

## U.S. Department of Labor

Occupational Safety and Health Administration  
507 N. Sam Houston Parkway E.  
Suite 400  
Houston, TX 77060  
Phone: 281-591-2438 Fax: 281-999-7457



## Citation and Notification of Penalty

**To:**  
Tricon Precast, Ltd.  
15055 Henry Rd.  
Houston, TX 77060

**Inspection Number:** 673418  
**Inspection Date(s):** 10/03/2012 - 10/03/2012  
**Issuance Date:** 03/28/2013

**Inspection Site:**  
15055 Henry Rd.  
Houston, TX 77060

*The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.*

This Citation and Notification of Penalty (this Citation) describes violations of the Occupational Safety and Health Act of 1970. The penalty(ies) listed herein is (are) based on these violations. You must abate the violations referred to in this Citation by the dates listed and pay the penalties proposed, unless within 15 working days (excluding weekends and Federal holidays) from your receipt of this Citation and Notification of Penalty you mail a notice of contest to the U.S. Department of Labor Area Office at the address shown above. Please refer to the enclosed booklet (OSHA 3000) which outlines your rights and responsibilities and which should be read in conjunction with this form. Issuance of this Citation does not constitute a finding that a violation of the Act has occurred unless there is a failure to contest as provided for in the Act or, if contested, unless this Citation is affirmed by the Review Commission or a court.

**Posting** - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or, if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and Federal holidays), whichever is longer.

**Informal Conference** - An informal conference is not required. However, if you wish to have such a conference you may request one with the Area Director during the 15 working day contest period. During such an informal conference you may present any evidence or views which you believe would support an adjustment to the citation(s) and/or penalty(ies).

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Area Director within 15 working days of your receipt of this Citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete, remove and post the Notice to Employees next to this Citation and Notification of Penalty as soon as the time, date, and place of the informal conference have been determined. Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

**Right to Contest** – You have the right to contest this Citation and Notification of Penalty. You may contest all citation items or only individual items. You may also contest proposed penalties and/or abatement dates without contesting the underlying violations. **Unless you inform the Area Director in writing that you intend to contest the citation(s) and/or proposed penalty(ies) within 15 working days after receipt, the citation(s) and the proposed penalty(ies) will become a final order of the Occupational Safety and Health Review Commission and may not be reviewed by any court or agency.**

**Penalty Payment** – Penalties are due within 15 working days of receipt of this notification unless contested. (See the enclosed booklet and the additional information provided related to the Debt Collection Act of 1982.) Make your check or money order payable to “DOL-OSHA”. Please indicate the Inspection Number on the remittance.

OSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than the full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

**Notification of Corrective Action** – For each violation which you do not contest, you must provide *abatement certification* to the Area Director of the OSHA office issuing the citation and identified above. This abatement certification is to be provided by letter within 10 calendar days after each abatement date. Abatement certification includes the date and method of abatement. If the citation indicates that the violation was corrected during the inspection, no abatement certification is required for that item. The abatement certification letter must be posted at the location where the violation appeared and the corrective action took place or employees must otherwise be effectively informed about abatement activities. A sample abatement certification letter is enclosed with this Citation. In addition, where the citation indicates that *abatement documentation* is necessary, evidence of the purchase or repair of equipment, photographs or video, receipts, training records, etc., verifying that abatement has occurred is required to be provided to the Area Director.

**Employer Discrimination Unlawful** – The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the U.S. Department of Labor Area Office at the address shown above.

**Employer Rights and Responsibilities** – The enclosed booklet (OSHA 3000) outlines additional employer rights and responsibilities and should be read in conjunction with this notification.

**Notice to Employees** – The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the U.S. Department of Labor Area Office at the address shown above and postmarked within 15 working days

(excluding weekends and Federal holidays) of the receipt by the employer of this Citation and Notification of Penalty.

**Inspection Activity Data** – You should be aware that OSHA publishes information on its inspection and citation activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to these alleged violations will be posted when our system indicates that you have received this citation. You are encouraged to review the information concerning your establishment at [www.osha.gov](http://www.osha.gov). If you have any dispute with the accuracy of the information displayed, please contact this office.



## NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with OSHA to discuss the citation(s) issued on 03/28/2013. The conference will be held at the OSHA office located at 507 N. Sam Houston Parkway E., Suite 400, Houston, TX 77060 on \_\_\_\_\_ at \_\_\_\_\_.

Employees and/or representatives of employees have a right to attend an informal conference.

**CERTIFICATION OF CORRECTIVE ACTION WORKSHEET**

**Inspection Number: 673418**

Company Name: Tricon Precast, Ltd.  
Inspection Site: 15055 Henry Rd., Houston, TX 77060  
Issuance Date: 03/28/2013

List the specific method of correction for each item on this citation in this package that does not read "Corrected During Inspection" and return to: **U.S. Department of Labor – Occupational Safety and Health Administration, 507 N. Sam Houston Parkway E., Suite 400, Houston, TX 77060**

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

Citation Number \_\_\_\_\_ and Item Number \_\_\_\_\_ was corrected on \_\_\_\_\_  
By (Method of Abatement): \_\_\_\_\_

I certify that the information contained in this document is accurate and that the affected employees and their representatives have been informed of the abatement.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Typed or Printed Name

\_\_\_\_\_  
Title

**NOTE: 29 USC 666(g)** whoever knowingly makes any false statements, representation or certification in any application, record, plan or other documents filed or required to be maintained pursuant to the Act shall, upon conviction, be punished by a fine of not more than \$10,000 or by imprisonment of not more than 6 months or both.

**POSTING:** A copy of completed Corrective Action Worksheet should be posted for employee review

**U.S. Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 673418  
**Inspection Date(s):** 10/03/2012 - 10/03/2012  
**Issuance Date:** 03/28/2013



**Citation and Notification of Penalty**

**Company Name:** Tricon Precast, Ltd.  
**Inspection Site:** 15055 Henry Rd., Houston, TX 77060

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**Citation 1 Item 1** Type of Violation: **Serious**

29 CFR 1910.23(c)(1): Open-sided floor(s) or platform(s) 4 feet or more above the adjacent floor or ground level were not guarded by standard railings (or the equivalent as specified in 29 CFR 1910.23(e)(3)(i) through (v)), on all open sides.

The employer does not protect employees from falling when working 4 feet or more above an adjacent floor or ground level.

Instance a. This violation was observed on or about October 3, 2012, at the entrance area of the facility where an employee was exposed to a 7 foot, 8 inch fall hazard when working near to unprotected edges without fall protection.

Instance b. This violation was observed on or about October 3, 2012, at the Batch Plant area where an employee was exposed to an 11 foot, 6 inch fall hazard when working near to un-protected edges without fall protection.

Pursuant to 29 C.F.R. 1903.19, within ten (10) calendar days of the abatement date, the employer must submit documentation showing that it is in compliance with the standard, including describing the steps that it is taking to ensure that employees are protected from falling when exposed to falls 4 feet or more above the floor or ground level.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

**Date By Which Violation Must be Abated:**  
**Proposed Penalty:**

**04/16/2013**  
**\$6300.00**

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

**U.S. Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 673418  
**Inspection Date(s):** 10/03/2012 - 10/03/2012  
**Issuance Date:** 03/28/2013



**Citation and Notification of Penalty**

**Company Name:** Tricon Precast, Ltd.  
**Inspection Site:** 15055 Henry Rd., Houston, TX 77060

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The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

**Citation 1 Item 2 a** Type of Violation: **Serious**

29 CFR 1910.184(e)(1): Alloy steel sling(s) did not have permanently affixed durable identification stating size, grade, rated capacity, and reach.

The employer does not ensure that the metallic chain slings being used with an overhead crane to lift material have identification tags. This violation was observed on or about October 3, 2012 in the Maintenance Shop Area where a crane operator was exposed to a struck-by hazard when using metallic chain slings to lift and transport material without affixed durable identification.

Pursuant to 29 C.F.R. 1903.19, within ten (10) calendar days of the date of this citation, the employer must submit documentation showing that it is in compliance with the standard, including describing the steps that it is taking to ensure that all metallic chain slings in the facility have identification tags that state the sling size, grade, capacity, and reach.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:	04/16/2013
Proposed Penalty:	\$3600.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



**Citation and Notification of Penalty**

**Company Name:** Tricon Precast, Ltd.  
**Inspection Site:** 15055 Henry Rd., Houston, TX 77060

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**Citation 1 Item 2 b** Type of Violation: **Serious**

29 CFR 1910.184(e)(3)(i): Thorough periodic inspections of alloy steel chain slings in use were not made on a regular basis.

The employer does not ensure that all metallic chain slings in the facility are thoroughly inspected on an annual basis. This violation was observed on or about October 3, 2012 in the Maintenance Shop Area where a crane operator was exposed to a struck-by hazard when using metallic chain slings that had not been thoroughly inspected on an annual basis.

Pursuant to 29 C.F.R. 1903.19, within ten (10) calendar days of the date of this citation, the employer must submit documentation showing that it is in compliance with the standard, including describing the steps that it is taking to ensure that all metallic chain slings in the facility are thoroughly inspected on an annual basis.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:

04/16/2013

**U.S. Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 673418  
**Inspection Date(s):** 10/03/2012 - 10/03/2012  
**Issuance Date:** 03/28/2013



**Citation and Notification of Penalty**

**Company Name:** Tricon Precast, Ltd.  
**Inspection Site:** 15055 Henry Rd., Houston, TX 77060

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**Citation 1 Item 3** Type of Violation: **Serious**

29 CFR 1910.184(e)(4): The employer did not ensure that before use, each new, repaired, or reconditioned alloy steel chain sling, including all welded components in the sling assembly, was proof tested by the sling manufacturer or equivalent entity, in accordance with paragraph 5.2 of the American Society of Testing and Materials Specification A391-65, which is incorporated by reference as specified in 1910.6 (ANSI G61.1-1968). The employer did not retain a certificate of the proof test and did not make it available for examination.

The employer does not proof test the sling assemblies being used to lift material. This violation was observed on or about October 3, 2012 throughout the facility where cranes operators were using shop made spreader bars as part of a sling assembly without being proof tested to lift and move material.

Pursuant to 29 C.F.R. 1903.19, within ten (10) calendar days of the date of this citation, the employer must submit documentation showing that it is in compliance with the standard, including describing the steps that it is taking to ensure that all sling components of assemblies are proof tested.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:	04/16/2013
Proposed Penalty:	\$6300.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



**Citation and Notification of Penalty**

**Company Name:** Tricon Precast, Ltd.  
**Inspection Site:** 15055 Henry Rd., Houston, TX 77060

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**Citation 1 Item 4** Type of Violation: **Serious**

29 CFR 1910.212(a)(3)(ii): Point(s) of operation of machinery were not guarded to prevent employee(s) from having any part of their body in the danger zone(s) during operating cycle(s).

The employer does not guard the machines whose operation exposes employees to injury.

Instance a: This violation was observed on or about October 3, 2012 in the Maintenance shop area where employees were exposed to caught-in and struck-by hazards while operating a punch station on an Kalamazoo ironworker without a guard.

Instance b: This violation was observed on or about October 3, 2012 in the Maintenance shop area where employees were exposed to caught-in and struck-by hazards while operating a horizontal band saw without guarding the unused portion of the blade.

Pursuant to 29 C.F.R. 1903.19, within ten (10) calendar days of the abatement date, the employer must submit documentation showing that it is in compliance with the standard, including describing the steps that it is taking to ensure that guards are installed on all machines to protect the operator and other employees in the machine area.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:	04/16/2013
Proposed Penalty:	\$6300.00

**U.S. Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 673418  
**Inspection Date(s):** 10/03/2012 - 10/03/2012  
**Issuance Date:** 03/28/2013



**Citation and Notification of Penalty**

**Company Name:** Tricon Precast, Ltd.  
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The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

**Citation 1 Item 5 a** Type of Violation: **Serious**

29 CFR 1910.215(a)(4): Grinding machinery was not used with work rest(s) to support offhand grinding work.

The employer does not ensure that the work rests on all bench grinding machines are adjusted with a maximum opening of one-eighth inch to prevent employee injury. This violation was observed on or about October 3, 2012, in the mechanic shop area where employees were exposed to struck-by and caught-in hazards when operating a bench grinder without a work rest.

Pursuant to 29 C.F.R. 1903.19, within ten (10) calendar days of the date of this citation, the employer must submit documentation showing that it is in compliance with the standard, including describing the steps that it is taking to ensure that all bench grinders have their work rests adjusted to a maximum opening of 1/8th inch from the grinding wheel.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:	04/16/2013
Proposed Penalty:	\$4500.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

**U.S. Department of Labor**  
Occupational Safety and Health Administration

**Inspection Number:** 673418  
**Inspection Date(s):** 10/03/2012 - 10/03/2012  
**Issuance Date:** 03/28/2013



**Citation and Notification of Penalty**

**Company Name:** Tricon Precast, Ltd.  
**Inspection Site:** 15055 Henry Rd., Houston, TX 77060

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**Citation 1 Item 5 b Type of Violation: **Serious****

29 CFR 1910.215(b)(9): The distance between the abrasive wheel periphery(s) and the adjustable tongue or the end of the safety guard peripheral member at the top exceeded one fourth inch.

The employer does not ensure that the tongue guards on all bench grinding machines are adjusted with a maximum opening of one-fourth inch to prevent employee injury. This violation was observed on or about October 3, 2012, in the mechanic shop area where employees were exposed to struck-by hazards when operating a bench grinder without tongue guard adjusted properly.

Pursuant to 29 C.F.R. 1903.19, within ten (10) calendar days of the date of this citation, the employer must submit documentation showing that it is in compliance with the standard, including describing the steps that it is taking to ensure that all bench grinders have their tongue guards adjusted to the grinding wheel with a maximum opening of 1/4th inch.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:

04/16/2013

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



**Citation and Notification of Penalty**

**Company Name:** Tricon Precast, Ltd.  
**Inspection Site:** 15055 Henry Rd., Houston, TX 77060

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The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

**Citation 1 Item 6 a** Type of Violation: **Serious**

29 CFR 1910.219(d)(1): Pulley(s) with part(s) seven feet or less from the floor or work platform were not guarded in accordance with the requirements specified in 29 CFR 1910.219(m) and (o).

The employer does not guard all pulleys seven (7) feet or less from the floor or working platform. This violation was observed on or about October 3, 2012, in the sand blasting area where employees were exposed to a caught-by hazard while operating a pressure washer machine close to a compressor that had two (2) pulleys that were not guarded.

Pursuant to 29 C.F.R. 1903.19, within ten (10) calendar days of the abatement date, the employer must submit documentation showing that it is in compliance with the standard, including describing the steps that it is taking to ensure all pulleys are guarded.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:	04/16/2013
Proposed Penalty:	\$6300.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



**Citation and Notification of Penalty**

**Company Name:** Tricon Precast, Ltd.  
**Inspection Site:** 15055 Henry Rd., Houston, TX 77060

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**Citation 1 Item 6 b** Type of Violation: **Serious**

29 CFR 1910.219(e)(1)(i): Horizontal belts which had both runs seven feet or less from the floor level were not guarded with a guard that extended to at least fifteen inches above the belt.

The employer does not guard all belts seven (7) feet or less from the floor or working platform. This violation was observed on or about October 3, 2012, in the sand blasting area where employees were exposed to a caught-by hazard while operating a pressure washer machine close to a compressor that had a belt that was not guarded.

Pursuant to 29 C.F.R. 1903.19, within ten (10) calendar days of the abatement date, the employer must submit documentation showing that it is in compliance with the standard, including describing the steps that it is taking to ensure all belts are guarded.

**ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM**

Date By Which Violation Must be Abated:

04/16/2013

A handwritten signature in blue ink, appearing to read "David A. Doucet", written over a horizontal line.

**David A. Doucet**  
Area Director

**U.S. Department of Labor**  
Occupational Safety and Health Administration  
507 N. Sam Houston Parkway E.  
Suite 400  
Houston, TX 77060  
Phone: 281-591-2438 Fax: 281-999-7457



## INVOICE / DEBT COLLECTION NOTICE

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**Company Name:** Tricon Precast, Ltd.  
**Inspection Site:** 15055 Henry Rd., Houston, TX 77060  
**Issuance Date:** 03/28/2013

<b>Summary of Penalties for Inspection Number</b>	<b>673418</b>
<b>Citation 1, Serious</b>	<b>\$33300.00</b>
<b>TOTAL PROPOSED PENALTIES</b>	<b>\$33300.00</b>

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To avoid additional charges, please remit payment promptly to this Area Office for the total amount of the uncontested penalties summarized above. Make your check or money order payable to: "DOL-OSHA". Please indicate OSHA's Inspection Number (indicated above) on the remittance.

OSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than the full amount due, and will cash the check or money order as if these restrictions or conditions do not exist.

If a personal check is issued, it will be converted into an electronic fund transfer (EFT). This means that our bank will copy your check and use the account information on it to electronically debit your account for the amount of the check. The debit from your account will then usually occur within 24 hours and will be shown on your regular account statement. You will not receive your original check back. The bank will destroy your original check, but will keep a copy of it. If the EFT cannot be completed because of insufficient funds or closed account, the bank will attempt to make the transfer up to 2 times.

Pursuant to the Debt Collection Act of 1982 (Public Law 97-365) and regulations of the U.S. Department of Labor (29 CFR Part 20), the Occupational Safety and Health Administration is required to assess interest, delinquent charges, and administrative costs for the collection of delinquent penalty debts for violations of the Occupational Safety and Health Act.

**Interest:** Interest charges will be assessed at an annual rate determined by the Secretary of the Treasury on all penalty debt amounts not paid within one month (30 calendar days) of the date on which the debt amount

becomes due and payable (penalty due date). The current interest rate is one percent (1%). Interest will accrue from the date on which the penalty amounts (as proposed or adjusted) become a final order of the Occupational Safety and Health Review Commission (that is, 15 working days from your receipt of the Citation and Notification of Penalty), unless you file a notice of contest. Interest charges will be waived if the full amount owed is paid within 30 calendar days of the final order.

**Delinquent Charges:** A debt is considered delinquent if it has not been paid within one month (30 calendar days) of the penalty due date or if a satisfactory payment arrangement has not been made. If the debt remains delinquent for more than 90 calendar days, a delinquent charge of six percent (6%) per annum will be assessed accruing from the date that the debt became delinquent.

**Administrative Costs:** Agencies of the Department of Labor are required to assess additional charges for the recovery of delinquent debts. These additional charges are administrative costs incurred by the Agency in its attempt to collect an unpaid debt. Administrative costs will be assessed for demand letters sent in an attempt to collect the unpaid debt.



**David A. Doucet**  
Area Director

3/28/2013

Date