

**Whistleblower Docketed Cases Received: FY2016 – FY2021**

<b>Statute</b>	<b>FY2016</b>	<b>FY2017</b>	<b>FY2018</b>	<b>FY2019</b>	<b>FY2020</b>	<b>FY2021</b>
<b>ACA</b>	34	20	15	13	8	6
<b>AHERA</b>	3	5	3	1	1	2
<b>AIR21</b>	96	106	88	80	67	57
<b>AMLA</b>	N/A	N/A	N/A	N/A	N/A	6
<b>CAARA</b>	N/A	N/A	N/A	N/A	N/A	5
<b>CFPA</b>	52	90	45	37	27	14
<b>CPSIA</b>	9	12	7	4	4	2
<b>EPA<sup>1</sup></b>	50	59	54	50	39	28
<b>ERA</b>	45	53	31	25	16	12
<b>FRSA</b>	302	293	336	215	154	168
<b>FSMA</b>	66	74	50	67	63	45
<b>ISCA</b>	0	0	0	0	0	0
<b>MAP21</b>	11	7	3	5	7	0
<b>NTSSA</b>	18	22	14	24	21	12
<b>OSHA</b>	2030	1932	1870	2084	2539	1891
<b>PSIA</b>	8	7	4	7	9	1
<b>SOX</b>	174	186	155	125	143	69
<b>SPA</b>	10	13	10	9	13	10
<b>STAA</b>	447	424	322	341	308	202
<b>TFA</b>	N/A	N/A	N/A	4	29	26
<b>Total</b>	<b>3355</b>	<b>3303</b>	<b>3007</b>	<b>3091</b>	<b>3448</b>	<b>2556</b>

<sup>1</sup> EPA Statutes include: CAA, CERCLA, FWPCA, SDWA, SWDA, TSCA

**Whistleblower Docketed Cases Completed: FY2016 – FY2021**

<b>Statute</b>	<b>FY2016</b>	<b>FY2017</b>	<b>FY2018</b>	<b>FY2019</b>	<b>FY2020</b>	<b>FY2021</b>
<b>ACA</b>	26	31	13	15	10	9
<b>AHERA</b>	3	6	5	2	2	1
<b>AIR21</b>	114	109	92	82	78	77
<b>AMLA</b>	N/A	N/A	N/A	N/A	N/A	6
<b>CAARA</b>	N/A	N/A	N/A	N/A	N/A	1
<b>CFPA</b>	37	89	49	45	34	27
<b>CPSIA</b>	6	8	8	5	2	6
<b>EPA</b>	51	70	44	44	52	48
<b>ERA</b>	44	47	43	43	24	19
<b>FRSA</b>	296	315	316	263	211	186
<b>FSMA</b>	73	66	60	71	58	52
<b>ISCA</b>	0	0	0	0	0	0
<b>MAP21</b>	8	7	6	8	4	6
<b>NTSSA</b>	25	19	21	17	20	23
<b>OSHA</b>	2035	1876	1740	2001	2082	2225
<b>PSIA</b>	5	8	4	6	11	5
<b>SOX</b>	170	200	163	144	144	116
<b>SPA</b>	13	10	12	10	9	10
<b>STAA</b>	401	487	348	333	372	255
<b>TFA</b>	N/A	N/A	N/A	2	9	27
<b>TOTAL</b>	<b>3307</b>	<b>3348</b>	<b>2924</b>	<b>3091</b>	<b>3122</b>	<b>3099</b>

Whistleblower Complaint Determinations – FY2016

Statute	Positive Outcome for Complainant			Dismissed	Kick-Out	Withdrawn	Total Determinations
	Merit	Settled	Settled Other				
ACA	0	2	5	12	1	6	26
AHERA	0	0	0	3	0	0	3
AIR21	8	6	22	63	0	19	118
CFPA	1	2	7	14	4	9	37
CPSIA	0	0	0	5	0	1	6
EPA	1	4	11	22	0	15	53
ERA	2	1	10	23	0	10	46
FRSA	10	12	28	160	50	44	304
FSMA	0	13	13	30	1	17	74
ISCA	0	0	0	0	0	0	0
MAP21	0	0	2	3	1	2	8
NTSSA	2	0	3	17	0	3	25
OSHA	29	342	210	1043	0	472	2096
PSIA	0	0	0	6	0	2	8
SOX	1	7	27	77	31	30	173
SPA	0	0	1	12	0	1	14
STAA	9	52	37	225	7	81	411
<b>Total</b>	<b>63</b>	<b>441</b>	<b>376</b>	<b>1715</b>	<b>95</b>	<b>712</b>	<b>3402</b>

Whistleblower Complaint Determinations – FY2017

Statute	Positive Outcome for Complainant			Dismissed	Kick-Out	Withdrawn	Total Determinations
	Merit	Settled	Settled Other				
ACA	0	1	6	15	2	7	31
AHERA	0	0	1	5	0	0	6
AIR21	2	7	12	77	0	16	114
CFPA	1	6	4	32	9	38	90
CPSIA	1	0	0	6	0	1	8
EPA	1	1	7	44	0	17	70
ERA	1	3	7	30	4	5	50
FRSA	8	13	48	194	34	46	343
FSMA	1	7	9	36	3	11	67
ISCA	0	0	0	0	0	0	0
MAP21	0	0	1	3	2	1	7
NTSSA	1	1	0	12	1	4	19
OSHA	15	303	220	877	0	502	1917
PSIA	0	3	1	4	0	1	9
SOX	7	5	35	102	16	37	202
SPA	0	1	0	4	1	4	10
STAA	11	58	37	247	17	119	489
<b>Total</b>	<b>49</b>	<b>409</b>	<b>388</b>	<b>1688</b>	<b>89</b>	<b>809</b>	<b>3432</b>

Whistleblower Complaint Determinations – FY2018

Statute	Positive Outcome for Complainant			Dismissed	Kick-Out	Withdrawn	Total Determinations
	Merit	Settled	Settled Other				
ACA	0	0	2	7	0	4	13
AHERA	0	0	0	3	0	2	5
AIR21	4	2	14	73	0	8	101
CFPA	0	3	10	23	5	8	49
CPSIA	0	0	0	7	1	0	8
EPA	2	4	4	29	0	5	44
ERA	1	1	13	26	0	3	44
FRSA	7	6	20	222	26	39	320
FSMA	1	8	6	36	0	10	61
ISCA	0	0	0	0	0	0	0
MAP21	0	0	2	4	1	0	7
NTSSA	0	0	2	16	0	3	21
OSHA	20	269	221	870	0	377	1757
PSIA	3	0	0	1	0	2	6
SOX	3	5	27	92	16	20	163
SPA	0	0	0	6	3	3	12
STAA	3	43	33	222	8	44	353
<b>Total</b>	<b>44</b>	<b>341</b>	<b>354</b>	<b>1637</b>	<b>60</b>	<b>528</b>	<b>2964</b>

Whistleblower Complaint Determinations – FY2019

Statute	Positive Outcome for Complainant			Dismissed	Kick-Out	Withdrawn	Total Determinations
	Merit	Settled	Settled Other				
ACA	0	0	1	13	0	1	15
AHERA	0	1	1	0	0	0	2
AIR21	1	6	9	50	0	18	84
CFPA	1	6	2	32	1	6	48
CPSIA	0	1	1	3	0	0	5
EPA	2	4	3	31	0	6	46
ERA	1	0	13	23	2	5	44
FRSA	1	1	32	179	34	18	265
FSMA	0	5	7	53	0	10	75
ISCA	0	0	0	0	0	0	0
MAP21	0	1	3	3	1	0	8
NTSSA	2	2	2	12	0	0	18
OSHA	14	272	273	1067	0	392	2018
PSIA	1	0	0	5	0	0	6
SOX	1	6	15	92	15	19	148
SPA	0	1	3	5	0	1	10
STAA	2	29	31	222	12	40	336
TFA	0	0	0	1	0	1	2
<b>Total</b>	<b>26</b>	<b>335</b>	<b>396</b>	<b>1791</b>	<b>65</b>	<b>517</b>	<b>3130</b>

**Whistleblower Complaint Determinations – FY2020**

Statute	Positive Outcome for Complainant			Dismissed	Kick-Out	Withdrawn	Total Determinations
	<i>Merit</i>	<i>Settled</i>	<i>Settled Other</i>				
ACA	1	0	0	7	0	2	10
AHERA	0	1	0	1	0	0	2
AIR21	1	5	14	54	0	9	83
CFPA	0	2	4	23	1	4	34
CPSIA	0	0	0	1	1	0	2
EPA	2	4	5	39	0	3	53
ERA	0	1	6	12	1	4	24
FRSA	5	6	22	159	18	12	222
FSMA	0	4	6	35	2	11	58
ISCA	0	0	0	0	0	0	0
MAP21	0	1	0	2	0	1	4
NTSSA	0	0	2	13	1	4	20
OSHA	20	344	280	1082	0	411	2137
PSIA	0	1	0	9	0	1	11
SOX	0	8	27	75	22	12	144
SPA	1	1	0	7	0	0	9
STAA	11	35	35	254	13	29	377
TFA	0	3	0	4	0	2	9
<b>Total</b>	<b>41</b>	<b>416</b>	<b>401</b>	<b>1777</b>	<b>59</b>	<b>505</b>	<b>3199</b>

**Whistleblower Complaint Determinations – FY2021**

Statute	Positive Outcome for Complainant			Dismissed	Kick-Out	Withdrawn	Total Determinations
	<i>Merit</i>	<i>Settled</i>	<i>Settled Other</i>				
ACA	0	0	0	8	0	1	9
AHERA	0	1	0	0	0	0	1
AIR21	2	5	7	56	0	8	78
AMLA	0	0	0	1	0	5	6
CAARA	0	0	0	1	0	0	1
CFPA	0	2	3	16	3	3	27
CPSIA	0	1	0	5	0	0	6
EPA	2	2	6	31	0	8	49
ERA	0	0	4	14	2	1	21
FRSA	5	3	24	121	18	15	186
FSMA	0	4	7	30	2	9	52
ISCA	0	0	0	0	0	0	0
MAP21	0	0	0	3	1	2	6
NTSSA	0	0	2	19	1	1	23
OSHA	21	286	312	1240	0	404	2263
PSIA	0	0	1	3	1	0	5
SOX	1	4	25	66	18	15	129
SPA	0	0	3	7	0	0	10
STAA	6	17	21	170	12	31	257
TFA	2	0	6	14	4	1	27
<b>Total</b>	<b>39</b>	<b>325</b>	<b>421</b>	<b>1805</b>	<b>62</b>	<b>504</b>	<b>3156</b>