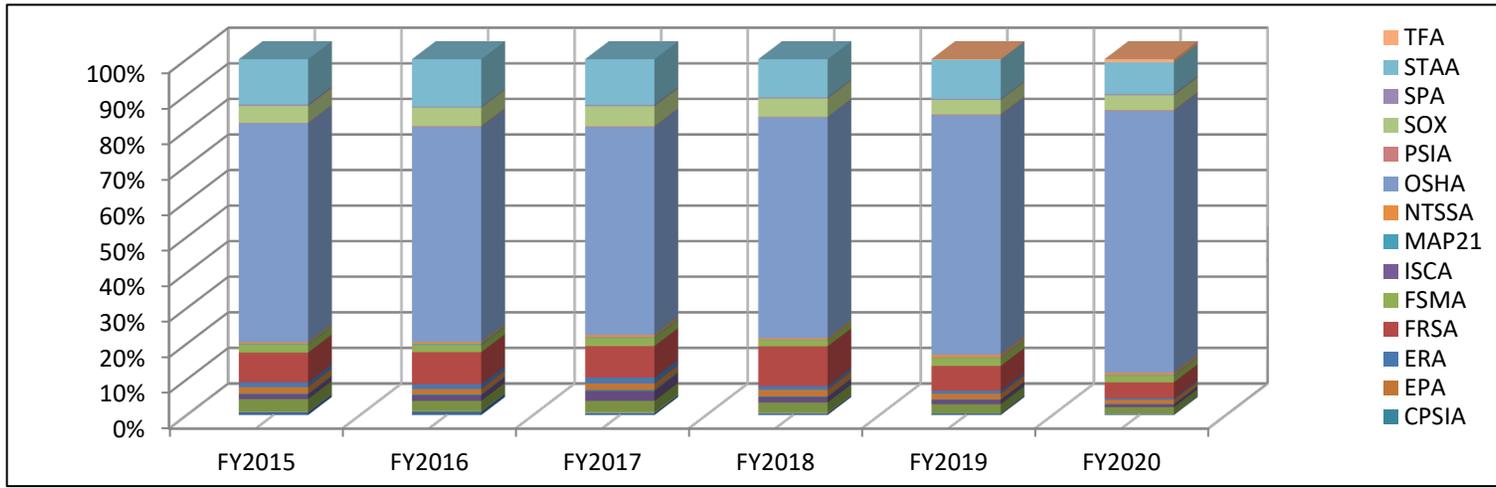


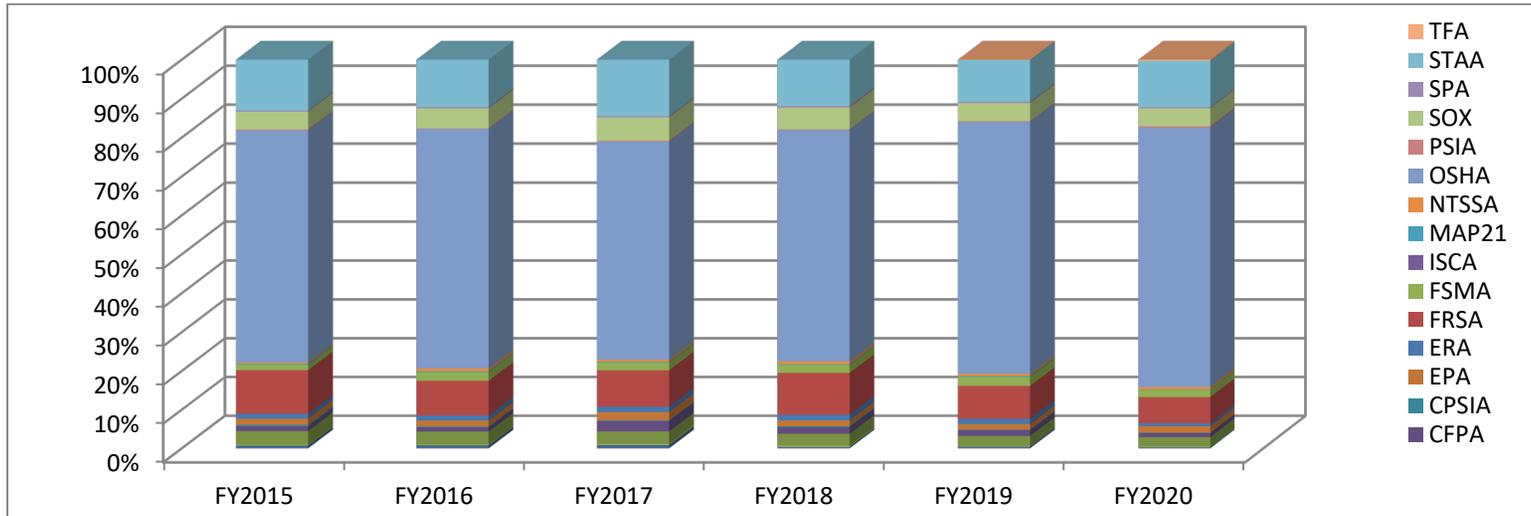
**Whistleblower Docketed Cases Received: FY2015 – FY2020**



Statute	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
ACA	28	34	20	15	13	8
AHERA	3	3	5	3	1	1
AIR21	116	96	106	88	80	67
CFPA	43	52	90	45	37	27
CPSIA	8	9	12	7	4	4
EPA <sup>1</sup>	59	50	59	54	50	39
ERA	43	45	53	31	25	16
FRSA	276	302	293	336	215	154
FSMA	71	66	74	50	67	63
ISCA	0	0	0	0	0	0
MAP21	7	11	7	3	5	7
NTSSA	16	18	22	14	24	21
OSHA	2026	2030	1932	1870	2084	2539
PSIA	4	8	7	4	7	9
SOX	156	174	186	155	125	143
SPA	15	10	13	10	9	13
STAA	417	447	424	322	341	308
TFA	N/A	N/A	N/A	N/A	4	29
<b>Total</b>	<b>3288</b>	<b>3355</b>	<b>3303</b>	<b>3007</b>	<b>3091</b>	<b>3448</b>

<sup>1</sup> EPA Statutes include: CAA, CERCLA, FWPCA, SDWA, SWDA, TSCA

**Whistleblower Docketed Cases Completed: FY2015 – FY2020**



Statute	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
ACA	24	26	31	13	15	10
AHERA	3	3	6	5	2	2
AIR21	119	114	109	92	82	78
CFPA	40	37	89	49	45	34
CPSIA	13	6	8	8	5	2
EPA	52	51	70	44	44	52
ERA	41	44	47	43	43	24
FRSA	369	296	315	316	263	211
FSMA	47	73	66	60	71	58
ISCA	0	0	0	0	0	0
MAP21	9	8	7	6	8	4
NTSSA	11	25	19	21	17	20
OSHA	1951	2035	1876	1740	2001	2082
PSIA	8	5	8	4	6	11
SOX	149	170	200	163	144	144
SPA	9	13	10	12	10	9
STAA	428	401	487	348	333	372
TFA	N/A	N/A	N/A	N/A	2	9
<b>TOTAL</b>	<b>3273</b>	<b>3307</b>	<b>3348</b>	<b>2924</b>	<b>3091</b>	<b>3122</b>

**Whistleblower Complaint Determinations – FY2015**

Statute	Positive Outcome for Complainants			Dismissed	Kick-Out	Withdrawn	Total Determinations
	Merit	Settled	Settled Other				
ACA	0	1	1	13	0	9	24
AHERA	1	0	1	1	N/A	0	3
AIR21	5	12	8	71	N/A	28	124
CFPA	0	3	8	18	4	7	40
CPSIA	0	1	0	11	1	0	13
EPA	0	11	7	28	N/A	9	55
ERA	4	2	6	18	5	8	43
FRSA	12	25	40	192	56	58	383
FSMA	0	5	3	23	1	15	47
ISCA	0	0	0	0	N/A	0	0
MAP21	0	0	2	4	0	3	9
NTSSA	1	0	0	8	1	1	11
OSHA	17	361	179	963	N/A	461	1981
PSIA	0	2	0	6	N/A	0	8
SOX	1	2	20	82	20	30	155
SPA	0	1	0	5	0	3	9
STAA	4	59	38	222	18	91	432
<b>TOTAL</b>	<b>45</b>	<b>485</b>	<b>313</b>	<b>1665</b>	<b>106</b>	<b>723</b>	<b>3337</b>

**Whistleblower Complaint Determinations – FY2016**

Statute	Positive Outcome for Complainant			Dismissed	Kick-Out	Withdrawn	Total Determinations
	Merit	Settled	Settled Other				
ACA	0	2	5	12	1	6	26
AHERA	0	0	0	3	0	0	3
AIR21	8	6	22	63	0	19	118
CFPA	1	2	7	14	4	9	37
CPSIA	0	0	0	5	0	1	6
EPA	1	4	11	22	0	15	53
ERA	2	1	10	23	0	10	46
FRSA	10	12	28	160	50	44	304
FSMA	0	13	13	30	1	17	74
ISCA	0	0	0	0	0	0	0
MAP21	0	0	2	3	1	2	8
NTSSA	2	0	3	17	0	3	25
OSHA	29	342	210	1043	0	472	2096
PSIA	0	0	0	6	0	2	8
SOX	1	7	27	77	31	30	173
SPA	0	0	1	12	0	1	14
STAA	9	52	37	225	7	81	411
<b>Total</b>	<b>63</b>	<b>441</b>	<b>376</b>	<b>1715</b>	<b>95</b>	<b>712</b>	<b>3402</b>

**Whistleblower Complaint Determinations – FY2017**

Statute	Positive Outcome for Complainant			Dismissed	Kick-Out	Withdrawn	Total Determinations
	Merit	Settled	Settled Other				
ACA	0	1	6	15	2	7	31
AHERA	0	0	1	5	0	0	6
AIR21	2	7	12	77	0	16	114
CFPA	1	6	4	32	9	38	90
CPSIA	1	0	0	6	0	1	8
EPA	1	1	7	44	0	17	70
ERA	1	3	7	30	4	5	50
FRSA	8	13	48	194	34	46	343
FSMA	1	7	9	36	3	11	67
ISCA	0	0	0	0	0	0	0
MAP21	0	0	1	3	2	1	7
NTSSA	1	1	0	12	1	4	19
OSHA	15	303	220	877	0	502	1917
PSIA	0	3	1	4	0	1	9
SOX	7	5	35	102	16	37	202
SPA	0	1	0	4	1	4	10
STAA	11	58	37	247	17	119	489
<b>Total</b>	<b>49</b>	<b>409</b>	<b>388</b>	<b>1688</b>	<b>89</b>	<b>809</b>	<b>3432</b>

**Whistleblower Complaint Determinations – FY2018**

Statute	Positive Outcome for Complainant			Dismissed	Kick-Out	Withdrawn	Total Determinations
	Merit	Settled	Settled Other				
ACA	0	0	2	7	0	4	13
AHERA	0	0	0	3	0	2	5
AIR21	4	2	14	73	0	8	101
CFPA	0	3	10	23	5	8	49
CPSIA	0	0	0	7	1	0	8
EPA	2	4	4	29	0	5	44
ERA	1	1	13	26	0	3	44
FRSA	7	6	20	222	26	39	320
FSMA	1	8	6	36	0	10	61
ISCA	0	0	0	0	0	0	0
MAP21	0	0	2	4	1	0	7
NTSSA	0	0	2	16	0	3	21
OSHA	20	269	221	870	0	377	1757
PSIA	3	0	0	1	0	2	6
SOX	3	5	27	92	16	20	163
SPA	0	0	0	6	3	3	12
STAA	3	43	33	222	8	44	353
<b>Total</b>	<b>44</b>	<b>341</b>	<b>354</b>	<b>1637</b>	<b>60</b>	<b>528</b>	<b>2964</b>

**Whistleblower Complaint Determinations – FY2019**

Statute	Positive Outcome for Complainant			Dismissed	Kick-Out	Withdrawn	Total Determinations
	Merit	Settled	Settled Other				
ACA	0	0	1	13	0	1	15
AHERA	0	1	1	0	0	0	2
AIR21	1	6	9	50	0	18	84
CFPA	1	6	2	32	1	6	48
CPSIA	0	1	1	3	0	0	5
EPA	2	4	3	31	0	6	46
ERA	1	0	13	23	2	5	44
FRSA	1	1	32	179	34	18	265
FSMA	0	5	7	53	0	10	75
ISCA	0	0	0	0	0	0	0
MAP21	0	1	3	3	1	0	8
NTSSA	2	2	2	12	0	0	18
OSHA	14	272	273	1067	0	392	2018
PSIA	1	0	0	5	0	0	6
SOX	1	6	15	92	15	19	148
SPA	0	1	3	5	0	1	10
STAA	2	29	31	222	12	40	336
TFA	0	0	0	1	0	1	2
<b>Total</b>	<b>26</b>	<b>335</b>	<b>396</b>	<b>1791</b>	<b>65</b>	<b>517</b>	<b>3130</b>

**Whistleblower Complaint Determinations – FY2020**

Statute	Positive Outcome for Complainant			Dismissed	Kick-Out	Withdrawn	Total Determinations
	Merit	Settled	Settled Other				
ACA	1	0	0	7	0	2	10
AHERA	0	1	0	1	0	0	2
AIR21	1	5	14	54	0	9	83
CFPA	0	2	4	23	1	4	34
CPSIA	0	0	0	1	1	0	2
EPA	2	4	5	39	0	3	53
ERA	0	1	6	12	1	4	24
FRSA	5	6	22	159	18	12	222
FSMA	0	4	6	35	2	11	58
ISCA	0	0	0	0	0	0	0
MAP21	0	1	0	2	0	1	4
NTSSA	0	0	2	13	1	4	20
OSHA	20	344	280	1082	0	411	2137
PSIA	0	1	0	9	0	1	11
SOX	0	8	27	75	22	12	144
SPA	1	1	0	7	0	0	9
STAA	11	35	35	254	13	29	377
TFA	0	3	0	4	0	2	9
<b>Total</b>	<b>41</b>	<b>416</b>	<b>401</b>	<b>1777</b>	<b>59</b>	<b>505</b>	<b>3199</b>