Design for Construction Safety

Participant Guide
Disclaimer

This information has been developed by the OSHA Alliance Program's Construction Roundtable and the Directorate Cooperative and State Programs and is intended to assist employers, workers, and others as they strive to improve workplace health and safety. While we attempt to thoroughly address specific topics, it is not possible to include discussion of everything necessary to ensure a healthy and safe working environment in a presentation of this nature. Thus, this information must be understood as a tool for addressing workplace hazards, rather than an exhaustive statement of an employer's legal obligations, which are defined by statute, regulations, and standards. Likewise, to the extent that this information references practices or procedures that may enhance health or safety, but which are not required by a statute, regulation, or standard, it cannot, and does not, create different or additional legal obligations. Finally, over time, OSHA may modify regulations, standards, compliance documents, or interpretations. To keep apprised of such developments, or to review current information, you can visit OSHA’s website at www.osha.gov.
Course Outline

Course Objectives

Introduction

Identifying Hazards

Consider Human Factors

Assessing the Risk

Hierarchy of Controls

Some Simple Suggestions to Get Started

Other Benefits of DfCS

Course Summary and Closing

Appendices
COURSE OBJECTIVES

The goal is to provide contractors, owners, design/build firms, engineers, architects with information on how to recognize and anticipate construction hazards and how to eliminate them with well thought out design features.

At the end of the course students will be able to:

- Identify factors which contribute to construction injuries and fatalities
- Explain how to analyze work sites for hazards
- Discuss the hierarchy of controls for construction hazards
- Provide methodology and examples of how appropriate design features can eliminate or reduce the risk of an injury
INTRODUCTION

The construction industry has one of the highest fatality rates of any industry sector. In 2009 there were nearly 1,552,000 serious injuries and 834 deaths in construction. Construction has only 5% of the U.S. workforce, yet has 16.5% of the fatalities. These statistics have been fairly constant from year to year.

The very nature of the construction process increases the likelihood of an accident. In general industry, most work tasks take place under one roof, with one management structure. A construction project is different. An owner generally hires a design professional and a general contractor. The general contractor usually subcontracts some or all of the work to specialty trade contractors. Overall site management can be an enormous task. Construction projects move fast. The work, the subcontractors, and the material deliveries must be scheduled so that the project is completed on time and within budget. Then there are unexpected events and change orders. Site safety adds another whole layer to the overall task of managing the site.

What ends up happening is that hazards are managed during the construction process. Unanticipated hazards can arise due to sequencing changes. Workers may or not be trained. Decisions are made which may or may not prove to be safe. For example, a worker may tie off on a structural element that is unsound, or may not tie off at all if there is nothing to tie off to.

Studies have shown that a significant percentage of construction injuries and fatalities can be traced back to design issues. During the years 2000-2002 22% of injuries in Oregon, Washington, and California were linked to design. Across the United States, 42% of construction fatalities were related to design issues between the years 1990 and 2003. A 1991 study done in Europe found that 60% of fatalities were the result of decisions made before the site work even began. These studies and statistics suggest that design professionals can have a significant impact on construction injuries and fatalities by considering construction safety hazards in their designs.

In this course we will look at methods to identify and evaluate construction site hazards. Then we will look at the hierarchy of controls to select the best design feature to either eliminate the hazard or reduce the risk. Many examples will be presented which pertain to the 1926 regulations.
IDENTIFYING HAZARDS

Many hazards are obvious or “recognizable”. Floor openings, open sided floors, and mechanical hazards are some examples of such hazards. There are many standards and government regulations which can be reviewed to list any foreseeable hazards that could arise on your project. Appendix A provides a list of standards and regulations that can be helpful. Also, visit similar buildings and projects. Talk to owners and contractors. Then make a checklist of potential hazards.

Hidden hazards are much more difficult to identify. Examples of hidden hazards include buried electrical wire, hidden asbestos, buried gas lines, or hazards that were not expected due to changes in job sequencing. There are several analytical tools that can be used to help uncover hidden hazards. These methods will be described briefly. There are many references on each of these methods which you should read.

There will always be a need to identify hazards with complex situations and scenarios. Many tools have been developed to assist, the simple ones we have already looked at. A “what if” analysis is one such tool. A “what if” analysis is basically a structured brainstorming session. To be effective it is important to select the boundaries of the review and assemble an experienced team. Then gather as much information as you can such as video tapes of similar facilities, design documents, how the various components of the building will be constructed, and how it will be maintained. Then pose “what if” questions. The answers to these questions can help identify unanticipated or hidden hazards. An example of questions that might be posed for a highway construction project are:

- What if workers have to access drains? Are drains a possible confined space?
- What about the power lines? Will equipment be operating near power lines?
- What about worker/public injury from traffic accidents? Do trucks have enough turning space? Is there signage/barriers to re-direct pedestrians?
- Will construction vehicles have enough shoulder space to stop on road
- What if worker attempts to manually pick up drain covers? Are they lightweight? Do they have handles?

A sample “What If” analysis template is provided in Appendix G.
Fault Tree Analysis (FTA) is a reliability and logic-based methodology. It is used for identifying and analyzing the events that could lead to an accident or an undesirable event. The undesirable event is at the top. A logic tree is constructed below using logic symbols and the various events that can result in the undesirable event. By studying the fault tree, one can determine where design interventions might be effective in preventing the top event.

Job hazard analysis (JHA) of Job Safety Analysis (JSA) is another technique that can be used to identify hazards before they occur. This analysis focuses on the relationship between the worker, the task, the tools, and the work environment. Look at each work task. For each task, ask the following questions:

- What can go wrong?
- What are the consequences?
- How could it happen?
- What are other contributing factors?
- How likely is it that the hazard will occur?

A sample Job Hazard Analysis Form is provided in Appendix D.

Read case studies on construction accidents. This might indicate how sequencing problems or human error may have created an unforeseen hazard. OSHA Fatal Facts and NIOSH FACE reports usually provide background details on events that lead to an fatality which can provide other information.

CONSIDER HUMAN FACTORS

If the world was perfect, there would be no (of few) construction injuries and fatalities. OSHA regulations and other standards specify what safety procedures should be done in many if not most circumstances. This is not to blame the worker when an accident occurs. Even the best trained worker has momentary lapses, misjudges a situation, or makes a mistake. These are some of the factors that contribute to construction accidents:

- Inadequate construction planning
- Lack of proper training
- Deficient enforcement of training
• Unsafe equipment
• Unsafe methods or sequencing
• Unsafe site conditions
• Not using safety equipment that was provided

Construction projects move very quickly and safety responsibilities can become blurred. The result is that certain decisions are made to keep the project moving and on schedule. With regard to safety, when no decisions or poor decisions are made, someone gets injured or even killed.

The goal of DfCS is to provide design features to make the building easier and safer to build without relying on human judgment. As you prepare or review plans ask yourself the following questions:

• What sorts of situation might a worker find himself/herself in?
• What type of distractions might there be on the job?
• Will the workers be properly trained? What if they are not?
• Will there be inexperienced workers on the job?
• How can sequencing changes create unplanned hazards?
• How might a worker misjudge a situation?
• What if workers do not have the proper equipment?
ASSESS THE RISK

Once the hazard(s) have been identified and a list is made, the next step is to assess the risk for each hazard. Risk is the product of the severity of an injury times the likelihood of an occurrence. There is no such thing as zero risk. A risk analysis will identify those hazards that need attention and those that may not. Also, a risk analysis will allow you to prioritize the hazards.

There are a number of methods to assess risk, some are qualitative and some are quantitative, some are relatively simple to implement and some are complicated and time-consuming. A very simple risk assessment is the qualitative analysis as follows. First, the injury severity is assessed as: severe, serious, moderate or slight:

- **Severe** - Death or serious debilitating long-term injury such as amputation or coma
- **Serious** - Permanent or nonreversible injury that severely impact enjoyment of life and may require continued treatment
- **Moderate** - Permanent or reversible minor injury that does not significantly impact enjoyment of life, but requires medical treatment.
- **Slight** - Reversible injury requiring simple medical treatment with no confinement

The probability of an occurrence is then estimated as,

- **High** - Very likely to occur, protective measures are nearly worthless
- **Medium** - Occurrence is likely. The frequency of control measures is significant or control measures are inadequate
- **Moderate** - Occurrence is possible, but not likely
- **Low** - Occurrence is so unlikely as to be considered nearly zero.

Once the severity and the probability have been estimated, the matrix below can be used to assess the risk. The risk ranges from high to negligible. Design action
ranges from intervention (high) to no action (negligible). For example, a “medium” probably times a “serious” severity is a “medium” risk. A risk assessment matrix is provided in Appendix E.

### Risk Assessment Matrix

<table>
<thead>
<tr>
<th>Probability</th>
<th>Severity</th>
<th>Severity</th>
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<td>Medium</td>
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<tr>
<td>Moderate</td>
<td>Medium</td>
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<td>Low</td>
<td>Low</td>
<td>Negligible</td>
<td>Negligible</td>
</tr>
</tbody>
</table>

**HIERARCHY OF CONTROLS**

Once the hazards have been identified and the risk assessed, the role of the design professional is to apply his/her design skills to eliminate the risk or reduce it to an acceptable level by the design alternatives based on the hierarchy of controls. In Designing for Construction Safety, we focus on designing out the hazard as top priority and providing safety devices as a second priority. Keeping in mind that DfCS is about designing permanent features into a building that make it safer to build and maintain. The lower priority implementation such as warning devices, administrative procedures, and personal protective equipment do not fall within this definition unless there are design features that can make them easier to implement.

Elimination of fall hazards is the first and best line of defense against falls from heights. This requires a careful assessment of the workplace and the work process itself. The idea is to combine safety and health into the work process, and not simply try to add safety as an afterthought to an inherently unsafe work procedure.

The prevention of hazards is the second line of defense when hazards cannot be entirely eliminated. This involves making changes to the workplace to
preclude the need to rely on the employee’s behavior, and personal protective equipment to prevent falls. Examples include use of stairs, guardrails, and barriers to prevent the employee from direct and unprotected exposure to the fall hazard. These techniques prevent the fall before the onset.

Hierarchy of Controls

Control of hazards is the last line of defense. It should be considered only after determining that a hazard cannot be eliminated or prevented. Controls generally involved making it easier to use safety systems. For example, specifying roof anchors would make it easier for a worker to use fall protection.

**SOME SIMPLE SUGGESTIONS TO GET STARTED**

While this whole process may seem over-welming at first, let’s start looking at some “low hanging” fruit. Consider the top three OSHA violations related to falls: scaffolding, fall protection, and ladders. Scaffold accidents occur because of improper access, lack of fall protection, or unsafe anchor points. There are several design features you can use here. Gantry systems can be permanently installed so that scaffolds are not needed when, for example, servicing atriums and skylights. Davits can be installed so that there will always be a permanent suspension system whenever scaffolding is needed.
Statistically, falls account for the greatest number of fatalities in the construction industry, roughly one third of all workplace fatalities. Consider parapet walls, permanent anchors, cast-in sockets for temporary guardrails, and holes drilled into columns for perimeter cables. Specify guards or screens around skylights which are capable of withstanding the live load of human impact.

Reducing the time spent working at height reduces the possibility of a fall. Consider prefabrication or modular construction. Prefabrication involves the assembly of pieces in temporary locations, such as on the ground or in manufacturing facilities. The components are then transported to their permanent location then lifted into position.

Falls from ladders occurs because a worker used the wrong portable ladder, a defective portable ladder, or did not use the ladder properly. Consider permanent, safe stairways or fixed ladders so that the need to use a portable ladder is eliminated.

Construction sites are noisy places. Long term exposure to noise can lead to hearing loss. Noise on a construction site can also create other problems. Workers may not hear back up alarms or verbal instructions and warnings.

While noise control largely depends on personal protective equipment, there are some things that a designer can do. Specify commercial sound panels that can help reduce noise levels. Sound barriers can also be constructed on the site using scrap materials. Specify quiet pumps, generators, and compressors so that hearing protection would not be required. Consider,

- Design cast crack inducers in concrete to avoid the need to saw cut joints. Design cast-in anchors instead of drill and fix.
- Avoid vibro compacting.
- Detail mesh reinforcement to suit bay sizes, rather than cutting to fit on site. Specify non standard blocks to be cut off site under controlled conditions

Design professionals typically specify materials based on perceived or experienced performance and cost and not on their inherent safety. There are many water based materials which offer essentially similar performance and cost yet are considerably less hazardous to install or apply. This is particularly true for coatings, adhesives and cleaners, which are associated with air quality, flammability and skin hazards.
Trenching accidents occur because contractors don’t bother to use shoring and trench boxes, or so not use them properly. In utility and other similar work, consider specifying trenchless technology. This eliminates the need for a worker to be down in the trench.

Confined spaces require a confined space entry permit which relies on human to implement it properly and safely. Try to avoid confined spaces in your designs. By eliminating the chance of human error in the implementation of the permit, a worker’s life could be saved.

A designer’s expertise can be applied to making it easier and safer to erect structural steel. Steel girders need to be supported during the construction process. Safety seats make it easier to erect structural steel by eliminating the need for support.

Consider how structural members behave while they are being built. For example, a composite beam might be structurally adequate when the concrete has cured, but is an entirely different animal during the construction phase. The concrete has little or no structural strength while it is curing, yet still has pretty much the same dead load. Loading of this “non-composite beam” and supporting steel members during the construction and curing process can cause failure resulting in injury or death.

Don’t leave rebar details at beam/column joints to the contractor. This can have catastrophic consequences. Put the rebar details on the drawing so that there are no questions.

This was an actual accident whereby an engineer specified water monitoring wells under power lines. A worker was killed when his equipment came into contact with the power lines. Consider how a building or facility will be maintained. Do not specify ground water monitoring wells or other facilities near or under power lines.

Consider how a building or facility will be maintained. Which pump installation is easier to maintain? The one of the left which would require the worker to unbolt the pump through the congestion of piping while standing on a step ladder, of the one of the left which can be easily disconnected while standing on the floor.
OTHER BENEFITS OF DFCS

There are life cycle benefits of implementing DfCS. Many design features that make it easier and safer to construct a building also make it easier and safer to maintain. For example, positioning roof top equipment at least 15 feet back from the roof edge, or on the ground, will eliminate the need for fall protection whenever the equipment has to be serviced. Eliminating the need to go onto a roof and/or near a roof edge will reduce maintenance costs during the life cycle of the building since fall protection or warning lines would not be needed. A well thought out plan for roof anchors that are structurally sound and certified will provide a convenient place to tie off. Parapet walls also eliminate the need for fall protection.

Hearing conservation programs can be costly to the end user, and could lead to hearing loss if not monitored properly. Specify “quiet” equipment, or noise control in mechanical rooms so that hearing conservation program or personal protective equipment is not needed.

Maintaining electrical substations means electrical work permits, arc suits and the risks associated with entering an electrical cabinet. Smart substations eliminate all of this. The technician can make checks without entering the cabinet. Arc resistant switchgear is safer because it directs the arc energy directed away from personnel instead of at them.

COURSE SUMMARY AND CLOSING

In this course, we have provided the following information to you:

- Identify factors which contribute to construction injuries and fatalities
- Explain how to analyze work sites for hazards
- Discuss the hierarchy of controls for construction hazards
- Provide methodology and examples of how appropriate design features can eliminate or reduce the risk of an injury

We hope that this information will help you prevent construction accidents and injuries. Additional information is provided in the Appendices to this course. Good luck and thanks for your participation.
APPENDICES

Appendix A - Reference Material
Appendix B - NIOSH FACE Reports
Appendix C - Work Group Exercises
Appendix D - Sample Job Hazard Analysis Form
Appendix E - Risk Assessment Matrix
Appendix F - DfCS Template
Appendix G - “What If” Analysis Template
Appendix H - Design Solution Sheets
APPENDIX A: REFERENCE MATERIAL

1. NIOSH Alerts

NIOSH has issued several Alerts concerning falls in construction, including:


Citation: Worker Health Chartbook, 2004, NIOSH Publication No. 2004-146. See http://www.cdc.gov/niosh/docs/chartbook/.

Summary: The Chartbook is a descriptive epidemiologic reference on occupational morbidity and mortality in the United States. It includes a section on the construction trades. (See Chapter 4 at http://www2a.cdc.gov/niosh-Chartbook/ch4/ch4-2.asp.) This section includes detailed information on workplace fatalities and injuries/illnesses for the following trades: brickmasons, carpenters, drywall installers, electricians, ironworkers, laborers, operating engineers, painters, plumbers, roofers, truck drivers, and welders and cutters.

3. University of Tennessee Annual Analysis of Construction Fatalities

The Construction Industry Research and Policy Center at the University of Tennessee prepares annual reports entitled “An Analysis of Fatal Events in the Construction Industry.” The reports are funded by OSHA and use IMIS data supplied by OSHA. They analyze all OSHA-inspected fatal construction accidents, including fatalities in state plan states.

The reports are available at: http://bus.utk.edu/cirpc/Research/ConstructionFatalityReports.htm
According to the report, falls have consistently been the leading cause of construction fatalities. In 2004, the study analyzed 785 fatalities. The following were the number and percentage of fatalities from various classifications of falls in 2004:

- Fall from/through roof: 109 fatalities (13.8% of construction fatalities)
- Fall from/with structure (other than roof): 63 fatalities (8.0%)
- Fall from/with ladder: 41 fatalities (5.2%)
- Fall through opening (other than roof): 26 fatalities (3.3%)
- Fall from/with scaffold: 24 fatalities (3.1%)
- Fall from/with platform or catwalk: 20 fatalities (2.3%)
- Fall from/with bucket (aerial lift/basket): 15 fatalities (1.9%)
- Fall, other or unknown: 3 fatalities (0.4%)
- Fall from highway vehicle/construction equipment: 2 fatalities (0.3%)

These figures are similar to the averages for 1991-2003, which are:

- Fall from/through roof: 71.3 fatalities (11.3% of construction fatalities)
- Fall from/with structure (other than roof): 52.8 fatalities (8.4%)
- Fall from/with ladder: 25.8 fatalities (4.1%)
- Fall from/with scaffold: 21.2 fatalities (3.4%)
- Fall through opening (other than roof): 16.6 fatalities (2.6%)
- Fall from/with platform or catwalk: 14.4 fatalities (2.3%)
- Fall from/with bucket (aerial lift/basket): 13.2 fatalities (2.1%)
- Fall from highway vehicle/construction equipment: 5.4 fatalities (0.9%)
- Fall, other or unknown: 4.7 fatalities (0.7%)

4. National Association of Home Builders Study

**Citation:** Residential Construction Fall Fatality Study, NAHB, 1998.

**Data source:** OSHA IMIS database: all fatal falls in the construction industry from 1992 to 1995.

**Summary:** NAHB commissioned this study to determine whether a significant number of falls regulated under 29 CFR 1926, Subpart M occur in residential construction relative to non-residential construction or construction as a whole. The study found that of those fatal falls regulated under Subpart M, 22% occurred in residential construction. From 1992 to 1995, the fall fatality rate for residential construction was 7.8 per million workers, while for non-residential construction it was 19.8 per million workers. The study concluded that the risk of death from a fall regulated under Subpart M is significantly lower on residential sites compared with non-residential sites.

The report also includes breakdowns of fatalities by SIC code (residential and non-residential), fatalities by state, fall fatalities and single-family building permits by state, and employment in residential construction.
5. Center to Protect Workers’ Rights

CPWR is the research, development, and training arm of the Building and Construction Trades Department, AFL-CIO. CPWR has done several studies related to construction falls, including the following.


6. Journal Articles

The following are citations to selected journal articles that include statistics and other analysis on fall fatalities and injuries in construction.


7. American National Standard Institute (ANSI)
   ANSI A10.6-Safety Requirements for Demolition Operations
   ANSI A10.8 Safety Requirements for Scaffolding
   ANSI A10.13 Safety Requirements for Steel Erection
   ANSI A14.2 Safety Requirements for Portable Ladders
   ANSI A14.4 Job Made Wooden Ladders
   ANSI A10.32-Fall Protection Systems for Construction and Demolition Operations.
   ANSI A145.1 Recommended Practice for Concrete Formwork
   ANSI Z49.1 Safety in Welding and Cutting
   ANSI A1264.1-Safety Requirements for Workplace Floor and Wall Openings, Stairs & Railing Systems
   ANSI D6.1 Manual on Uniform Traffic Control Devices
   ANSI Z93.1 Fire Hazards in Oxygen Enriched Atmospheres
   ANSI Z117.1 Safety Requirements for Confined Spaces
   ANSI Z244.1 Lockout/Tagout of Energy Sources

   ASTM 04.09 Wood Construction
   ASTM D4532 Respirable Dust in Workplace Atmospheres
   ASTM F802 Guide for Selection of Certain Walkway Surfaces When Considering Footwear
   ASTM F1647 Standard Practice for Safe Walking Surfaces
9. National Fire Protection Association (NFPA)

NFPA Volume 13, 53M Fire Hazards in Oxygen Enriched Atmospheres
NFPA 30 Flammable and Combustible Liquids
NFPA 241 Safeguarding Construction, Alteration, and Demolition Operations
NFPA 325M Fire Hazard Properties of Flammable Liquids, Gases & Volatile Solids
NFPA 654 Prevention of Fire and Dust Explosions in the Chemical, Dye, Pharmaceutical, and Plastics Industries

10. OSHA

OSHA 1926.550 Cranes and derricks
OSHA 1926.251 Rigging Material for Material Handling
OSHA 1926.452 Scaffolds
OSHA 1926.800 Underground Construction
OSHA 1926.52 Occupational Noise Exposure
OSHA 1918.95 Longshoring Operations in the Vicinity of Repair and Maintenance Work
OSHA 1926.1050-1053 Stairways and Ladders
OSHA 1926.650 Excavations

11. Hearing Loss

http://www.cdc.gov/niosh/hpworkrel.html

http://www.lhsfna.org/index.cfm?objectid=FE76D86F-D56F-E6FA-99A606116D8792FC
“Construction Industry Noise Exposures Construction Workers” University of Washington, Department of Environmental and Occupational Health Services


National Academy of Engineering Technology for a Quieter America report (2009)
12. Fall Protection

*Guidelines: Roof Anchorages for Fall Arrest Systems.* Ontario Ministry of Labor

ANSI/IWCA 1-14.1 Window Cleaning Safety Standard


(H-frames)

American Society for Testing of Materials (ASTM) working group E06 WK 17797

NYC Title 27 Administrative Code Construction and Maintenance §[C26-503.4]
27-334 Protective guards, 2004:

Texas Department of Transportation Manual Notice 2006-1, Section 5, 2006:
http://onlinemanuals.txdot.gov/txdotmanuals/rlg/bridge_railing_for_pedestrians.htm#CHDEHHBF at
http://onlinemanuals.txdot.gov/txdotmanuals/rlg/metal_and_concrete_railing.htm

National Institute of Steel Detailing and Steel Erectors Association of America.

ANSI ASC A14.3 American National Standard for Ladders-Fixed - Safety Requirements

ANSI/ASSE A1264.1 Safety Requirements for Workplace Walking/Working Surfaces and their Access; Workplace, Floor, Wall and Roof Openings; Stairs and Guardrails Systems

National Safety Council Data Sheet: Fixed Ladders


Fall Protection in Construction, OSHA Publication 3146, 1998 (Revised).


13. Design for Construction Safety (DfCS)

http://www.cdc.gov/niosh/topics/ptd/

http://www.designforconstructionsafety.org/index.shtml

http://www.safetyindesign.org.uk/design-guides
### APPENDIX B NIOSH FACE REPORTS

(https://www2a.cdc.gov/NIOSH-FACE/state.asp?state=ALL&Incident_Year=ALL&Category2=0005&Submit=Submit)

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<th>State</th>
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</thead>
<tbody>
<tr>
<td>2009-01</td>
<td>NC</td>
<td>Hispanic worker dies after fall from step ladder while cleaning windows - North Carolina.</td>
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<tr>
<td>2007-10</td>
<td>CT</td>
<td>Seventeen year old female laborer falls from residential roof and dies nine days later - Connecticut.</td>
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<tr>
<td>2007-06</td>
<td>SC</td>
<td>Hispanic construction worker dies while operating ride-on roller/compactor - South Carolina.</td>
</tr>
<tr>
<td>2007-01</td>
<td>NC</td>
<td>Hispanic laborer dies after falling through a second story floor opening in a single family home under construction - North Carolina.</td>
</tr>
<tr>
<td>2006-06</td>
<td>TN</td>
<td>Laborer dies when a water truck drifts downhill and pins him against a retaining wall - Tennessee.</td>
</tr>
<tr>
<td>2006-03</td>
<td>VA</td>
<td>Laborer dies after being run over by a backing dump truck during a nighttime paving project - Virginia.</td>
</tr>
<tr>
<td>2006-01</td>
<td>NC</td>
<td>Hispanic carpenter's helper dies after crane boom fell on him during disassembly - North Carolina.</td>
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<td>2005-11</td>
<td>NC</td>
<td>Construction worker dies after being run over by a bulldozer at a commercial construction site - North Carolina.</td>
</tr>
<tr>
<td>Year-Month</td>
<td>Location</td>
<td>Event Description</td>
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<tr>
<td>2005-06</td>
<td>NC</td>
<td>Hispanic worker dies after falling from a pile of construction debris in the bed of a trash-style body truck to a paved driveway below - North Carolina.</td>
</tr>
<tr>
<td>2004-10</td>
<td>NC</td>
<td>Hispanic flagger dies after being run over by a dump truck - North Carolina.</td>
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<tr>
<td>2004-07</td>
<td>NC</td>
<td>Chain saw operator dies after being struck by excavator bucket during site clearing - North Carolina.</td>
</tr>
<tr>
<td>2004-06</td>
<td>SC</td>
<td>Sixteen-year-old Hispanic youth dies after falling from a job-made elevated work platform during construction - South Carolina.</td>
</tr>
<tr>
<td>2004-05</td>
<td>OH</td>
<td>Four construction workers die after cantilever launching gantry collapses at bridge construction site - Ohio.</td>
</tr>
<tr>
<td>2003-13</td>
<td>SC</td>
<td>18-year-old dies after being entangled in a portable mortar mixer - South Carolina.</td>
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<td>2003-12</td>
<td>SC</td>
<td>Hispanic pipe layer dies after being struck by excavator (track hoe) bucket on construction site - South Carolina.</td>
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<tr>
<td>2003-07</td>
<td>SC</td>
<td>Two Hispanic construction laborers (ages fifteen and sixteen) die after trench collapse - South Carolina.</td>
</tr>
<tr>
<td>2003-05</td>
<td>NC</td>
<td>Hispanic construction laborer dies and two coworkers are injured after falling 10 feet from an unsecured box on the forks of a forklift - North Carolina.</td>
</tr>
<tr>
<td>Year-Month</td>
<td>State</td>
<td>Incident Description</td>
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<tr>
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<tr>
<td>2003-01 VA</td>
<td>Part-time laborer pinned between scissor lift work platform railing and doorway header - Virginia.</td>
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<tr>
<td>2002-11 NC</td>
<td>Hispanic construction laborer dies after portable silo collapse - North Carolina.</td>
<td></td>
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<tr>
<td>2002-08 TN</td>
<td>Hispanic dump-truck driver dies after being caught between frame and dump body of off-road truck while performing routine lubrication - Tennessee.</td>
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<td>2002-03 NC</td>
<td>Construction laborer dies after being run over and crushed by a grader at a road construction site - North Carolina.</td>
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<tr>
<td>2001-10 IN</td>
<td>Seventeen-year-old part-time road construction laborer dies after being run over by a water truck - Indiana.</td>
<td></td>
</tr>
<tr>
<td>2001-07 AL</td>
<td>Fourteen-year-old laborer dies after falling through a skylight - Alabama.</td>
<td></td>
</tr>
<tr>
<td>2001-04 FL</td>
<td>Fifteen-year-old laborer dies after falling through a skylight - Florida.</td>
<td></td>
</tr>
<tr>
<td>2001-02 NS</td>
<td>State department of transportation highway maintenance worker dies after being struck by a car while installing reflectors on a guardrail.</td>
<td></td>
</tr>
<tr>
<td>2001-01 NC</td>
<td>State Department of Transportation worker (Laborer) dies after being struck by motor vehicle - North Carolina.</td>
<td></td>
</tr>
<tr>
<td>2000-20 NC</td>
<td>Twenty-nine-year-old asphalt compactor operator dies from crushing injuries received during machine rollover - North Carolina.</td>
<td></td>
</tr>
<tr>
<td>Year</td>
<td>State</td>
<td>Description</td>
</tr>
<tr>
<td>-------</td>
<td>-------</td>
<td>-------------</td>
</tr>
<tr>
<td>2000-16</td>
<td>AL</td>
<td>A 16-year-old died after falling 27 feet at a residential construction site - Alabama.</td>
</tr>
<tr>
<td>2000-12</td>
<td>OH</td>
<td>Carpenter dies after being struck by uncontrolled concrete bucket when crane tips over - Ohio.</td>
</tr>
<tr>
<td>2000-10</td>
<td>NC</td>
<td>Construction laborer dies after being pinned between the bucket of a mini-excavator and an air compressor - North Carolina.</td>
</tr>
<tr>
<td>2000-07</td>
<td>NC</td>
<td>Three tower painters die after falling 1,200 feet when riding the hoist line - North Carolina.</td>
</tr>
<tr>
<td>2000-05</td>
<td>PA</td>
<td>Truck driver dies after the off-highway truck he was operating rolled over an embankment and came to rest on its top - Pennsylvania.</td>
</tr>
<tr>
<td>2000-03</td>
<td>MI</td>
<td>Youth laborer dies in trench collapse - Michigan.</td>
</tr>
<tr>
<td>2000-02</td>
<td>WI</td>
<td>Flagger struck from behind and killed by a truck intruding into a highway construction work zone - Wisconsin.</td>
</tr>
</tbody>
</table>
**APPENDIX C: WORK GROUP EXERCISES**

**Risk Assessment Work Group Exercise #1**  
You have determined through a hazard analysis that roofers are exposed to a fall hazard of twelve feet. The project is expected to last 2 days.

What is the injury severity?  
What is the probability of an occurrence over the 2 days?  
What is the risk?  
Is the risk acceptable?

**Risk Assessment Work Group Exercise #2**  
HVAC workers will have to handle sheet metal. You have determined that they will be exposed to a laceration hazard from handling the sheet metal that you specified.

What is the injury severity?  
What is the probability of an occurrence?  
What is the risk?  
Is the risk acceptable?  
Is the risk acceptable if the workers are required to wear gloves?

**Risk Assessment Work Group Exercise #3**  
You have specified heavy weight concrete block for a project. Workers must carry the block a distance to where they will be used.

What is the injury severity?  
What is the probability of a back injury?  
What is the risk?  
Is the risk acceptable?

**“What If” Analysis Group Exercise #1**  
You are preparing renovation plans for an old, existing building. Nothing is known about the building and there are no “as-built” plans.

Who do you want to be on your “What If” analysis team?
What are the potential hazards that workers may encounter during this project?

“What If” Analysis Group Exercise #2
You are designing equipment that will be used to cool computer equipment. You set out to identify the hazards that could arise.

Who do you want on your “What If” analysis team?

How could the equipment fail? What are the consequences of a failure?

“What If” Analysis Group Exercise #3
You are designing a sprinkler system for an addition to a building. The design includes a new supply piping from the existing underground supply to the building. There are several shutoff valves in the current system. You not sure, but there could be a jockey pump somewhere in the current system. You want to identify the possible hazards to workers that will be following your plans.

Who do you want on your “What If” analysis team?

What are the possible hazards that could arise from connecting the new underground piping from the existing supply pipe to where it will connect to the new sprinkler system?

Work Group Case Study #1
A construction worker penetrated an embedded electrical conduit containing an energized 120-volt line while hand drilling into a concrete beam to install pipe hanger inserts. The conduit was 1 inch from the surface.

What could have been done from a design standpoint to prevent this accident?
Work Group Case Study #2
Plant utility worker was fatally injured while performing clean-up duties at a raw coal reclaim area. Victim either fell through a 56" x 80" opening in a platform or entered through a coal feeder opening

What could have been done from a design standpoint to prevent this accident?
Work Group Case Study #3
A 20-year-old male drywall mechanic (the victim) died after falling about 10 feet from an open-sided second floor landing and striking his head on a concrete floor. The victim was working alone sanding a ceiling constructed of sheetrock. The victim was operating a sander and apparently unaware of his position in relation to the open-sided floor. The victim fell about 10-feet, hitting the concrete floor face first.

What could have been done from a design standpoint to prevent this accident?

Work Group Case #4
A 60-year-old physical plant director for a state university fell 120 feet to his death. The victim was using the roof of the campus library as an observation point to inspect campus facilities for wind damage. High wind conditions with gusts to 30 miles per hour existed at the time of the incident. Campus employees routinely used the library roof as an observation point because it was the highest structure on campus and allowed an unobstructed view of the entire campus. The victim was apparently walking around the perimeter of the roof when he tripped on a utility vent pipe located near the low parapet of the roof.

What could have been done from a design standpoint to prevent this accident?
## APPENDIX D: SAMPLE JOB HAZARD ANALYSIS FORM

<table>
<thead>
<tr>
<th>Job Title:</th>
<th>Job Location:</th>
<th>Analyst</th>
<th>Date</th>
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</thead>
<tbody>
<tr>
<td>Task #</td>
<td>Task Description:</td>
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<td></td>
</tr>
<tr>
<td>Hazard Type:</td>
<td>Hazard Description:</td>
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<td></td>
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<tr>
<td>Consequence:</td>
<td>Hazard Controls:</td>
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<tr>
<td>Rational or Comment:</td>
<td></td>
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</tbody>
</table>
### APPENDIX E RISK ASSESSMENT MATRIX

<table>
<thead>
<tr>
<th>Probability</th>
<th>Severe</th>
<th>Serious</th>
<th>Moderate</th>
<th>Slight</th>
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<tbody>
<tr>
<td>High</td>
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<td>High</td>
<td>Medium</td>
<td>Low</td>
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<td>Medium</td>
<td>High</td>
<td>Medium</td>
<td>Low</td>
<td>Low</td>
</tr>
<tr>
<td>Moderate</td>
<td>Medium</td>
<td>Low</td>
<td>Low</td>
<td>Negligible</td>
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<td>Low</td>
<td>Low</td>
<td>Low</td>
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</table>
## APPENDIX F DfCS TEMPLATE

<table>
<thead>
<tr>
<th>Hazard</th>
<th>Significant to Eliminate</th>
<th>Practical to Eliminate</th>
<th>Practical to Isolate</th>
<th>All Practical Steps to Minimize</th>
<th>Controls Required</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
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</tbody>
</table>
APPENDIX G “WHAT IF” ANALYSIS TEMPLATE

<table>
<thead>
<tr>
<th>What if?</th>
<th>Answer</th>
<th>Likelihood</th>
<th>Consequences</th>
<th>Recommendations</th>
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</thead>
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<tr>
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This Appendix contains thirteen (13) DFCS solutions. The design solutions are categorized by design category (site, envelope, interior, roof) and the nature of the hazard.
This material is for training purposes only.

CONSTRUCTION WORKPLACE DESIGN SOLUTION #1
DESIGN CATEGORY: SITE
HAZARD: FALLS FROM NON-MOVING VEHICLES
DESIGN SOLUTION: PROVIDE SAFE ACCESS FOR LOADING AND UNLOADING TRUCKS

This design solution reduces the risk of serious falls from non-moving vehicles. When a truck is on the road, it is controlled by DOT regulations. However, when vehicles are stopped for loading and unloading, or when a trailer is used for storage, the truck bed or trailer is in effect a working/walking surface. While OSHA 1926 excludes trucks and trailers from the agencies definition of ”working/walking surface” thereby exempting these areas from fall protection requirements, workers are injured or killed in falls from non-moving vehicles. The same safety precautions that apply to open sided floors six feet above a lower level would also apply in preventing fall injuries during loading and unloading of trucks. Falls from non-moving vehicles can result in death or serious injury – about 21 fatalities per year [BLS, 2009] are reported.

SOLUTION
The bed of the truck or trailer should have a safe access, such as a stairway with railings, and guardrails around all open sides of the truck bed or platforms. Designers working with contractors can provide safe designs for access stairways, railings, and guardrails for loading and unloading trucks on a construction site, or when a trailer is used for storage. Permanent or movable stairway/platform/guardrail systems can be designed for loading and unloading areas of permanent buildings and structures.

The above two photos show how movable platforms with guardrails can be used around the open sides of a truck bed when loading and unloading trucks.
BACKGROUND INFORMATION

Applicable US Safety Regulations

OSHA Construction standards

1926.501(b)(1) Unprotected sides and edge. Each employee on a walking/working surface (horizontal and vertical surface) with an unprotected side or edge which is 6 feet or more above a lower level shall be protected from falling by the use of guardrails systems, safety net systems, or personal fall arrest systems.

1926.502(b)(1) Top edge height of top rails, r equivalent guardrail system members shall be 42 inches plus or minus 3 inches above the walking/working level.

1926.502(b)(2) Midrails, screens, mesh, intermediate vertical members, or equivalent intermediate structural members shall be installed between the top edge of the guardrail system and the walking/working surface when there is no wall or parapet wall at least 21 inches high.

1926.502(b)(3) Guardrail systems shall be capable of withstanding, without failure, a force of 200 pounds applied within 2 inches of the top edge, in any outward or downward direction, at any point along the top edge.

1926.1052(a)(2) Stairs shall be installed between 30 deg. and 50 deg. from horizontal.

1926.1052(c)(1) Stairways having four or more risers or rising more than 30 inches (76 cm), whichever is less, shall be equipped with:

1926.1052(c)(1)(i) At least one handrail; and

1926.1052(c)(1)(ii) One stair rail system along each unprotected side or edge
CONSTRUCTION SAFETY DESIGN SOLUTION #2
DESIGN CATEGORY: INTERIOR/EXTERIOR
HAZARD: FALLS FROM FLOOR OPENINGS
DESIGN SOLUTION: INSTALL GUARDRAILS

This design solution reduces the risk of serious falls from floor openings during the construction and maintenance activities during the life of a building. Falls from floor openings can result in death or serious injury – about 6 fatalities per year [BLS, 2009] are reported.

A 20-year-old male drywall mechanic (the victim) died after falling about 10 feet from an open-sided second floor landing and striking his head on a concrete floor. The victim was working alone sanding a ceiling constructed of sheetrock. The victim was operating a sander and apparently unaware of his position in relation to the open-sided floor. The victim fell about 10-feet, hitting the concrete floor face first. A temporary or permanent guardrail system along the open-sides of the floor would have prevented this tragedy.

SOLUTION
Designers should specify guardrail systems around floor openings except at the entrance to stairways. Designers should work with contractors to install permanent guardrails as soon as possible in the construction process so that workers will not be exposed to fall hazards.

Cast-in sockets can be specified around floor openings. The sockets make it easy for contractors to install temporary guardrails during the construction phase. The sockets can then be used for permanent railings or filled in.

This photo shows cast sockets along the open side of a stairway. The sockets make it convenient to install temporary or permanent guardrails.

This photo shows guardrails installed along an opening. Installing guardrails early on in the construction process can eliminate the risk of a fall through an opening.
BACKGROUND INFORMATION
Applicable US Safety Regulations
OSHA Construction standards
1926.501(b)(1) Unprotected sides and edge. Each employee on a walking/working surface (horizontal and vertical surface) with an unprotected side or edge which is 6 feet of more above a lower level shall be protected from falling by the use of guardrails systems, safety net systems, or personal fall arrest systems.

1926.502(b)(1) Top edge height of top rails, r equivalent guardrail system members shall be 42 inches plus or minus 3 inches above the walking/working level.

1926.502(b)(2) Midrails, screens, mesh, intermediate vertical members, or equivalent intermediate structural members shall be installed between the top edge of the guardrail system and the walking/working surface when there is no wall or parapet wall at least 21 inches high.
1926.502(b)(3) Guardrail systems shall be capable of withstanding, without failure, a force of 200 pounds applied within 2 inches of the top edge, in any outward or downward direction, at any point along the top edge.

OSHA General Industry standards
1910.23 (a) Protection for floor openings. (1) every stairway floor opening shall be guarded by a standard railing constructed in accordance with paragraph (e) of this section. The railing shall be provided on all exposed sides (except at the entrance to stairway). For infrequently used stairways where traffic across the openings prevent the use of fixed standard railing (as when located in aisle spaces, etc.) the guard shall consist of a hinged floor opening cover of standard strength and construction and removable standard railings on all exposed sides (except at the entrance to stairway).

OTHER CONSIDERATIONS
-Consider specifying perimeter beams around floor openings. The beams would provide a convenient tie off point for workers during construction.
-Consider adding drawing notes to plans specifying that floor openings and holes should be protected with either a secured cover or guardrail.
CONSTRUCTION SAFETY DESIGN SOLUTION #3
DESIGN CATEGORY: ROOF
HAZARD: FALLS FROM ROOF EDGE
DESIGN SOLUTION: MINIMIZE NEED TO GO ONTO ROOF OR NEAR ROOF EDGE

This design solution reduces the risk of serious falls from a roof edge during the construction and maintenance activities during the life of a building. Falls from roof edge can result in death or serious injury – about 47 fatalities per year [BLS, 2008] are reported.

SOLUTION
Designers should try to minimize the need to go onto a roof and/or near the edge of a roof. Mechanical equipment should be placed at ground level whenever possible. This eliminates the need to go onto the roof during installation and routine maintenance. Roof vents, mechanical equipment, and communication equipment should be located at least 15 feet back from the roof edge to provide an additional safety factor. The 15 foot distance reduces the risk of a fall when servicing equipment. Specify permanent guardrails when equipment must be closer to the roof edge. Specify multiple roof anchors to provide convenient tie off points when workers must be near the roof edge.

BACKGROUND INFORMATION
Applicable US Safety Regulations
OSHA Construction standards
1926.501(b)(1) Unprotected sides and edge. Each employee on a walking/working surface (horizontal and vertical surface) with an unprotected side or edge which is 6 feet of more above a lower level shall be protected from falling by the use of guardrails systems, safety net systems, or personal fall arrest systems.

1926.502(b)(1) Top edge height of top rails, r equivalent guardrail system members shall be 42 inches plus or minus 3 inches above the walking/working level.
1926.502(b)(2) Midrails, screens, mesh, intermediate vertical members, or equivalent intermediate structural members shall be installed between the top edge of the guardrail system and the walking/working surface when there is no wall or parapet wall at least 21 inches high.

1926.502(b)(3) Guardrail systems shall be capable of withstanding, without failure, a force of 200 pounds applied within 2 inches of the top edge, in any outward or downward direction, at any point along the top edge.

1926.502(d)(15) Anchorages used for attachment of personal fall arrest equipment shall be independent of any anchorage being used to support or suspend platforms and capable of supporting at least 5,000 pounds per employee attached, or shall be designed, installed, and used as follows:

(i) as part of a complete personal fall arrest system which maintains a safety factor of at least two; and

(ii) under the supervision or a qualified person

1926.502(f) Warning line systems. Warning line systems and their use shall comply with the following provisions:

(1) The warning line shall be erected around all side of the roof work area.

   (i) When mechanical equipment is not being used, the warning line shall be erected not less than 6 feet from the roof edge.

   (ii) When mechanical equipment is being used, the warning line shall be erected not less than 6 feet from the roof edge which is parallel to the direction of mechanical equipment operation, and not less than 10 feet from the roof edge which is perpendicular to the direction of the mechanical equipment operation.

OTHER CONSIDERATIONS
- Try to specify roof materials that do not require frequent inspections
- Position gutters so that they can be cleaned using cherry pickers or other safe access areas
- Specify durable seals at roof penetrations to minimize the need for reactive maintenance
- Consider designing roof parapets, at least 39 inches high, to serve as permanent guardrails along the roof edge. Additional snow load on the roof structure should be considered when considering parapets.

LIFE CYCLE SOLUTION BENEFITS
- Eliminating the need to go onto a roof and/or near a roof edge can reduce maintenance costs during the life cycle of the building since fall protection or warnings lines would not be needed.
CONSTRUCTION SAFETY DESIGN SOLUTION #4
DESIGN CATEGORY: ENVELOPE/INTERIOR
HAZARDS: FALLS FROM STRUCTURAL STEEL
DESIGN SOLUTION: BEAM COLUMNS

This design solution reduces the risk of serious falls from structural steel during the construction of a building. Falls from structural steel can result in death or serious injury – about 36 fatalities per year [BLS, 2008] are reported.

SOLUTION
Designers can specify features that make it safer and easier to erect structural steel. For example, hanging connections should be avoided. Safety seats at column connections would eliminate this by providing support for girders during the connection process.

Perimeter safety cables should be installed as soon as the metal decking has been installed. Specifying holes in columns at 42 inches plus or minus 3 inches and 21 inches above each floor slab make it easy to install cable or wire perimeter cables.

Specify holes in columns at 21 and 42 inches above the floor slab. This design feature makes it easy to install cable or wire perimeter cables.

Design safety seats (see arrow) at column connections. The safety seats eliminate hanging connections making the connection process easier.
BACKGROUND INFORMATION
Applicable US Safety Regulations
OSHA Construction standards
1926.760(a)(1) Except as provided by paragraph (a)(3) of this section, each employee engaged in a steel erection activity who is on a walking/working surface with an unprotected side or edge more than 15 feet above a lower level shall be protected from fall hazards by guardrail systems, safety net systems, personal fall arrest systems, positioning device systems or fall restraint systems.

1926.760(a)(2) On multi-story structures, perimeter safety cables shall be installed at the final interior and exterior perimeters of the floors as soon as the metal decking has been installed.

1926760 (3) Perimeter safety cables shall meet the criteria for guardrail systems in 1926.502.

Other Applicable Design Guidelines:

OTHER CONSIDERATIONS
- Shop welded connections should be specified whenever possible instead of bolts or field welds to avoid dangerous positions during erection.
- For bolted beam connections, provide an extra hole into which a spud wrench or other object can be inserted to provide continual support for the beam during installation of the bolts
- Be familiar with the physical constraints for making connections. Try to avoid tight spaces and provide ample room for tools used in making connections.
CONSTRUCTION SAFETY DESIGN SOLUTION #5
DESIGN CATEGORY: ROOF
HAZARD: FALL FROM ROOF
DESIGN SOLUTION: ROOF ANCHORS

This design solution reduces the risk of serious falls from roofs during the construction and maintenance activities over the life of a building. Falls from roofs result in about 121 fatalities per year [BLS, 2008]. Permanent anchors provide a convenient, safe place to tie off when personal fall arrest systems are needed. They also reduce the chance a worker will not use a personal fall arrest system because there is no approved place to anchor, or the worker connects to something that may not be structurally sound or certified by a registered Professional Engineer (PE).

SOLUTION
Planning the construction and future maintenance of a building can identify areas where permanent anchors should be installed. There are many vendors that design and install roof anchors. Anchors should be capable of sustaining a load of at least 5000 pounds without fracture or failure in the most adverse loading direction. The potential for material degradation due to environmental factors such as temperature, salt, and humidity should be considered.

There are many different methods of securing an anchor to a structure in order to meet the load requirements. Through-bolting with a backup plate is the preferred installation method. An H-frame can be designed and installed between bar joints when installing roof anchors to bar joists. Once installed it is generally the responsibility of the building owner to inspect and maintain roof anchors to ensure their continued reliable performance.
BACKGROUND INFORMATION
Applicable US Safety Regulations
OSHA Construction standards
1926.502(d)(15) Anchorages used for the attachment of personal fall arrest equipment shall be independent of any anchorage being used to support or suspend platforms and capable of supporting at least 5,000 pounds per employee attached, or shall be designed, installed, and used as follows:
   (i) as part of a complete personal fall arrest system which maintains a safety factor of at least two;
   and
   (ii) under the supervision of a qualified person

Other applicable standards and guidelines
Guidelines: Roof Anchorages for Fall Arrest Systems. Ontario Ministry of Labor
ANSI/IWCA 1-14.1 Window Cleaning Safety Standard

OTHER CONSIDERATIONS
-Roof anchors should be inspected by a qualified person on an annual basis. The report of this inspection should be included in the building’s logbook and distributed to contractors prior to starting work.
- Anchors should be re-certified when there is re-roofing or renovating, or at periods not to exceed 10 years. The report of this inspection shall be included in the building’s logbook.
- If the structural integrity of a roof anchor becomes suspect at any time, a test procedure shall be performed under the approval of a registered Professional Engineer (PE).
- Post installation testing should include applying a minimum static load equal to half the maximum capacity of the anchor in the most adverse loading direction that the load might be applied. For example, an anchor designed for a 5000 pound ultimate load should be tested at 2500 pounds.

LIFE CYCLE SOLUTION BENEFITS
-A well thought out plan for roof anchors can be beneficial during maintenance because workers will have a structurally sound, certified, convenient place to tie off. The proper purpose of the anchor must be provided for contractors and workers. Any other use must be approved by the owner’s representative.
Fixed ladders are used to access an upper level landing or a roof. Overall, falls from ladders is the number one cause of fatalities 129 fatalities per year [BLS, 2010]. Portable ladders can be hazardous when placed improperly, not secured, or used inappropriately. This fixed ladder design solution reduces the risk of serious falls from unstable ladders during maintenance activities or when accessing a roof, platform, mezzanine, or upper level of a building.

**SOLUTION**
Designers can contribute to reducing falls from ladders by specifying fixed ladders or stairways whenever possible. This would eliminate the need for a portable ladder when accessing a roof, work platform, mezzanine, or upper level. The chance that a worker may use a defective ladder, an improper ladder, or not use the ladder properly is eliminated. Many building codes require a stairway or alternating tread device for roof access in commercial buildings.

Fixed ladders should be specified to access a work platform, mezzanine, upper level, or roof. Ladder climbing devices can be rail or cable systems outdoors and SRL’s indoors. A cage is not a positive fall stopping device although it does some positive reassurance. A ladder climbing device should be designed to provide positive stopping of a fall from a fixed ladder in a short distance.

Industrial stairs are preferred to access a work platform, mezzanine, upper level or roof. Alternating tread devices can be specified if space is limited.
Design details on industrial stairs can be found in OSHA 1910.24 and ANSI/ASSE A1264.1. Details for fixed ladders can be found in OSHA 1910.27, 1926.1050-1053 and ANSI ASC A14.3. An important feature of all ladder designs is provision of 7” space behind rungs for proper foot placement. Hands should use horizontal rungs or grab bars for reliability in case of a fall.

**BACKGROUND INFORMATION**

**Applicable US Safety Regulations**

OSHA General Industry standards for industrial stairs see 1910.24

OSHA General Industry standards for fixed ladders see 1910.27

Other Applicable Standards and Publications:  
ANSI ASC A14.3 American National Standard for Ladders-Fixed - Safety Requirements  
ANSI/ASSE A1264.1 Safety Requirements for Workplace Walking/Working Surfaces and their Access; Workplace, Floor, Wall and Roof Openings; Stairs and Guardrails Systems  
National Safety Council Data Sheet: Fixed Ladders  
Handhold coupling: Ph.D. thesis of Justin Young, University of Michigan December 2010

**OTHER CONSIDERATIONS**

Design professionals and contractors should work to coordinate the installation of fixed ladders for structures, and preferably stairways as early as possible in the construction process. This would provide safer means of access for estimators in electric, plumbing, tile work, to each floor level rather than using portable ladders, job-made ladders, or other means.
This design solution protects against the serious risk of falls through roof hatches. The roof hatch constitutes a floor or roof opening which should be protected. A climber can also fall if there are no graspable handholds to use when transitioning from the ladder or stairway to the roof. In 2008, 19 workers died as a result of falling through a roof surface (BLS).

**SOLUTION**
The use of 42 inch high guardrails around the open sides of the roof hatch reduces the risk of falling through the hatchway opening. Additionally, hatch access grab bars can be built or added, and access made with an integral swing gate which opens away from the ladder opening. Grab bars should extend 42 inches above the roof level to allow the climber to confidently step onto the roof and to the side. Consideration should be given when mounting a hatch on an outside wall without a 42 inch high parapet. Consider relocating hatches on an inside wall for structural support or a guardrail added for protection at the roof edge.

For new roof hatches, the answer is to specify the roof hatch safety system as soon as possible. The new hatches can provide for a standing access either out onto the roof or into the opening which fits the human condition and walking posture in work situations such as access to and from roofs. Existing hatches can be retrofitted in the same way.

**BACKGROUND INFORMATION**

**Applicable US Safety Regulations**

OSHA General Industry standards:

1910.23(a). Ladderway roof and floor opening to be guarded.

1910.23(a)(2)(ii). Removable railing.

1910.23(a)(8). Cover of standard strength (200 lbs).

OSHA Construction regulations:

1926.502(a)(13). Guardrails, swing gates, offset railings to avoid walking into floor openings.

1926.502(i). Covers used for laying over holes in floors, roofs, etc. must meet 200 lbs strength.

1926.1053(a)(24). Side rails shall extend 42” above the landing served by the ladder.

1926.1053(a)(27). Horizontal grab bars spaced by continuation of rung spacings, or vertical grab bars shall have the same lateral spacing as the side rails*.

OTHER CONSIDERATIONS

- Roof hatches should be installed into roof openings as soon as possible and the roof hatch lid kept closed unless an access is made.
- Roof hatch locks should be compatible with balance and stability such as using an offset platform or rest platform. ANSI A14.3-2008 Section 9.2.1.
- Roof hatch ladder rung centers should be coated with skid resistant material having a wet friction coefficient of 0.5 minimum.
- Roof hatch ladders should be checked for structural strength sufficient for attachment of retractable lifelines for safer access up the ladder and providing a back-up to stability while opening the hatch lock.
- Roof hatch location on interior building walls for support. IBC 2009 Section: 1009.13.2.
- ANSI A14.3-2008 Section 5.3.4.3 requires additional handholds for safety while accessing hatch openings including grab bars that can be grasped.
- Research conducted by the University of Michigan Center for Ergonomics indicates that free falling arrest is most successful and reliable when holding horizontal handholds and that free falling with vertical handholds is not successful in arresting falls. See article referenced below.

LIFE CYCLE SOLUTION BENEFITS
A safe roof hatch system will make it safer to access the roof for maintenance during the life cycle of the building

ADDITIONAL INFORMATION SOURCES
University of Michigan Center for Ergonomics, Ann Arbor, MI: Researchers have performed several studies investigating the ability of workers to climb and hold onto various designs of handholds, rungs, and rails. See October 2009 article in the journal Human Factors: “Hand/handhold coupling: effect of handle shape, orientation, and friction on breakaway strength,” by Justin Young, Charles Woolley, Tom Armstrong and James Ashton-Miller. Additional articles are forthcoming.
This design solution protects against the risk of serious falls through skylights during construction, maintenance, and demolition activities over the life of a building. Falls through skylights can result in death or serious injury – from 13 to 23 fatalities a year occurred between 2006 and 2010 (BLS, 2010). Skylight use, also called daylighting, is increasingly associated with green design and energy conservation measures.

A 51-year-old roofer died from injuries sustained after falling 30 feet through a skylight. The roofer was part of a crew removing tar and gravel built-up roofing. He positioned a wheelbarrow full of gravel alongside a skylight while he talked to one of the company managers. As he turned to resume work, he fell through the skylight onto the concrete floor. Guardrails or skylight screens would have prevented this terrible tragedy.

**SOLUTION:**
For new skylight installations, use best available criteria to specify products that can withstand the live load associated with a construction or maintenance worker inadvertently stepping on or falling on a skylight. An alternative approach is to specify that guards or screens designed to handle these loads be attached over each skylight, or that a guardrail be provided around the perimeter of the skylight installation. Existing fragile skylight installations can be upgraded by installing screens or guards. Screens are recommended for plastic dome skylights and light transmitting panels as they can degrade over time.

**BACKGROUND INFORMATION**

**Applicable US Safety and Health regulations**

OSHA General Industry standards (apply to completed buildings):

1910.23(a)(4) – Every skylight floor opening and hole shall be guarded by a standard skylight screen or a fixed standard railing on all exposed sides.
1910.23(e)(8) - Skylight screens shall be of such construction and mounting that they are capable of withstandin
g a load of **at least 200 pounds** applied perpendicularly at any one area on the screen. They shall also be of such construction and mounting that under ordinary loads or impacts, they will not deflect downward sufficiently to break the glass below them. The construction shall be of grillwork with openings not more than 4 inches long or of slatwork with openings not more than 2 inches wide with length unrestricted. (emphasis added)

OSHA Construction standards (apply to buildings under construction):

1926.501(b)(4)(i) - Each employee on walking/working surfaces shall be protected from falling through holes (including skylights) more than 6 feet (1.8 m) above lower levels, by personal fall arrest systems, covers, or guardrail systems erected around such holes.

1926.502(i)(2) …[C]overs shall be capable of supporting, without failure, **at least twice the weight of employees, equipment, and materials** that may be imposed on the cover at any one time. (emphasis added)

*(NOTE: If the skylight itself meets the cover criteria in 1926.502(i)(2), OSHA will treat the skylight itself as a cover. OSHA does not explicitly specify the type of load (static vs. dynamic) to be imposed for the twice-the-weight requirement.)*

Other information
American Society for Testing of Materials (ASTM) working group E06 WK 17797 “Working Group for the Specification of Human Impact Criteria, with Procedure for Testing and Rating Plastic-Glazed Unit Skylights and Related Products used on Commercial Walkable Roofs for Fall-Through Resistance” has been formed to develop procedures and criteria for fall through resistance. ASTM Fall Protection Test Standard Development Work Group (E06.51.25 WK17797) has been charged with developing a skylight fall protection test standard.

**EXAMPLES OF PRODUCT OR USE:**
The American Architectural Manufacturers Association ([http://www.aamanet.org](http://www.aamanet.org)) includes skylight information and links to other groups and manufacturers. Several skylight manufacturers offer models engineered to resist impacts exceeding the OSHA criteria (e.g., to 1200 foot pounds).

**OTHER CONSIDERATIONS**
- Non-fragile skylights may have secondary design benefits related to snow loads, wind loads, and hail resistance.
- Additional construction safety measures are needed during skylight installation to guard against falls through the hole created for the skylight.
- Signs to notify and warn building occupants and maintenance personnel about skylight locations and other roof-related hazards should be posted at roof entry points.
- Heat and smoke vents are normally smaller than skylights and are designed to open automatically in fire situations to vent smoke and heat. Skylight-type precautions may be warranted for larger diameter smoke vents.

**LIFE CYCLE SOLUTION BENEFITS**
Designing a skylight that can withstand the live load of a person falling on it or that has a guard or screen will eliminate the need for safety precautions when maintenance is done on the roof during the life cycle of the building. Safe skylights can also be a positive feature when considering garden roof tops.
ADDITIONAL INFORMATION SOURCES

- NIOSH, 2003. Roofer’s Family Member Helping at Work Site Dies After Falling Through Skylight: http://www.cdc.gov/niosh/face/stateface/or/03or001.html
This design solution protects against the risk of serious falls from roofs or platforms during construction, maintenance, and demolition activities over the life of a building. Falls from roofs can result in serious death or injury - there were 161 fatal falls from roofs reported in 2007 [BLS, 2009]. A parapet is a low wall or railing used to protect the edge of a platform or roof. Parapets are not used on every building, but where they are used they can be designed to provide fall protection. Parapet/guardrail combinations are also commonly used on bridges.

**SOLUTION:**
Specify parapet wall heights to be at least 39 inches high and strong enough to support 200 pounds. This allows the parapet wall to function as an effective barrier against falls. The International Building Code requires that parapet walls be at least 30 inches high (IBC 704.11.1) for fire spread, but this height is insufficient to meet regulatory requirements and insufficient to function as an effective perimeter guard against falls.

A parapet that can function as a perimeter guard also eliminates the need to provide temporary fall protection for construction and maintenance activities on the roof thus reducing total costs over the building life cycle.

This photo shows a parapet wall around the roof edge

This photo shows a parapet wall installed on a bridge
BACKGROUND INFORMATION
Applicable US Safety and Health Regulations

OSHA Construction standards

1926.501(b)(1) “Unprotected sides and edges.” Each employee on a walking/working surface (horizontal and vertical surface) with an unprotected side or edge which is 6 feet (1.8 m) or more above a lower level shall be protected from falling by the use of guardrail systems, safety net systems, or personal fall arrest systems. Unprotected sides and edges is defined as meaning any side or edge (except at entrances to points of access) of a walking/working surface, e.g., floor, roof, ramp, or runway where there is no wall or guardrail system at least 39 inches (1.0 m) high.

Other information

Various local building codes may address parapet design and height. For example, since 1968 buildings in New York City more than twenty-two feet in height with roofs flatter than twenty degrees have been required to be provided with a parapet not less than three feet six inches (42 inches) high, or be provided with a 42 inch railing or fence, or combination [§[C26-503.4] 27-334 Protective guards].

Various state codes may also address guardrail and parapet heights for highway bridges. For example, the Texas Department of Transportation specifies a 42 inch guardrail (24 inch parapet with 18 inch guardrail) for bridge railings for pedestrians [Manual Notice 2006-1, Section 5]

AVAILABLE EXAMPLES OF PRODUCT OR USE:
No specific parapet products were found as they are commonly constructed as part of the building. There may be combination parapet and guardrail products available for bridges.

OTHER CONSIDERATIONS

- Parapet design must also consider other potential uses. For example, some parapets may be designed to support window-washing scaffolds or for use as an anchorage with personal fall arrest systems.
- Parapets must be maintained over the life of the building. Older parapets may need bracing.
- A parapet wall may change the snow or pond loading on a roof. The designer should take this into account when considering a parapet roof as additional structural framing may be required.

LIFE CYCLE SOLUTION BENEFITS
A parapet can also eliminates the need to provide temporary fall protection during maintenance activities on the roof thus reducing total costs over the building life cycle. A parapet roof can also be an important feature when considering garden roofs.

ADDITIONAL INFORMATION SOURCES

- NIOSH, 1991. University Employee is Fatally Injured in 120-foot Fall from Roof: http://www.cdc.gov/niosh/face/stateface/co/91co020.html
- NIOSH, 1993. Roofing Estimator Dies After Falling 14 Feet From The Roof of a Shopping Center Strip Mall: http://www.cdc.gov/niosh/face/stateface/nj/93nj094.html
CONSTRUCTION SAFETY DESIGN SOLUTION #10
DESIGN CATEGORY: ROOF/ENVELOPE
HAZARD: FALLS FROM HEIGHT
DESIGN SOLUTION: PRE-FABRICATION AND ASSEMBLY AT GROUND LEVEL TO REDUCE OVERALL TIME EXPOSURE AT HEIGHT

This design solution reduces the risk of serious falls from a height during the construction and maintenance during the life of a building. Falls from height can result in death or serious injury – about 22 fatalities per year [BLS, 2008] are reported.

SOLUTION
This design solution reduces the total exposure to falls from heights by a factor of greater than 70% when installing the large duct and attachments typical to coal plant air quality projects by pre-fabricating and assembling the duct sections on the ground.

The duct goes through an assembly line set-up where insulation and insulation covering is installed from a scaffold inside a large tent. The duct then has catwalks, permanent lighting, handrails and miscellaneous attachments installed while at ground level. When the duct is lifted into place very little high work is then required to join the sections. The scaffolding is much safer and able to be easily maintained inside the tent than if the scaffold had to be built from the ground up to insulate the duct if it was installed without insulation. The permanent lighting, handrails, cable trays installed at ground level greatly reduce the exposure to heights that would be required otherwise.

Figure 1 – This photo shows the C Section of duct going into the tent.

Figure 2 – This photo shows work inside the tent.
BACKGROUND INFORMATION

Applicable US Safety Regulations
1926.501 Duty to have fall protection


OTHER CONSIDERATIONS
The method is appropriate for many situations in heavy construction. In addition to prefabricating fall prevention measures eliminating much of the need for fall protection, productivity is greatly enhanced by installing components at ground level. Working at heights is not only more hazardous, but is also slower than working at ground level. When the guardrails, cable trays, lights or other components are installed with the duct on the ground, if some elevated work is required it’s usually brief and performed from an aerial lift which is inherently safer than working from a scaffold 100 or more feet in the air.

LIFE CYCLE SOLUTION BENEFITS
By installing permanent catwalks, guardrails, and handrails future maintenance costs are reduce since personal fall protection would not be needed and the units would be more assessable.
CONSTRUCTION SAFETY DESIGN SOLUTION #11
DESIGN CATEGORY: ENVELOPE/INTERIOR
HAZARDS: FALLS FROM STRUCTURAL STEEL
DESIGN SOLUTION: CONSIDER ACTUAL CONSTRUCTION LOADS

This design solution reduces the risk of serious falls from structural steel during the construction of a building. Falls from structural steel can result in death or serious injury – about 36 fatalities per year [BLS, 2008] are reported. Not included in these statistics are injuries and fatalities that have results from structure failures because the design professional did not consider actual construction loads in the structural design. The actual loads during construction could be higher than the building code requirement.

SOLUTION
Building codes requires sizing structural members based on the dead load of the construction materials and the live load resulting from occupancy. However, structural failures have occurred because the designer did not consider the actual construction loads or processes. For example, Figure 1 below shows a typical composite beam construction. The steel beam is proportioned based upon composite action. But, during construction the structure does not act like a composite structure. Designers should consider how the structure behaves during construction as well as the when it is complete. The weight of construction vehicles, pallets of bricks, lumber and other materials should be considered in addition to the building code requirements when sizing structural members.

Figure 1 Typical Composite Construction

Figure 2 Structural collapse from weight of equipment
BACKGROUND INFORMATION
US Building Codes
See for example, International Building Code, International Code Council

Other Applicable Design Guidelines:

OTHER CONSIDERATIONS
-Avoid exterior slender columns
-Show reinforcement details on drawings
This design solution reduces the risk of serious falls due to scaffold collapse. Scaffolding is a temporary structure used to support workers and material during the construction, repair, or maintenance of buildings and structures. Design professionals generally do not concern themselves with scaffolding, thinking it is the responsibility of the contractor(s). Falls from scaffolding ranks second in the number of construction fatalities due to falls, about 46 each year (BLS, 2009). A percentage of these falls are due to scaffold collapse. In August of 2009, a 42-year-old construction worker at a Brooklyn apartment building plunged four stories to his death when a scaffold suddenly collapsed.

**SOLUTION**

Designers should consider permanent features that will make it easier and safer to erect scaffolding. For example, scaffold needs to be laterally supported to resist wind and other lateral loads. An important element of the scaffold system is the building tie which connects the scaffold to the structure. Permanent building ties such as the one shown in the figure below not only can be reused if scaffolding is needed in the future, but is stronger than relying on the strength of day old veneer. A metal bracket is permanently connected to a concrete floor deck or steel. An eye bolt threads into the bracket to provide a building tie. The tie is easily removed by simply unthreaded the eye bolt and can be reused in the future.

Davits can be installed around the perimeter of the roof to provide a permanent suspension system for self powered platforms and single man cages. Davit sockets can be recessed below the pavers and the davits can be bolted in place only when needed. Monorail systems can be installed along the building profile. These systems can be used to suspend work platforms and be aesthetically pleasing at the same time. Gantry systems can be designed to maintain atriums and skylights.

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**Figure 1** This photo shows how building ties can be designed so that they are easier to remove and can be reused.

**Figure 2** This photo shows how davits can be installed to provide a permanent suspension system.
BACKGROUND INFORMATION

OSHA 1926 Subpart L-Scaffolds

ANSI/ASSE A10.8 Safety Requirements for Scaffolding

Scaffold and Access Industry Association SAIA http://www.saiaonline.org/AboutSIA

N.C. Department of Labor Occupational Safety and Health Division
http://www.nclabor.com/osha/etta/indguide/ig38.pdf

OTHER CONSIDERATIONS

- When it is not possible to provide tying points or other permanent features, allow sufficient room in the design so that outriggers or buttresses can be used to secure the scaffold.

- Try to provide as much information regarding material weights to the contractor. This will make it easier for the contractor to control the load on the scaffold system.

- Consider potential scaffold loads when designing parapet walls and structural steel.

- Consider designing permanent tie back anchors that can be used to secure building maintenance systems and boatswain chairs. The anchors can be wall mounted, flush, or “U” mounted, and can be designed to reduce architectural visibility.

LIFE CYCLE SOLUTION BENEFITS

Permanent, reusable tying points and other building features provide a life cycle benefit because they can be used when scaffolding is needed for future repairs and maintenance.
This design solution reduces the risk of serious falls from stationary flatbed trailers. When a truck is on the road, it is controlled by DOT regulations. However, when vehicles are stopped for loading and unloading the flatbed trailer is in effect a working/walking surface. While OSHA 1926 excludes trucks and trailers from the agencies definition of “working/walking surface” thereby exempting these areas from fall protection requirements, using the same safety precautions that apply to open sided floors six feet above a lower level to loading and unloading of flatbed trailers makes sense. Many truck drivers and ironworkers unloading the steel have been seriously injured falling from flatbeds while removing tiedown straps and misstepping or being hit by swinging suspended steel. Falls backwards from the deck usually result in severe head injury. BLS data shows that in 2010 76 workers were killed and 9,330 workers suffered a day away from work injury as a result of falling from a nonmoving vehicle. In that same year, 1,190 workers suffered a day away from work injury as a result of jumping from nonmoving vehicles.

**SOLUTION**
This solution alternative reduces 5-8’ fall hazards from stationary flatbed trailers when delivering steel shapes (beams and girders) to construction sites. Transportable anchor posts positioned at each end of the trailer enable harness access with fall protection as demo shows. The centering of the anchorage point is achieved by sliding under the trailer using the appropriate sized wheels for the environment allowing fork lift access. However if the site crane is removing the steel then the equipment can be moved over slightly to avoid collision.

The photo to the left shows anchor posts with harness access being used by workers to unload steel from a flatbed trailer.

**BACKGROUND INFORMATION**
Fall protection for unloading trailers at construction sites should comply with 1926.501(b)(15) and 502(d)(15/16).

ANSI A10.32-2004 equipment requirements including anchorage strength will be satisfied by this arrangement as will ANSI Z359.1-2007.
OTHER CONSIDERATIONS
Other designs besides that shown are wheeled to suit the terrain and transported by pick up in collapsed form. The hoists can be manual to lift the rail. The SRL’s run on the small steel I-beam by trolley to remain overhead for ironworker access. The SRL is pulled down by a tag line which is stuffed in a pocket after the SRL snap hook is attached to their harness back D-ring. Specification: approx 3600 lbs, height 18 ft.

LIFE CYCLE SOLUTION BENEFITS
This solution is a simple procedure for many work sites and can be set up quickly. The application should be reliable for many years with sturdily built equipment.
A fall hazard is often overlooked in design because it is often not immediately obvious. Another major cause of fatalities from falls is falls through floors and floor openings. Designers can be helpful here as well. Designers should specify guardrail systems along all elevated working/walking surfaces. Designers and contractors working together should strive to put permanent guardrails up as early as possible in the construction process so that workers are not exposed to unguarded heights.