value “SSTARG11” recorded in Item 25d (the SST inspections are being coded under the NEP for ease of tracking).

NOTE: Any health inspections conducted in accordance with this Notice as mentioned in paragraph XIII.A.1., Inspection Procedures – Scope, are also to be coded as SST inspections. See also, NOTE for paragraph XV.B., below.

B. SST Combined with Unprogrammed Inspections.

For all unprogrammed inspections conducted in conjunction with an SST inspection, the OSHA-1 Form must be marked as “unprogrammed” in Item 24 with the appropriate unprogrammed activity identified. In addition, the “NEP” box is to be checked and the value “SSTARG11” recorded in Item 25d.

NOTE: Health inspections that are referrals as mentioned in paragraph XIII.A.1., Inspection Procedures – Scope, are “unprogrammed.” However, these inspections are also to be coded as SST inspections; the SST codes (e.g., SSTARG11) will not be rejected.

C. SST Combined with Emphasis Program Inspections.

For all Emphasis program inspections such as NEPs, REP and LEPs conducted in conjunction with an SST inspection, the OSHA-1 Forms must be marked as “programmed planned” in Item 24. In addition, the “NEP” box is to be checked and the value “SSTARG11” recorded in Item 25d along with all NEP and LEP OSHA codes applicable to the inspection.

D. SST Combined with Unprogrammed and Other Programmed Inspections.

If an SST inspection is combined with an unprogrammed (e.g., complaint, catastrophe, or fatality) inspection and a programmed inspection, such as an NEP or LEP, Item 24 of the OSHA-1 Form must be marked “unprogrammed.”

E. Strategic Management Plan.

Enter all applicable Strategic Management Plan hazard/industry codes in Item 25f
of the Strategic Plan Activity (e.g., amputations, ergonomics, lead, silica).

XVI. Dun & Bradstreet’s Number.

The DUNS number, which is a required entry for all SST inspections, must be recorded in the appropriate field on the Establishment Detail Screen of the NCR system. In establishments where ownership has changed, enter the DUNS number for the new owner. If the new owner does not have a new DUNS number, enter the old DUNS number. Since the DUNS number is site-sensitive, the old number will give some useful data. The field on the Establishment Detail Screen can be accessed by pressing F5 in Item 8 of the OSHA-1 Form to access establishment processing. Once establishment processing is completed, the DUNS number will appear in Item 9b.
APPENDIX A

Description of Industry Groups Included in ODI 2010

Approximately 100,000 establishments were surveyed in the 2010 OSHA Data Initiative (ODI) that collected 2009 injury and illness data. Establishments with 20 or more employees in the following industries were included in the data collection.

<table>
<thead>
<tr>
<th>SIC</th>
<th>INDUSTRY</th>
</tr>
</thead>
<tbody>
<tr>
<td>0181</td>
<td>ORNAMENTAL NURSERY PRODUCTS</td>
</tr>
<tr>
<td>0182</td>
<td>FOOD CROPS GROWN UNDER COVER</td>
</tr>
<tr>
<td>0211</td>
<td>BEEF CATTLE FEEDLOTS</td>
</tr>
<tr>
<td>0212</td>
<td>BEEF CATTLE, EXCEPT FEEDLOTS</td>
</tr>
<tr>
<td>0213</td>
<td>HOGS</td>
</tr>
<tr>
<td>0214</td>
<td>SHEEP &amp; GOATS</td>
</tr>
<tr>
<td>0219</td>
<td>GENERAL LIVESTOCK, NEC</td>
</tr>
<tr>
<td>0241</td>
<td>DAIRY FARMS</td>
</tr>
<tr>
<td>0251</td>
<td>BROILER, FRYER, &amp; ROASTER CHICKENS</td>
</tr>
<tr>
<td>0252</td>
<td>CHICKEN EGGS</td>
</tr>
<tr>
<td>0253</td>
<td>TURKEYS &amp; TURKEY EGGS</td>
</tr>
<tr>
<td>0254</td>
<td>POULTRY HATCHERIES</td>
</tr>
<tr>
<td>0259</td>
<td>POULTRY &amp; EGGS, NEC</td>
</tr>
<tr>
<td>0271</td>
<td>FUR-BEARING ANIMALS &amp; RABBITS</td>
</tr>
<tr>
<td>0272</td>
<td>HORSES &amp; OTHER EQUINES</td>
</tr>
<tr>
<td>0273</td>
<td>ANIMAL AQUACULTURE</td>
</tr>
<tr>
<td>0279</td>
<td>ANIMAL SPECIALTIES, NEC</td>
</tr>
<tr>
<td>0291</td>
<td>GENERAL FARMS, PRIMARILY ANIMAL</td>
</tr>
<tr>
<td>0783</td>
<td>ORNAMENTAL SHRUB &amp; TREE SERVICES</td>
</tr>
<tr>
<td>15-17</td>
<td>CONSTRUCTION (NOT IN SCOPE OF SST-11)</td>
</tr>
<tr>
<td>20-39</td>
<td>MANUFACTURING</td>
</tr>
<tr>
<td>4212</td>
<td>LOCAL TRUCKING WITHOUT STORAGE</td>
</tr>
<tr>
<td>4213</td>
<td>TRUCKING, EXCEPT LOCAL</td>
</tr>
<tr>
<td>4214</td>
<td>LOCAL TRUCKING WITH STORAGE</td>
</tr>
<tr>
<td>4215</td>
<td>COURIER SERVICES, EXCEPT BY AIR</td>
</tr>
<tr>
<td>4221</td>
<td>FARM PRODUCT WAREHOUSING &amp; STORAGE</td>
</tr>
<tr>
<td>4222</td>
<td>REFRIGERATED WAREHOUSING &amp; STORAGE</td>
</tr>
<tr>
<td>4225</td>
<td>GENERAL WAREHOUSING &amp; STORAGE</td>
</tr>
<tr>
<td>Code</td>
<td>Description</td>
</tr>
<tr>
<td>------</td>
<td>-----------------------------------</td>
</tr>
<tr>
<td>4226</td>
<td>SPECIAL WAREHOUSING &amp; STORAGE, NEC</td>
</tr>
<tr>
<td>4231</td>
<td>TRUCKING TERMINAL FACILITIES</td>
</tr>
<tr>
<td>4311</td>
<td>U.S. POSTAL SERVICE</td>
</tr>
<tr>
<td>4491</td>
<td>MARINE CARGO HANDLING</td>
</tr>
<tr>
<td>4492</td>
<td>TOWING &amp; TUGBOAT SERVICE</td>
</tr>
<tr>
<td>4493</td>
<td>MARINAS</td>
</tr>
<tr>
<td>4499</td>
<td>WATER TRANSPORTATION SERVICES, NEC</td>
</tr>
<tr>
<td>4512</td>
<td>AIR TRANSPORTATION, SCHEDULED</td>
</tr>
<tr>
<td>4513</td>
<td>AIR COURIER SERVICES</td>
</tr>
<tr>
<td>4581</td>
<td>AIRPORTS, FLYING FIELDS, &amp; SERVICES</td>
</tr>
<tr>
<td>4783</td>
<td>PACKING &amp; CRATING</td>
</tr>
<tr>
<td>4953</td>
<td>REFUSE SYSTEMS</td>
</tr>
<tr>
<td>5012</td>
<td>AUTOMOBILES &amp; OTHER MOTOR VEHICLES</td>
</tr>
<tr>
<td>5013</td>
<td>MOTOR VEHICLE SUPPLIES &amp; NEW PARTS</td>
</tr>
<tr>
<td>5014</td>
<td>TIRES &amp; TUBES</td>
</tr>
<tr>
<td>5015</td>
<td>MOTOR VEHICLE PARTS, USED</td>
</tr>
<tr>
<td>5031</td>
<td>LUMBER, PLYWOOD &amp; MILLWORK</td>
</tr>
<tr>
<td>5032</td>
<td>BRICK, STONE, &amp; RELATED MATERIALS</td>
</tr>
<tr>
<td>5033</td>
<td>ROOFING, SIDING, &amp; INSULATION</td>
</tr>
<tr>
<td>5039</td>
<td>CONSTRUCTION MATERIALS, NEC</td>
</tr>
<tr>
<td>5051</td>
<td>METALS SERVICE CENTERS &amp; OFFICES</td>
</tr>
<tr>
<td>5052</td>
<td>COAL &amp; OTHER MINERALS &amp; ORES</td>
</tr>
<tr>
<td>5093</td>
<td>SCRAP &amp; WASTE MATERIALS</td>
</tr>
<tr>
<td>5141</td>
<td>GROCERIES, GENERAL LINE</td>
</tr>
<tr>
<td>5142</td>
<td>PACKAGED FROZEN FOODS</td>
</tr>
<tr>
<td>5143</td>
<td>DAIRY PRODUCTS EXC. DRIED OR CANNED</td>
</tr>
<tr>
<td>5144</td>
<td>POULTRY &amp; POULTRY PRODUCTS</td>
</tr>
<tr>
<td>5145</td>
<td>CONFECTIONERY</td>
</tr>
<tr>
<td>5146</td>
<td>FISH &amp; SEAFOODS</td>
</tr>
<tr>
<td>5147</td>
<td>MEATS &amp; MEAT PRODUCTS</td>
</tr>
<tr>
<td>5148</td>
<td>FRESH FRUITS &amp; VEGETABLES</td>
</tr>
<tr>
<td>5149</td>
<td>GROCERIES &amp; RELATED PRODUCTS, NEC</td>
</tr>
<tr>
<td>5181</td>
<td>BEER &amp; ALE</td>
</tr>
<tr>
<td>5182</td>
<td>WINE &amp; DISTILLED BEVERAGES</td>
</tr>
<tr>
<td>5211</td>
<td>LUMBER &amp; OTHER BUILDING MATERIALS</td>
</tr>
</tbody>
</table>

A-2
5311 DEPARTMENT STORES

8051 SKILLED NURSING CARE FACILITIES
8052 INTERMEDIATE CARE FACILITIES
8059 NURSING & PERSONAL CARE, NEC
8062 GENERAL MEDICAL & SURGICAL HOSPITALS
8063 PSYCHIATRIC HOSPITALS
8069 SPECIALTY HOSPITALS, EXC PSYCHIATRIC

OSHA’s collection of information regarding occupational injuries and illnesses has been approved by the Office of Management and Budget (OMB) under the Paperwork Reduction Act of 1995. The data collection requested occupational injury and illness data and employment and hours worked data from selected employers in the above Standard Industrial Classifications (SICs).

In addition, OSHA collected data from establishments that were visited by OSHA and are required to maintain the OSHA Log. Information was also collected from public sector establishments in certain State Plan States. See Federal Register, May 22, 2006 (Vol. 71, No. 98, pp. 29355-29356).

For further information concerning the OSHA Data Initiative, contact the Directorate of Evaluation and Analysis, Office of Statistical Analysis, Occupational Safety and Health Administration, U.S. Department of Labor, Room N3507, 200 Constitution Avenue, NW, Washington, DC 20210, telephone (202) 693-1886.

Source: OSHA, Directorate of Evaluation and Analysis, Office of Statistical Analysis.
APPENDIX B

Compliance Officer Checklist

VPP and SHARP Sites

If the CSHO discovers that the establishment is a Voluntary Protection Programs (VPP) site, exit the site without conducting an inspection. The site must be deleted from the inspection list. See paragraph XII.D.1.

If the CSHO discovers that the establishment is an On-site Consultation SHARP site, exit the site without conducting an inspection if it is still within the deletion period approved by the Regional Administrator. See paragraph XII.D.2.

If an establishment is a VPP applicant, and a VPP on-site review has been scheduled for within 75 calendar days, programmed inspections will be deferred. See paragraph XID.3.

If an establishment is in pre-SHARP status, it may be deferred for up to 18 months. See paragraph XI.D.4.

OSHA Consultation Program

Establishments that have requested an initial full-service comprehensive consultation visit for safety and health, and that visit has been scheduled, the SST inspection may be deferred for 90 calendar days from programmed inspections. See paragraph XI.D.2.

Strategic Partnership Sites

If the CSHO discovers that the establishment is a participant in the OSHA Strategic Partnership Program for which there is a signed agreement, the site may be deferred for up to 6 months from the signing of the partnership agreement or from an establishment’s subsequent entry into the partnership. Check with the Area Director. See paragraph XID.1.

If the establishment is a participant in the OSHA Strategic Partnership Program, it may be deleted in accordance with CSP 03-02-002 paragraph XIV.B.5.a.i. and paragraph XII.C. of this Notice. The deletion may also be extended for one year pursuant to CSP 03-02-002 paragraph XIV.B.5.a.ii. Check with the Area Director. See paragraph XII.C.

If the establishment is a participant in the OSHA Strategic Partnership Program, the inspection may be of limited scope if the establishment has had an on-site non-
enforcement verification inspection. See paragraph XIII.A.6.

Verifying SIC/NAICS Codes & Different Codes

At the opening conference, verify the establishment’s SIC/NAICS code.

If the establishment’s SIC is not on the Data Initiative SIC list (Appendix A), proceed with the inspection if its DART rate is at or above 3.6, or its DAFWII rate is at or above 2.2.

See paragraphs X.G., Different SIC/NAICS Codes and XIII.B., Verify SIC/NAICS Codes.

Establishment with Fewer than 20 Employees

If the establishment has fewer than 20 employees, proceed with the inspection as long as there are more than 10 employees, and it has a DART rate at or above 3.6, or a DAFWII case rate at or above 2.2, or if injury and illness records are not available. See paragraph X.F.

Federal Offices Having Jurisdiction over Establishments in State Plan States

If the establishment is in SIC 373 (ship and boat building and repairing), the Federal office, in coordination with the State plan, must determine whether the State, OSHA, or both have jurisdiction over the establishment. See paragraph XI.E.3.

Ownership

If the establishment changed ownership since December 31, 2009, and the period of new ownership is less than six months, go to paragraph XIII.F.1.

If the establishment changed ownership since December 31, 2009, and the period of new ownership is six months or more, recalculate the rate for the period of new ownership. See XIII.F.2.

If the period of new ownership is 6 months or more but less than one year, recalculate the DART and DAFWII rate for the entire period of new ownership. If the period of new ownership is greater than one year, recalculate the rate for the preceding 12 months.

If the DART rate is at or above 3.6 or the DAFWII rate is at or above 2.2, continue with the inspection.

If the recalculated DART rate is below 3.6, and the DAFWII rate is below 2.2, classify the inspection as “records only,” and do a partial walkthrough.
**Remember:** when calculating the DART rate for the period of the new ownership, which may be less than a year, be sure that both N (number of cases involving days away from work) and EH (total number of hours worked by all employees during the calendar year) are for the new ownership period.

**Remember also:** In establishments where the ownership has changed, enter into IMIS/OIS the Dun & Bradstreet (DUNS) number of the new owner in the appropriate field on the Establishment Detail Screen. If the new owner does not have a new DUNS number, enter the old DUNS number.

### Calculate DART Rate and DAFWII Case Rate

Review the OSHA-300 Logs for 2008, 2009, and 2010. Calculate and record the DART rate and DAFWII case rate for each of the three years. See paragraph XIII.G.

OSHA-300 Logs for 2011 (and 2012 when applicable) may also to be reviewed for possible injuries and illnesses occurring during the year.

The CSHO-calculated DART rate for 2009 is to be compared to the DART rate reported by the employer on the OSHA 2010 Data Initiative data collection.

Calculations are not to be performed if, for any reason, the relevant records are not available. CSHOs will check OSHA-301 Forms as they deem appropriate to confirm the OSHA-300 Logs.

**For Reference:** Twice the private sector 2009 national incidence rates: for DART rate $1.8 \times 2.0 = 3.6$; or DAFWII case rate $2 \times 1.1 = 2.2$.

- If records are not available, proceed with the inspection.
- If any two of the calculated DART rates are at or above 3.6, proceed with the inspection.
- If any two of the above DART rates are below 3.6, **but** any two of the DAFWII case rates are at or above 2.2, proceed with the inspection.
- If for any two of the above three years the DART rates are below 3.6, **and** the DAFWII case rates for the **same** two years are below 2.2, then do a records review for the most current year that falls below twice the private sector rates, and then recalculate the DART and DAFWII rates for that most current year. If the DART rate is below 3.6, **and** the DAFWII rate is below 2.2, classify the inspection as a “records only.” **But,** if either the DART rate is at or above 3.6, **or** the DAFWII
rate is at or above 2.2, proceed with the inspection.

- **Remember:** All non-responder establishments referred to in paragraph X.A.3 will receive a comprehensive safety inspection regardless of their calculated DART and DAFWII rates. These establishments will be identified on the Area Offices’ inspection lists by three asterisks (***). NOTE: If Office-Only, see X.J.

- For “records only” inspections: A partial walkthrough must be conducted to interview employees in order to verify the injury and illness experience. Any recordkeeping violations, in addition to any serious violations that are observed in plain view in the vicinity or brought to the attention of the CSHO, must be investigated and may be cited.

**IMIS/OIS**

**Enter Inspection Type**

- **SST-Only Inspections** = Programmed Planned
- **SST Combined with Unprogrammed Inspections** = Unprogrammed

  NOTE: Health inspections that are referrals in accordance with XIII.A.1. are “unprogrammed,” but must also be coded as an SST inspection.

- **SST Combined with NEP or LEP Inspections** = Programmed Planned
- **SST Combined with Unprogrammed and other Programmed** = Unprogrammed

**Enter all applicable Strategic Management Plan hazard/industry codes**

In Item 25.f. of the Strategic Plan Activity (e.g., amputations, ergonomics, lead, silica).

**Enter Inspection Classification**

- **Inspection classification:** NEP = SSTARG11

**Enter all applicable NEP and LEP Program codes**

In the OSHA-1 Form, Item(s) 25c and 25d when an SST-11 inspection is conducted and the inspection also meets the protocol for other program(s).
Record the DUNS Number

Press F5 in Item 8 of the OSHA-1 Form to access establishment processing and record the DUNS number in the appropriate field on the Establishment Detail Screen. Once establishment processing is completed, the DUNS number will appear in Item 9b. In establishments where ownership has changed, enter the DUNS number for the new owner. If the new owner does not have a new DUNS number, enter the old DUNS number. See paragraph XVI.
APPENDIX C

SST Website

Enter Website with ID and password.

From the main page, select **Create**.

The next page will display the total number of establishments in your database and the total number of establishments that are available for selection in the next cycle. For the cycle selection, there are two steps.

**Step 1** Enter a name for the cycle. This will allow you to return to the list of establishments chosen in this cycle.

**Step 2** Enter the number required for this cycle.
Or
Enter the percent of those available that is required for this cycle.

Then click on the “create cycle” button and the cycle will be created by randomly selecting the desired number of establishments from those available.

The establishments selected will be displayed next. There are three branches from this page. The top of the list contains print and downloading functions.

The DUNS # and Name columns are highlighted in blue. Clicking on the name of the establishment will send you to the IMIS/OIS establishment search with this establishment name entered. Clicking on the DUNS # will open a page with other print options for more data on this establishment or the industry of the establishment.

For further assistance in using the SST web application, please utilize the Help function on the website or contact the Office of Statistical Analysis.

Source: OSHA, Directorate of Evaluation and Analysis, Office of Statistical Analysis.
INDEX

Area Director ...................................................................................................................15, 17, 18, 20, 22, B-1
Cancellations ....................................................................................................................2
Carryovers ..........................................................................................................................16, 18
Checklist ...........................................................................................................................25, B-1
Construction .......................................................................................................................1, 5, 8, A-1, A-2
Consultation ......................................................................................................................1, 2, 4, 17, 18, 21, B-1
CPL 02-00-025 ..................................................................................................................1, 3, 15, 16, 18, 19
CPL 02-00-051 ...................................................................................................................1
CPL 02-00-150 ....................................................................................................................1, 6, 9, 11, 14, 18, 22, 23, 24, 27
CPL 02-00-135 ...................................................................................................................1, 7, 25
CPL 02-02-045 ...................................................................................................................2, 28
CPL 10-05 (CPL 02) ........................................................................................................2, 28
CSP 01-00-002 .................................................................................................................2
CSP 02-00-002 ..................................................................................................................2, 4, 17, 18, 21
CSP 03-01-003 ..................................................................................................................2
CSP 03-02-002 ..................................................................................................................2, 8, 17, 20, 24, B-1
Cycle Size ..........................................................................................................................16
DAFWII ...............................................................................................................................4, 7, 8, 9, 10, 11, 12, 13, 14, 25, 26, 27, B-2 to B-4
DART .................................................................................................................................4, 6, 7, 8, 9, 10, 11, 12, 13, 14, 25, 26, 27, B-2 to B-4
Data Initiative ....................................................................................................................4, 5, 6, 8, 9, 10, 11, 13, 15, 26, A-1, A-3, B-2
Data Survey .......................................................................................................................10, 15
Deferrals .............................................................................................................................4, 15, 16, 20, 24
Definitions ..........................................................................................................................6
Deletions ..............................................................................................................................4, 15, 16, 17, 19, 20, 24
Different Address ............................................................................................................13
Different SIC/NAICS Codes .............................................................................................10, 13, 24, 27, B-2
DUNS number ..................................................................................................................26, 28, 30, B-3, B-4, B-5
Establishment ....................................................................................................................7
Evaluation Study .................................................................................................................11
Expiration Date ..................................................................................................................2, 16, 19
High-Rate Industries .........................................................................................................5, 9
IMIS .....................................................................................................................................22, 23, 26, 28, B-3, B-4, C-1
Inspection Procedures .......................................................................................................16, 21, 29
Low-Rate Establishments .................................................................................................9
NAICS ..................................................................................................................................6, 10, 13, 24, 27, B-2
Non-Responders ...............................................................................................................10
Nursing and Personal Care Facilities ..............................................................................8, 9, 11, 21, 23
Office-Only .........................................................................................................................10, 13, 14, 15, 21, 27
OIS .....................................................................................................................................22, 23, 26, B-3, B-4, C-1
Ownership ..........................................................................................................................25, 26, 30, B-2 to B-5
Partnership ........................................................................................................................2, 8, 16, 17, 19, 20, 21, 24, B-1

Index-1

* OSHA ARCHIVE DOCUMENT *
This document is presented here as historical content, for research and review purposes only.