

## U.S. Department of Labor

Occupational Safety and Health Administration  
444 Regency Parkway Drive  
Suite 303  
Omaha, NE 68114  
Phone: 402-553-0171 Fax: 402-551-1288



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# Citation and Notification of Penalty

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**To:**

Tyson Foods Inc, dba Tyson Fresh Meats  
and its successors  
PO Box 515  
Dakota City, NE 68731

**Inspection Number:** 281167**Inspection Date(s):** 03/15/2012 - 03/15/2012**Issuance Date:** 08/24/2012**Inspection Site:**

1131 Dakota Ave  
Dakota City, NE 68731

*The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.*

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This Citation and Notification of Penalty (this Citation) describes violations of the Occupational Safety and Health Act of 1970. The penalty(ies) listed herein is (are) based on these violations. You must abate the violations referred to in this Citation by the dates listed and pay the penalties proposed, unless within 15 working days (excluding weekends and Federal holidays) from your receipt of this Citation and Notification of Penalty you mail a notice of contest to the U.S. Department of Labor Area Office at the address shown above. Please refer to the enclosed booklet (OSHA 3000) which outlines your rights and responsibilities and which should be read in conjunction with this form. Issuance of this Citation does not constitute a finding that a violation of the Act has occurred unless there is a failure to contest as provided for in the Act or, if contested, unless this Citation is affirmed by the Review Commission or a court.

**Posting** - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or, if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and Federal holidays), whichever is longer.

**Informal Conference** - An informal conference is not required. However, if you wish to have such a conference you may request one with the Area Director during the 15 working day contest period. During such an informal conference you may present any evidence or views which you believe would support an adjustment to the citation(s) and/or penalty(ies).

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Area Director within 15 working days of your receipt of this Citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete, remove and post the Notice to Employees next to this Citation and Notification of Penalty as soon as the time, date, and place of the informal conference have been determined. Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

**Right to Contest** – You have the right to contest this Citation and Notification of Penalty. You may contest all citation items or only individual items. You may also contest proposed penalties and/or abatement dates without contesting the underlying violations. Unless you inform the Area Director in writing that you intend to contest the citation(s) and/or proposed penalty(ies) within 15 working days after receipt, the citation(s) and the proposed penalty(ies) will become a final order of the Occupational Safety and Health Review Commission and may not be reviewed by any court or agency.

**Penalty Payment** – Penalties are due within 15 working days of receipt of this notification unless contested. (See the enclosed booklet and the additional information provided related to the Debt Collection Act of 1982.) Make your check or money order payable to “DOL-OSHA”. Please indicate the Inspection Number on the remittance.

OSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than the full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

**Notification of Corrective Action** – For each violation which you do not contest, you must provide *abatement certification* to the Area Director of the OSHA office issuing the citation and identified above. This abatement certification is to be provided by letter within 10 calendar days after each abatement date. Abatement certification includes the date and method of abatement. If the citation indicates that the violation was corrected during the inspection, no abatement certification is required for that item. The abatement certification letter must be posted at the location where the violation appeared and the corrective action took place or employees must otherwise be effectively informed about abatement activities. A sample abatement certification letter is enclosed with this Citation. In addition, where the citation indicates that *abatement documentation* is necessary, evidence of the purchase or repair of equipment, photographs or video, receipts, training records, etc., verifying that abatement has occurred is required to be provided to the Area Director.

**Employer Discrimination Unlawful** – The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the U.S. Department of Labor Area Office at the address shown above.

**Employer Rights and Responsibilities** – The enclosed booklet (OSHA 3000) outlines additional employer rights and responsibilities and should be read in conjunction with this notification.

**Notice to Employees** – The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the U.S. Department of Labor Area Office at the address shown above and postmarked within 15 working days (excluding weekends and Federal holidays) of the receipt by the employer of this Citation and Notification of Penalty.

**Inspection Activity Data** – You should be aware that OSHA publishes information on its inspection and citation activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to these alleged violations will be posted when our system indicates that you have received this citation. You are encouraged to review the information concerning your establishment at [www.osha.gov](http://www.osha.gov). If you have any dispute with the accuracy of the information displayed, please contact this office.



## NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with OSHA to discuss the citation(s) issued on 08/24/2012. The conference will be held at the OSHA office located at 444 Regency Parkway Drive, Suite 303, Omaha, NE 68114 on \_\_\_\_\_ at \_\_\_\_\_.

Employees and/or representatives of employees have a right to attend an informal conference.

**CERTIFICATION OF CORRECTIVE ACTION WORKSHEET**

**Inspection Number: 281167**

Company Name: Tyson Foods Inc, dba Tyson Fresh Meats  
Inspection Site: 1131 Dakota Ave, Dakota City, NE 68731  
Issuance Date: 08/24/2012

List the specific method of correction for each item on this citation in this package that does not read "Corrected During Inspection" and return to: **U.S. Department of Labor – Occupational Safety and Health Administration, 444 Regency Parkway Drive, Suite 303, Omaha, NE 68114**

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

I certify that the information contained in this document is accurate and that the affected employees and their representatives have been informed of the abatement.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Typed or Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Email

**NOTE: 29 USC 666(g)** whoever knowingly makes any false statements, representation or certification in any application, record, plan or other documents filed or required to be maintained pursuant to the Act shall, upon conviction, be punished by a fine of not more than \$10,000 or by imprisonment of not more than 6 months or both.

**POSTING:** A copy of completed Corrective Action Worksheet should be posted for employee review

**U.S. Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 281167  
**Inspection Date(s):** 03/15/2012 - 03/15/2012  
**Issuance Date:** 08/24/2012



**Citation and Notification of Penalty**

**Company Name:** Tyson Foods Inc, dba Tyson Fresh Meats  
**Inspection Site:** 1131 Dakota Ave, Dakota City, NE 68731

**Citation 1 Item 1** Type of Violation: **Serious**

29 CFR 1910.132(a):

Protective equipment was not used when necessary whenever hazards capable of causing injury and impairment were encountered:

On the kill floor, the employer is failing to ensure protective equipment is being used when employees are in contact with chemicals in the workplace. This violation occurs when maintenance mechanics are exposed to cleaning chemicals used by the contract cleaning service, to include but not limited to KC-615 Packers Chemical Inc. (health hazard 2), used in combination with Easyfoam VF32 (Corrosive, sodium hydroxide, sodium hypochlorite), G-5 Quat (health hazard 3), VF-10 Acid Foam Cleaner-Descaler (corrosive).

Abatement certification is required for this violation. The abatement certification sheet is enclosed with citation.

Date By Which Violation Must be Abated:  
Proposed Penalty:

09/22/2012  
\$6600.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

**U.S. Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 281167  
**Inspection Date(s):** 03/15/2012 - 03/15/2012  
**Issuance Date:** 08/24/2012



**Citation and Notification of Penalty**

**Company Name:** Tyson Foods Inc, dba Tyson Fresh Meats  
**Inspection Site:** 1131 Dakota Ave, Dakota City, NE 68731

**Citation 1 Item 2 Type of Violation: **Serious****

29 CFR 1910.147(c)(2)(i): Where an energy isolating device was not capable of being locked out, the employer's energy control program under 29 CFR 1910.147(c)(1) did not utilize a tagout system:

On the kill floor, the employer is failing to ensure energy control devices are being tagged in accordance with this subpart. This violation was most recently observed at the split saw platform. A quick link/chain is used as an energy control device and is permanently attached to the platform, however, no tag is attached to the platform or energy control device when energy control procedures are initiated on the split saw platform.

Abatement certification and abatement documentation is required for this violation. The documentation should include written verification of abatement, applicable measurements or monitoring results, and photograph or videos which you believe will be helpful. The abatement certification sheet is enclosed with citation.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:  
Proposed Penalty:

09/07/2012  
\$7000.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

U.S. Department of Labor  
Occupational Safety and Health Administration

Inspection Number: 281167  
Inspection Date(s): 03/15/2012 - 03/15/2012  
Issuance Date: 08/24/2012



**Citation and Notification of Penalty**

**Company Name:** Tyson Foods Inc, dba Tyson Fresh Meats  
**Inspection Site:** 1131 Dakota Ave, Dakota City, NE 68731

**Citation 1 Item 3** Type of Violation: **Serious**

29 CFR 1910.147(c)(5)(ii)(A)(1): Lockout and tagout devices were not capable of withstanding the environment to which they were exposed for the maximum period of time that exposure was expected:

On the kill floor, the employer is failing to ensure energy control devices are capable of withstanding environmental conditions to which they are exposed. This violation was most recently documented at the split saw platform, where the permanently attached quick link/chain (energy control device), is being used in a wet environment and exposed to corrosive chemicals in the processes.

Abatement certification and abatement documentation is required for this violation. The documentation should include written verification of abatement, applicable measurements or monitoring results, and photograph or videos which you believe will be helpful. The abatement certification sheet is enclosed with citation.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:

09/22/2012

Proposed Penalty:

\$7000.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

**U.S. Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 281167  
**Inspection Date(s):** 03/15/2012 - 03/15/2012  
**Issuance Date:** 08/24/2012



**Citation and Notification of Penalty**

**Company Name:** Tyson Foods Inc, dba Tyson Fresh Meats  
**Inspection Site:** 1131 Dakota Ave, Dakota City, NE 68731

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**Citation 1 Item 4** Type of Violation: **Serious**

29 CFR 1910.147(c)(7)(i)(A): Authorized employee(s) did not receive training in the recognition of applicable hazardous energy sources, the type and magnitude of the energy available in the workplace, and the methods and means necessary for energy isolation

On the kill floor, the employer is failing to ensure the maintenance mechanic trainees are receiving adequate instruction on the recognition of applicable hazardous energy sources. This violation was most recently documented in relation to the split saw platform, where employees are not being trained on the use of gravity restraining devices such as but not limited to a come-along, overhead hoist or chain fall, when replacing the hydraulic lift cylinder.

Abatement certification and abatement documentation is required for this violation. The documentation should include written verification of abatement, applicable measurements or monitoring results, and photograph or videos which you believe will be helpful. The abatement certification sheet is enclosed with citation.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:	09/22/2012
Proposed Penalty:	\$7000.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



**Citation and Notification of Penalty**

**Company Name:** Tyson Foods Inc, dba Tyson Fresh Meats  
**Inspection Site:** 1131 Dakota Ave, Dakota City, NE 68731

The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

**Citation 1 Item 5 a** Type of Violation: **Serious**

29 CFR 1910.1200(h)(3)(ii): Employee training did not include the physical and health hazards of the chemicals in the work area:

The employer is failing to adequately instruct employees on physical and health hazards of chemicals being used in the work environment. This violation was most recently documented on the kill floor, where employees are being exposed to chemicals such as but not limited to KC-615 Packers Chemical Inc. (health hazard 2) used in combination with Easyfoam VF32 (Corrosive, sodium hydroxide, sodium hypochlorite), G-5 Quat (health hazard 3), and VF-10 Acid Foam Cleaner-Scaler (corrosive), without receiving instruction on the physical and health hazards of these chemicals.

Abatement certification is required for this violation. The abatement certification sheet is enclosed with citation.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:	09/22/2012
Proposed Penalty:	\$6600.00



**Citation and Notification of Penalty**

**Company Name:** Tyson Foods Inc, dba Tyson Fresh Meats  
**Inspection Site:** 1131 Dakota Ave, Dakota City, NE 68731

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**Citation 1 Item 5 b Type of Violation: **Serious****

29 CFR 1910.1200(h)(3)(iii): Employee training did not include the measures employees can take to protect themselves from chemical hazards, including specific procedures the employer had implemented to protect employees from exposure to hazardous chemicals:

The employer is failing to adequately instruct employees on measures of protection from chemicals being used in the work environment. This violation was most recently documented on the kill floor, where employees are being exposed to chemicals such as but not limited to KC-615 Packers Chemical Inc. (health hazard 2) used in combination with Easyfoam VF32 (Corrosive, sodium hydroxide, sodium hypochlorite), G-5 Quat (health hazard 3), and VF-10 Acid Foam Cleaner-Descaler (corrosive), without receiving instruction on the measures of protection from the hazards posed by these chemicals.

Abatement certification is required for this violation. The abatement certification sheet is enclosed with citation.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:

09/22/2012

**U.S. Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 281167  
**Inspection Date(s):** 03/15/2012 - 03/15/2012  
**Issuance Date:** 08/24/2012



**Citation and Notification of Penalty**

**Company Name:** Tyson Foods Inc, dba Tyson Fresh Meats  
**Inspection Site:** 1131 Dakota Ave, Dakota City, NE 68731

**Citation 2 Item 1** Type of Violation: **Willful**

29 CFR 1910.147(c)(6)(i)(B): The periodic inspection of the energy control procedure was not conducted to correct any deviations or inadequacies identified:

On the kill floor, the employer is failing to ensure deviations and/or inadequacies discovered through an energy control procedure periodic inspection are being corrected. This violation was most recently documented in relation to the split saw platform, where the written energy control procedure in effect was not in agreement with the level of safety precautions known to be needed, and being used by some employees.

Abatement certification and abatement documentation is required for this violation. The documentation should include written verification of abatement, applicable measurements or monitoring results, and photograph or videos which you believe will be helpful. The abatement certification sheet is enclosed with citation.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:	09/22/2012
Proposed Penalty:	\$70000.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



**Citation and Notification of Penalty**

**Company Name:** Tyson Foods Inc, dba Tyson Fresh Meats  
**Inspection Site:** 1131 Dakota Ave, Dakota City, NE 68731

**Citation 3 Item 1** Type of Violation: **Other-than-Serious**

29 CFR 1910.132(d)(2): The employer did not verify, through a written certification, that the required workplace hazard assessment had been performed:

On the Kill Floor of the facility the employer is failing to ensure that a written certification of the hazard assessment has been performed for chemicals used in the cleaning/sanitizing process of the Kill Floor. Chemicals used but not limited to: KC-615 Packers Chemical Inc. (Health Hazard 2) used in combination with Easyfoam VF32 (Corrosive, sodium hydroxide, sodium hypochlorite) G-5 Quat produced by Johnson Diversey (Health Hazard 3), VF-10 Acid foam cleaner-descaler produced by Johnson Diversey (Corrosive).

Abatement certification is required for this violation. The abatement certification sheet is enclosed with citation.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:  
Proposed Penalty:

09/22/2012  
\$.00

A handwritten signature in cursive script that reads "Bonita Winingham".

**Bonita Winingham**  
Area Director

**U.S. Department of Labor**  
Occupational Safety and Health Administration  
444 Regency Parkway Drive  
Suite 303  
Omaha, NE 68114  
Phone: 402-553-0171 Fax: 402-551-1288



## INVOICE / DEBT COLLECTION NOTICE

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**Company Name:** Tyson Foods Inc, dba Tyson Fresh Meats  
**Inspection Site:** 1131 Dakota Ave, Dakota City, NE 68731  
**Issuance Date:** 08/24/2012

<b>Summary of Penalties for Inspection Number</b>	<b>281167</b>
<b>Citation 1, Serious</b>	<b>\$34200.00</b>
<b>Citation 2, Willful</b>	<b>\$70000.00</b>
<b>Citation 3, Other-than-Serious</b>	<b>\$0.00</b>
<b>TOTAL PROPOSED PENALTIES</b>	<b>\$104200.00</b>

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To avoid additional charges, please remit payment promptly to this Area Office for the total amount of the uncontested penalties summarized above. Make your check or money order payable to: "DOL-OSHA". Please indicate OSHA's Inspection Number (indicated above) on the remittance.

OSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than the full amount due, and will cash the check or money order as if these restrictions or conditions do not exist.

If a personal check is issued, it will be converted into an electronic fund transfer (EFT). This means that our bank will copy your check and use the account information on it to electronically debit your account for the amount of the check. The debit from your account will then usually occur within 24 hours and will be shown on your regular account statement. You will not receive your original check back. The bank will destroy your original check, but will keep a copy of it. If the EFT cannot be completed because of insufficient funds or closed account, the bank will attempt to make the transfer up to 2 times.

Pursuant to the Debt Collection Act of 1982 (Public Law 97-365) and regulations of the U.S. Department of Labor (29 CFR Part 20), the Occupational Safety and Health Administration is required to assess interest, delinquent charges, and administrative costs for the collection of delinquent penalty debts for violations of the Occupational Safety and Health Act.

**Interest:** Interest charges will be assessed at an annual rate determined by the Secretary of the Treasury on all penalty debt amounts not paid within one month (30 calendar days) of the date on which the debt amount becomes due and payable (penalty due date). The current interest rate is one percent (1%). Interest will accrue from the date on which the penalty amounts (as proposed or adjusted) become a final order of the Occupational Safety and Health Review Commission (that is, 15 working days from your receipt of the Citation and Notification of Penalty), unless you file a notice of contest. Interest charges will be waived if the full amount owed is paid within 30 calendar days of the final order.

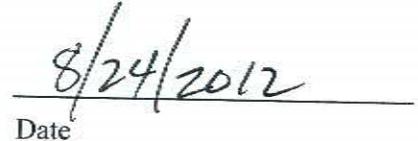
**Delinquent Charges:** A debt is considered delinquent if it has not been paid within one month (30 calendar days) of the penalty due date or if a satisfactory payment arrangement has not been made. If the debt remains delinquent for more than 90 calendar days, a delinquent charge of six percent (6%) per annum will be assessed accruing from the date that the debt became delinquent.

**Administrative Costs:** Agencies of the Department of Labor are required to assess additional charges for the recovery of delinquent debts. These additional charges are administrative costs incurred by the Agency in its attempt to collect an unpaid debt. Administrative costs will be assessed for demand letters sent in an attempt to collect the unpaid debt.



**Bonita Winingham**

Area Director



Date