

U.S. Department of Labor

Occupational Safety and Health Administration
7935 East Prentice Avenue, Suite 209
Greenwood Village, CO 80111
Phone: 303-843-4500 Fax: 303-843-4515



Citation and Notification of Penalty

To:

Premier Roofing Company LLC
and its successors
2570 W. 8th Ave.
Denver, CO 80204

Inspection Number: 1113457**Inspection Date(s):** 12/21/2015 - 12/30/2015**Issuance Date:** 04/18/2016**Inspection Site:**

14190 Temple Drive
Aurora, CO 80015

The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

This Citation and Notification of Penalty (this Citation) describes violations of the Occupational Safety and Health Act of 1970. The penalty(ies) listed herein is (are) based on these violations. You must abate the violations referred to in this Citation by the dates listed and pay the penalties proposed, unless within 15 working days (excluding weekends and Federal holidays) from your receipt of this Citation and Notification of Penalty **you either call to schedule an informal conference (see paragraph below) or** you mail a notice of contest to the U.S. Department of Labor Area Office at the address shown above. Please refer to the enclosed booklet (OSHA 3000) which outlines your rights and responsibilities and which should be read in conjunction with this form. Issuance of this Citation does not constitute a finding that a violation of the Act has occurred unless there is a failure to contest as provided for in the Act or, if contested, unless this Citation is affirmed by the Review Commission or a court.

Posting - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or, if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and Federal holidays), whichever is longer.

Informal Conference - An informal conference is not required. However, if you wish to have such a conference you may request one with the Area Director during the 15 working day contest period. During such an informal conference you may present any evidence or views which you believe would support an adjustment to the citation(s) and/or penalty(ies).

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Area Director within 15 working days of your receipt of this Citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete, remove and post the Notice to Employees next to this Citation and Notification of Penalty as soon as the time, date, and place of the informal conference have been determined. Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

Right to Contest – You have the right to contest this Citation and Notification of Penalty. You may contest all citation items or only individual items. You may also contest proposed penalties and/or abatement dates without contesting the underlying violations. **Unless you inform the Area Director in writing that you intend to contest the citation(s) and/or proposed penalty(ies) within 15 working days after receipt, the citation(s) and the proposed penalty(ies) will become a final order of the Occupational Safety and Health Review Commission and may not be reviewed by any court or agency.**

Penalty Payment – Penalties are due within 15 working days of receipt of this notification unless contested. (See the enclosed booklet and the additional information provided related to the Debt Collection Act of 1982.) Make your check or money order payable to “DOL-OSHA”. Please indicate the Inspection Number on the remittance. You can also make your payment electronically on www.pay.gov. On the left side of the pay.gov homepage, you will see an option to Search Public Forms. Type "OSHA" and click Go. From the results, click on **OSHA Penalty Payment Form**. The direct link is:

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You will be required to enter your inspection number when making the payment. Payments can be made by credit card or Automated Clearing House (ACH) using your banking information. Payments of \$25,000 or more require a Transaction ID, and also must be paid using ACH. If you require a Transaction ID, please contact the OSHA Debt Collection Team at (202) 693-2170.

OSHA does not agree to any restrictions or conditions or endorsements put on any check, money order, or electronic payment for less than the full amount due, and will process the payments as if these restrictions or conditions do not exist.

Notification of Corrective Action – For each violation which you do not contest, you must provide ***abatement certification*** to the Area Director of the OSHA office issuing the citation and identified above. This abatement certification is to be provided by letter within 10 calendar days after each abatement date. Abatement certification includes the date and method of abatement. If the citation indicates that the violation was corrected during the inspection, no abatement certification is required for that item. The abatement certification letter must be posted at the location where the violation appeared and the corrective action took place or employees must otherwise be effectively informed about abatement activities. A sample abatement certification letter is enclosed with this Citation. In addition, where the citation indicates that ***abatement documentation*** is necessary, evidence of the purchase or repair of equipment, photographs or video, receipts, training records, etc., verifying that abatement has occurred is required to be provided to the Area Director.

Employer Discrimination Unlawful – The law prohibits discrimination by an employer against an

employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the U.S. Department of Labor Area Office at the address shown above.

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NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with OSHA to discuss the citation(s) issued on 04/18/2016. The conference will be held by telephone or at the OSHA office located at 7935 East Prentice Avenue, Suite 209, Greenwood Village, CO 80111 on _____ at _____. Employees and/or representatives of employees have a right to attend an informal conference.

CERTIFICATION OF CORRECTIVE ACTION WORKSHEET

Inspection Number: 1113457

Company Name: Premier Roofing Company LLC
Inspection Site: 14190 Temple Drive, Aurora, CO 80015
Issuance Date: 04/18/2016

List the specific method of correction for each item on this citation in this package that does not read "Corrected During Inspection" and return to: **U.S. Department of Labor – Occupational Safety and Health Administration, 7935 East Prentice Avenue, Suite 209, Greenwood Village, CO 80111**

Citation Number _____ and Item Number _____ was corrected on _____
By (Method of Abatement): _____

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Citation Number _____ and Item Number _____ was corrected on _____
By (Method of Abatement): _____

Citation Number _____ and Item Number _____ was corrected on _____
By (Method of Abatement): _____

I certify that the information contained in this document is accurate and that the affected employees and their representatives have been informed of the abatement.

Signature

Date

Typed or Printed Name

Title

NOTE: 29 USC 666(g) whoever knowingly makes any false statements, representation or certification in any application, record, plan or other documents filed or required to be maintained pursuant to the Act shall, upon conviction, be punished by a fine of not more than \$10,000 or by imprisonment of not more than 6 months or both.

POSTING: A copy of completed Corrective Action Worksheet should be posted for employee review

U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1113457
Inspection Date(s): 12/21/2015 - 12/30/2015
Issuance Date: 04/18/2016



Citation and Notification of Penalty

Company Name: Premier Roofing Company LLC
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 1 Item 1 Type of Violation: **Serious**

29 CFR 1926.100(a): Employees working in areas where there was a possible danger of head injury from impact, or falling or flying objects, or from electrical shock and burns, were not protected by protective helmets:

(a) On or about December 21, 2015, Premier Roofing Company LLC, as the controlling employer, did not ensure employees of Walter Construction, LTD were protected from head injuries, while working below employees conducting roofing activities, without the benefit of protective helmets.

Abatement certification is required for this item (see enclosed "Certification of Corrective Action Worksheet").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$3850.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1113457
Inspection Date(s): 12/21/2015 - 12/30/2015
Issuance Date: 04/18/2016



Citation and Notification of Penalty

Company Name: Premier Roofing Company LLC
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 1 Item 2 Type of Violation: **Serious**

29 CFR 1926.102(a)(1): Eye and face protective equipment were not used when machines or operations presented potential eye or face injury from physical, chemical, or radiation agents:

(a) On or about December 23, 2015, Premier Roofing Company LLC, as the controlling employer, did not ensure employees of Walter Construction, LTD were protected from eye injuries resulting from utilizing pneumatic nailers while installing shingles without the benefit of eye protection.

Abatement certification is required for this item (see enclosed "Certification of Corrective Action Worksheet").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$2310.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1113457
Inspection Date(s): 12/21/2015 - 12/30/2015
Issuance Date: 04/18/2016



Citation and Notification of Penalty

Company Name: Premier Roofing Company LLC
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 1 Item 3 Type of Violation: **Serious**

29 CFR 1926.451(a)(6): Scaffolds were not designed by a qualified person:

(a) On or about December 23, 2015, Premier Roofing Company LLC, as the controlling, creating and correcting employer, hoisted Walter Construction, LTD employees in a wooden basket supported by a JCB rough terrain forklift, which was built for the purpose of removing roofing material, exposing the employees to falls of up to 25 feet.

Abatement certification and documentation are required for this item (see enclosed "Sample Abatement Certification Letter").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$3850.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



Citation and Notification of Penalty

Company Name: Premier Roofing Company LLC
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 1 Item 4 Type of Violation: **Serious**

29 CFR 1926.451(c)(2)(v): Fork-lifts were used to support scaffold platforms while the entire platform was moved horizontally while the platform was occupied:

(a) On or about December 23, 2015, Premier Roofing Company LLC, as the controlling, creating and correcting employer, hoisted Walter Construction, LTD employees in a wooden basket being supported by a JCB rough terrain forklift, which was being moved horizontally while occupied, exposing the employees to falls of up to 25 feet.

Abatement certification and documentation are required for this item (see enclosed "Certification of Corrective Action Worksheet").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$3850.00



Citation and Notification of Penalty

Company Name: Premier Roofing Company LLC
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 1 Item 5 Type of Violation: **Serious**

29 CFR 1926.451(g)(1): Employees on scaffolds more than 10 feet (3.1 m) above a lower level were not protected from falling to that lower level by fall protection established in paragraphs (g)(1)(i)-(vii) of this section:

(a) On or about December 23, 2015, Premier Roofing Company LLC, as the controlling, creating and correcting employer, hoisted Walter Construction, LTD employees in a wooden basket being supported by a JCB rough terrain forklift, without top rails and mid rails installed, while accessing the roof of a three story residential building, exposing the employees to falls of up to 25 feet.

Abatement certification and documentation are required for this item (see enclosed "Sample Abatement Certification Letter").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$3850.00



Citation and Notification of Penalty

Company Name: Premier Roofing Company LLC
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 2 Item 1 Type of Violation: **Repeat**

29 CFR 1926.501(b)(13): Each employee(s) engaged in residential construction activities 6 feet (1.8 m) or more above lower levels were not protected by guardrail systems, safety net system, or personal fall arrest system, nor were employee(s) provided with an alternative fall protection measure under another provision of paragraph 1926.501 (b):

(a) On or about December 21, 2015, Premier Roofing Company, LLC, as a controlling and exposing employer, did not ensure Walter Construction, LTD protected their employees from falls in excess of six feet, while they were in the process of re-roofing a residential building. An employee of Premier Roofing Company, LLC was also exposed to falls in excess of six feet from the roof.

(a) On or about December 23, 2015, Premier Roofing Company, LLC, as a controlling employer, did not ensure that Walter Construction, LTD protected their employees from falls in excess of six feet, while they were in the process of re-roofing a residential building.

Premier Roofing Company, LLC was previously cited for a repeat violation of this Occupational Safety and Health Standard 29 CFR 1926.501(b)(13) or its equivalent which was contained in OSHA inspection 946469, Citation 1, Item 1, and was affirmed as a final order on May 5, 2014, with respect to a workplace located at 548 Fox Run Circle, Colorado Springs, CO 80921.

Premier Roofing Company, LLC was previously cited for a violation of this Occupational Safety and Health Standard 29 CFR 1926.501(b)(13) or its equivalent which was contained in OSHA inspection 106493, Citation 1, Item 3, and was affirmed as a final order on April 4, 2012, with respect to a workplace located at 11365 Cranston Avenue, Peyton, CO 80831.

Abatement certification and documentation are required for this item (see enclosed "Certification of Corrective Action Worksheet").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$53900.00



Citation and Notification of Penalty

Company Name: Premier Roofing Company LLC
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 2 Item 2 Type of Violation: **Repeat**

29 CFR 1926.1053(b)(1): Where portable ladders were used for access to an upper landing surface and the ladder's length allows, the ladder side rails did not extend at least 3 feet (.9 m) above the upper landing surface being accessed:

(a) On or about December 21, 2015, Premier Roofing Company, LLC, as a controlling and exposing employer, did not ensure Walter Construction, LTD protected their employees from falls of up to 25 feet while accessing the roof of a three story residential building from a portable ladder that did not extend at least three feet above the surface being accessed. An employee of Premier Roofing Company, LLC was also exposed to this hazard.

Premier Roofing Company, LLC was previously cited for a repeat violation of this Occupational Safety and Health Standard 29 CFR 1926.1053(b)(1) or its equivalent which was contained in OSHA inspection 946469, Citation 1, Item 2, and was affirmed as a final order on May 5, 2014, with respect to a workplace located at 548 Fox Run Circle, Colorado Springs, CO 80921.

Premier Roofing Company, LLC was previously cited for a violation of this Occupational Safety and Health Standard 29 CFR 1926.1053(b)(1) or its equivalent which was contained in OSHA inspection number 106493, Citation 1, Item 4a, and was affirmed as a final order on April 4, 2012, with respect to a workplace located at 548 Fox Run Circle, Colorado Springs, CO 80921. This citation became final on May 5, 2014.

Abatement certification and documentation are required for this item (see enclosed "Certification of Corrective Action Worksheet").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$19250.00

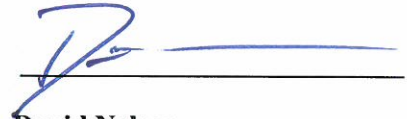
U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1113457
Inspection Date(s): 12/21/2015 - 12/30/2015
Issuance Date: 04/18/2016



Citation and Notification of Penalty

Company Name: Premier Roofing Company LLC
Inspection Site: 14190 Temple Drive, Aurora, CO 80015



David Nelson
Area Director

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

U.S. Department of Labor
Occupational Safety and Health Administration
7935 East Prentice Avenue, Suite 209
Greenwood Village, CO 80111
Phone: 303-843-4500 Fax: 303-843-4515



INVOICE / DEBT COLLECTION NOTICE

Company Name: Premier Roofing Company LLC
Inspection Site: 14190 Temple Drive, Aurora, CO 80015
Issuance Date: 04/18/2016

Summary of Penalties for Inspection Number	1113457
Citation 1, Serious	\$17710.00
Citation 2, Repeat	\$73150.00
TOTAL PROPOSED PENALTIES	\$90860.00

To avoid additional charges, please remit payment promptly to this Area Office for the total amount of the uncontested penalties summarized above. Make your check or money order payable to: "DOL-OSHA". Please indicate OSHA's Inspection Number (indicated above) on the remittance. You can also make your payment electronically on www.pay.gov. On the left side of the pay.gov homepage, you will see an option to Search Public Forms. Type "OSHA" and click Go. From the results, click on **OSHA Penalty Payment Form**. The direct link is <https://www.pay.gov/paygov/forms/formInstance.html?agencyFormId=53090334>. You will be required to enter your inspection number when making the payment. Payments can be made by credit card or Automated Clearing House (ACH) using your banking information. Payments of \$25,000 or more require a Transaction ID, and also must be paid using ACH. If you require a Transaction ID, please contact the OSHA Debt Collection Team at (202) 693-2170.

OSHA does not agree to any restrictions or conditions or endorsements put on any check, money order, or electronic payment for less than the full amount due, and will cash the check or money order as if these restrictions or conditions do not exist.

If a personal check is issued, it will be converted into an electronic fund transfer (EFT). This means that our bank will copy your check and use the account information on it to electronically debit your account for the amount of the check. The debit from your account will then usually occur within 24 hours and will be shown on your regular account statement. You will not receive your original check back. The bank will destroy your original check, but will keep a copy of it. If the EFT cannot be completed because of insufficient funds or closed

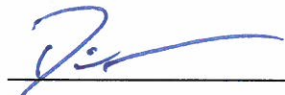
account, the bank will attempt to make the transfer up to 2 times.

Pursuant to the Debt Collection Act of 1982 (Public Law 97-365) and regulations of the U.S. Department of Labor (29 CFR Part 20), the Occupational Safety and Health Administration is required to assess interest, delinquent charges, and administrative costs for the collection of delinquent penalty debts for violations of the Occupational Safety and Health Act.

Interest: Interest charges will be assessed at an annual rate determined by the Secretary of the Treasury on all penalty debt amounts not paid within one month (30 calendar days) of the date on which the debt amount becomes due and payable (penalty due date). The current interest rate is one percent (1%). Interest will accrue from the date on which the penalty amounts (as proposed or adjusted) become a final order of the Occupational Safety and Health Review Commission (that is, 15 working days from your receipt of the Citation and Notification of Penalty), unless you file a notice of contest. Interest charges will be waived if the full amount owed is paid within 30 calendar days of the final order.

Delinquent Charges: A debt is considered delinquent if it has not been paid within one month (30 calendar days) of the penalty due date or if a satisfactory payment arrangement has not been made. If the debt remains delinquent for more than 90 calendar days, a delinquent charge of six percent (6%) per annum will be assessed accruing from the date that the debt became delinquent.

Administrative Costs: Agencies of the Department of Labor are required to assess additional charges for the recovery of delinquent debts. These additional charges are administrative costs incurred by the Agency in its attempt to collect an unpaid debt. Administrative costs will be assessed for demand letters sent in an attempt to collect the unpaid debt.



David Nelson

Area Director

4/18/14

Date

U.S. Department of Labor

Occupational Safety and Health Administration
7935 East Prentice Avenue, Suite 209
Greenwood Village, CO 80111
Phone: 303-843-4500 Fax: 303-843-4515



Citation and Notification of Penalty

To:

Walter Construction, LTD
and its successors
129 Del Mar Circle
Aurora, CO 80011

Inspection Number: 1113452**Inspection Date(s):** 12/21/2015 - 12/23/2015**Issuance Date:** 04/18/2016**Inspection Site:**

14190 Temple Drive
Aurora, CO 80015

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CERTIFICATION OF CORRECTIVE ACTION WORKSHEET

Inspection Number: 1113452

Company Name: Walter Construction, LTD
Inspection Site: 14190 Temple Drive, Aurora, CO 80015
Issuance Date: 04/18/2016

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Signature

Date

Typed or Printed Name

Title

NOTE: 29 USC 666(g) whoever knowingly makes any false statements, representation or certification in any application, record, plan or other documents filed or required to be maintained pursuant to the Act shall, upon conviction, be punished by a fine of not more than \$10,000 or by imprisonment of not more than 6 months or both.

POSTING: A copy of completed Corrective Action Worksheet should be posted for employee review

U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1113452
Inspection Date(s): 12/21/2015 - 12/23/2015
Issuance Date: 04/18/2016



Citation and Notification of Penalty

Company Name: Walter Construction, LTD
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 1 Item 1 Type of Violation: **Serious**

29 CFR 1926.100(a): Employees working in areas where there was a possible danger of head injury from impact, or falling or flying objects, or from electrical shock and burns, were not protected by protective helmets:

(a) On or about December 21, 2015, employees were exposed to head injuries created by employees performing roofing activities from throwing down debris to the employees on the ground to place in trash receptacles without the benefit of protective helmets.

Abatement certification is required for this item (see enclosed "Certification of Corrective Action Worksheet").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$2000.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1113452
Inspection Date(s): 12/21/2015 - 12/23/2015
Issuance Date: 04/18/2016



Citation and Notification of Penalty

Company Name: Walter Construction, LTD
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 1 Item 2 Type of Violation: **Serious**

29 CFR 1926.102(a)(1): Eye and face protective equipment were not used when machines or operations presented potential eye or face injury from physical, chemical, or radiation agents:

(a) On or about December 23, 2015, employees were exposed to eye injuries resulting from utilizing pneumatic nailers while installing shingles without the benefit of eye protection.

Abatement certification is required for this item (see enclosed "Certification of Corrective Action Worksheet").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$1200.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1113452
Inspection Date(s): 12/21/2015 - 12/23/2015
Issuance Date: 04/18/2016



Citation and Notification of Penalty

Company Name: Walter Construction, LTD
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 1 Item 3 Type of Violation: **Serious**

29 CFR 1926.451(a)(6): Scaffolds were not designed by a qualified person:

(a) On or about December 23, 2015, employees were exposed to falls up to 25 feet while riding in a wooden basket supported by a JCB rough terrain forklift, which was built for the purpose of removing roofing material, not hoisting personnel.

Abatement certification and documentation are required for this item (see enclosed "Sample Abatement Certification Letter").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$2000.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1113452
Inspection Date(s): 12/21/2015 - 12/23/2015
Issuance Date: 04/18/2016



Citation and Notification of Penalty

Company Name: Walter Construction, LTD
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 1 Item 4 Type of Violation: **Serious**

29 CFR 1926.451(c)(2)(v): Fork-lifts were used to support scaffold platforms while the entire platform was moved horizontally while the platform was occupied:

(a) On or about December 23, 2015, employees were exposed to falls up to 25 feet while riding in a wooden basket being supported by a JCB rough terrain forklift, which was being moved horizontally while occupied.

Abatement certification and documentation are required for this item (see enclosed "Certification of Corrective Action Worksheet").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$2000.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



Citation and Notification of Penalty

Company Name: Walter Construction, LTD
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

Citation 1 Item 5 a Type of Violation: **Serious**

29 CFR 1926.451(g)(1): Employees on scaffolds more than 10 feet (3.1 m) above a lower level were not protected from falling to that lower level by fall protection established in paragraphs (g)(1)(i)-(vii) of this section:

(a) On or about December 23, 2015, employees were exposed to falls of up to 25 feet while being hoisted in a wooden basket being supported by a JCB rough terrain forklift, without top rails and mid rails installed, while accessing the roof of a three story residential building.

Abatement certification and documentation are required for this item (see enclosed "Sample Abatement Certification Letter").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$2000.00

U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1113452
Inspection Date(s): 12/21/2015 - 12/23/2015
Issuance Date: 04/18/2016



Citation and Notification of Penalty

Company Name: Walter Construction, LTD
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 1 Item 5 b Type of Violation: **Serious**

29 CFR 1926.454(a): The employer did not have each employee who performed work while on a scaffold trained by a person qualified in the subject matter to recognize the hazards associated with the type of scaffold being used and to understand the procedures to control or minimize those hazards:

(a) On or about December 23, 2015, employees exposed to falls in excess of 10 feet had not been provided with training in the recognition and prevention of potential fall hazards while working from a scaffolding system, which was suspended from a JCB rough terrain forklift.

Abatement certification and documentation are required for this item (see enclosed "Sample Abatement Certification Letter").

Date By Which Violation Must be Abated:

05/12/2016

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



Citation and Notification of Penalty

Company Name: Walter Construction, LTD
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

Citation 1 Item 6 a Type of Violation: **Serious**

29 CFR 1926.501(b)(13): Each employee(s) engaged in residential construction activities 6 feet (1.8 m) or more above lower levels were not protected by guardrail systems, safety net system, or personal fall arrest system, nor were employee(s) provided with an alternative fall protection measure under another provision of paragraph 1926.501 (b):

(a) On or about December 21, 2015, employees were exposed to injuries from falls, while conducting reroofing activities, without the benefit of fall protection systems being in place, on a roof in excess of six feet above the lower surface.

(b) On or about December 23, 2015, employees were exposed to injuries from falls, while conducting reroofing activities, without the benefit of fall protection systems being in place, on a roof in excess of six feet above the lower surface.

Abatement certification and documentation are required for this item (see enclosed "Certification of Corrective Action Worksheet").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$5600.00



Citation and Notification of Penalty

Company Name: Walter Construction, LTD
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 1 Item 6 b Type of Violation: **Serious**

29 CFR 1926.503(a)(1): The employer did not provide a training program for each employee potentially exposed to fall hazards to enable each employee to recognize the hazards of falling and the procedures to be followed in order to minimize these hazards:

(a) On or about December 21, 2015, employees exposed to falls in excess of 6 feet had not been provided with training in the recognition and prevention of potential fall hazards while conducting roofing activities on a roof.

(b) On or about December 23, 2015, employees exposed to falls in excess of 6 feet had not been provided with training in the recognition and prevention of potential fall hazards while conducting roofing activities on a roof.

Abatement certification and documentation are required for this item (see enclosed "Sample Abatement Certification Letter")

Date By Which Violation Must be Abated:

05/12/2016

U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1113452
Inspection Date(s): 12/21/2015 - 12/23/2015
Issuance Date: 04/18/2016



Citation and Notification of Penalty

Company Name: Walter Construction, LTD
Inspection Site: 14190 Temple Drive, Aurora, CO 80015

Citation 1 Item 7 Type of Violation: **Serious**

29 CFR 1926.1053(b)(1): Where portable ladders were used for access to an upper landing surface and the ladder's length allows, the ladder side rails did not extend at least 3 feet (.9 m) above the upper landing surface being accessed:

(a) On or about December 21, 2015, employees were exposed to injuries resulting from falls, while working from a portable ladder that did not extend at least 3 feet above the upper landing surface being accessed.

Abatement certification is required for this item (see enclosed "Certification of Corrective Action Worksheet").

Date By Which Violation Must be Abated:
Proposed Penalty:

04/22/2016
\$2000.00

A handwritten signature in blue ink, appearing to be "D. Nelson", followed by a horizontal line.

David Nelson
Area Director

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

U.S. Department of Labor
Occupational Safety and Health Administration
7935 East Prentice Avenue, Suite 209
Greenwood Village, CO 80111
Phone: 303-843-4500 Fax: 303-843-4515



INVOICE / DEBT COLLECTION NOTICE

Company Name: Walter Construction, LTD
Inspection Site: 14190 Temple Drive, Aurora, CO 80015
Issuance Date: 04/18/2016

Summary of Penalties for Inspection Number	1113452
Citation 1, Serious	\$16800.00
TOTAL PROPOSED PENALTIES	\$16800.00

To avoid additional charges, please remit payment promptly to this Area Office for the total amount of the uncontested penalties summarized above. Make your check or money order payable to: "DOL-OSHA". Please indicate OSHA's Inspection Number (indicated above) on the remittance. You can also make your payment electronically on www.pay.gov. On the left side of the pay.gov homepage, you will see an option to Search Public Forms. Type "OSHA" and click Go. From the results, click on **OSHA Penalty Payment Form**. The direct link is <https://www.pay.gov/paygov/forms/formInstance.html?agencyFormId=53090334>. You will be required to enter your inspection number when making the payment. Payments can be made by credit card or Automated Clearing House (ACH) using your banking information. Payments of \$25,000 or more require a Transaction ID, and also must be paid using ACH. If you require a Transaction ID, please contact the OSHA Debt Collection Team at (202) 693-2170.

OSHA does not agree to any restrictions or conditions or endorsements put on any check, money order, or electronic payment for less than the full amount due, and will cash the check or money order as if these restrictions or conditions do not exist.

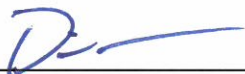
If a personal check is issued, it will be converted into an electronic fund transfer (EFT). This means that our bank will copy your check and use the account information on it to electronically debit your account for the amount of the check. The debit from your account will then usually occur within 24 hours and will be shown on your regular account statement. You will not receive your original check back. The bank will destroy your original check, but will keep a copy of it. If the EFT cannot be completed because of insufficient funds or closed account, the bank will attempt to make the transfer up to 2 times.

Pursuant to the Debt Collection Act of 1982 (Public Law 97-365) and regulations of the U.S. Department of Labor (29 CFR Part 20), the Occupational Safety and Health Administration is required to assess interest, delinquent charges, and administrative costs for the collection of delinquent penalty debts for violations of the Occupational Safety and Health Act.

Interest: Interest charges will be assessed at an annual rate determined by the Secretary of the Treasury on all penalty debt amounts not paid within one month (30 calendar days) of the date on which the debt amount becomes due and payable (penalty due date). The current interest rate is one percent (1%). Interest will accrue from the date on which the penalty amounts (as proposed or adjusted) become a final order of the Occupational Safety and Health Review Commission (that is, 15 working days from your receipt of the Citation and Notification of Penalty), unless you file a notice of contest. Interest charges will be waived if the full amount owed is paid within 30 calendar days of the final order.

Delinquent Charges: A debt is considered delinquent if it has not been paid within one month (30 calendar days) of the penalty due date or if a satisfactory payment arrangement has not been made. If the debt remains delinquent for more than 90 calendar days, a delinquent charge of six percent (6%) per annum will be assessed accruing from the date that the debt became delinquent.

Administrative Costs: Agencies of the Department of Labor are required to assess additional charges for the recovery of delinquent debts. These additional charges are administrative costs incurred by the Agency in its attempt to collect an unpaid debt. Administrative costs will be assessed for demand letters sent in an attempt to collect the unpaid debt.



David Nelson

Area Director



Date