



OSHA REGIONAL NOTICE

U.S. DEPARTMENT OF LABOR

Occupational Safety and Health Administration

DIRECTIVE NUMBER: 2016-02 (CPL 2)

EFFECTIVE DATE: October 1, 2015

SUBJECT: Local Emphasis Program – Heavy Highway and Bridge Construction and Maintenance

REGIONAL IDENTIFIER: Region 2

ABSTRACT

Purpose: This regional notice implements a Local Emphasis Program (LEP) for programmed inspections of construction, maintenance, and demolition work on roadways, bridges and elevated highways. (NAICS codes: 237310, 237990, 237110, 237120, 237130, 238320, 238210, 238110, 238140, 238120, 238190, 238310, 238220, 238910, 236220, 238150, 238290, 238350, 238390, 238990, 561790 and 562910, covering general contractors, bridge painting contractors, structural steel erectors, wrecking and demolition work, and miscellaneous special trade contractors.)

References: CPL 02-00-150, Field Operations Manual (FOM), April 22, 2011.
CPL 04-00-001, Procedures for Approval of Local Emphasis Programs (LEPs), 11/10/1999.
CPL 02-00-0025, Scheduling System for Programmed Inspections, 01/04/1995.
CPL 02-00-051, Enforcement Exemptions and Limitations under the Appropriations Act, 5/28/1998.

Expiration: This notice expires September 30, 2016.
EXCEPTION: Any inspection begun prior to September 30, 2016 may continue until its conclusion.

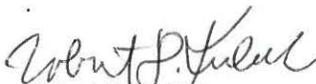
State Impact: None

Action Offices: All Region II Area Offices (Except Puerto Rico)

Originating Office: Office of Regional Administrator

Contact: Office of Regional Administrator (212) 337-2378
201 Varick Street Room 670
New York, NY 10014

By and Under the Authority of



Robert D. Kulick
Regional Administrator

- I. Purpose: This instruction implements a Local Emphasis Program (LEP) for safety and health inspections of contractors that perform Bridge, Highway and Street Construction, Maintenance and Demolition work under NAICS codes: 237310, 237990, 237110, 237120, 237130, 238320, 238210, 238110, 238140, 238120, 238190, 238310, 238220, 238910, 236220, 238150, 238290, 238350, 238390, 238990, 561790 and 562910. The LEP will be conducted in accordance with the provisions of the Field Operations Manual (FOM). These work sites cannot be successfully reached using the present targeting system due to the fact that work at each site is sporadic and is further influenced by weather, traffic and other factors.
- II. Scope: This instruction applies to all Region II Area Offices, except Puerto Rico.
- III. Expiration: This notice expires September 30, 2016.
EXCEPTION: Any inspection begun prior to September 30, 2016 may continue until its conclusion.
- IV. Background: Work on and around highway, streets, and bridges is inherently dangerous. Hazards such as being struck by vehicular traffic and other heavy equipment within the work zone, falling from heights, falling into water, silica and lead exposure are all well known. Due to the consistent high rates of injury, illness and fatalities, OSHA has been focusing efforts on addressing these hazards in bridge, highway, and street construction.

The Bureau of Labor Statistics reports for the year 2013 for the industries covered under this LEP the following: For NAICS code 237, Heavy and Civil Engineering, the final number of fatalities was 192 with a total recordable case rate of 3.2 per 100 full-time workers; for NAICS code 238 the final number of fatalities was 495 with a total recordable case rate of 4.2 per 100 full time workers; for NAICS code 561 the final number of fatalities was 300 with a total recordable case rate of 2.5 per 100 full-time workers; and for NAICS code 562 the final number of fatalities was 63 with a total recordable case rate of 4.7 per 100 full time workers.

This LEP is designed to establish a procedure to identify construction sites where bridge work is ongoing, and to specifically address the hazards listed above. Implementation of this LEP supports the DOL Strategic Plan objective to “Secure safe and healthy worksites, particularly in high risk industries

The inspections will be expanded to cover other hazards that are in plain view during the inspections.

- V. Action: The Area Director shall ensure that the procedures outlined in the notice are followed during the effective period of this notice. This notice is not to conflict with inspection priorities as established in the Field Operations Manual (FOM).

When an inspection is not conducted because the employer has refused entry, a warrant shall be sought in accordance with the current procedures for handling such refusals.

- VI. Procedures: The Area Directors for each Area Office shall be responsible for establishing and tracking sites to be inspected.

Selection of sites for inspection shall consist of a combination of sources, including but not limited to CSHO observation of active sites, complaints, referrals, the Construction Inspection Targeting System, and the Department of Transportation web sites or contacts. For each respective state, the websites of the New York State Department of Transportation (NYSDOT) or the New Jersey State Department of Transportation (NJDOT), shall also be reviewed for active or planned construction activities.

Sites obtained from imminent danger notifications, fatalities/catastrophes, complaints, referrals from outside agencies, follow-ups and monitoring visits are considered unprogrammed inspections and will be scheduled in accordance with the policies established in the FOM.

When performing programmed inspections under this LEP, each Area Office shall develop an inspection master list of establishments. The National Office policy on List Generation and Randomization shall be followed in accordance with the National Office memorandum to Regional Administrators dated November 12, 2014, *Establishment-Targeting Lists for Emphasis Programs*. Inspection scheduling and deletions shall be done in accordance with CPL02-00-155, *Inspection Scheduling for Construction*.

If during assigned inspection activities, a CSHO observes an active highway, bridge, or street construction site where the potential for exposure to the hazards listed in Section IV. above are observed, an inspection shall be conducted. If the CSHO is not qualified to conduct an inspection of the present activity, or if inspection priorities dictate otherwise, the information shall be reported to the Area Office and an inspection shall be scheduled and conducted.

If the CSHO observes an active highway, bridge, or street construction site but no potential hazards are observed, the CSHO shall stop and ascertain the name of the employer. If the employer is not on the Area Office list and does not meet the deletion criteria in CPL 02-00-155, the site shall be added to the list and randomized in accordance with the directions in the National Office Policy on Randomization referenced above.

Establishments for inspection may fall within the scope of the current Appropriations Act. If so, inspection activities must be done and completed in accordance with the directive, CPL 02-00-051, *Enforcement Exemptions and Limitations under the Appropriations Act*.

Sites will receive safety inspections. Safety CSHOs will make silica, lead, noise, or other referrals as appropriate, and health inspections will be opened accordingly.

All inspections conducted under this LEP will be comprehensive unless the site qualifies for a Focused Inspection. Inspections conducted under this LEP shall not conflict with inspections conducted at the same site under other enforcement programs.

VII. Coding in OIS:

- A. In the Inspection Report, for any programmed inspection under this LEP, under the Inspection Field, in the Inspection Types tab, the initiating type will be marked as “programmed planned” and in the Inspection Emphasis Programs Field under Local Emphasis Program select “BRIDGE” for Bridge Construction and Maintenance, “RDSILICA” for Silica, and/or “HIGHWAY” for highway and/or road construction and maintenance.
- B. In the Inspection Report for any unprogrammed inspection under this LEP (i.e. Accidents, Complaints, Outside Referrals, Fatalities/Catastrophes), under the Inspection Field, in the Inspection Types tab, the initiating type will be marked with the appropriate unplanned activity and in the Inspection Emphasis Programs Field under Local Emphasis Program select “BRIDGE” for Bridge Construction and Maintenance, “RDSILICA” for Silica, and/or “HIGHWAY” for highway and/or road construction and maintenance.
- C. In the Inspection Report, for all programmed inspections such as other NEP/LEP’s conducted in conjunction with an LEP inspection under this initiative, under the Inspection Field, in the Inspection Types tab, the initiating type will be marked “program planned” and in the Inspection Emphasis Programs Field select all NEP/LEP OIS codes applicable to the inspection.
- D. Complaints and referrals from outside sources, such as the Police Department, Highway Department are coded as unprogrammed inspections on the Inspection Report. Referrals made as a result of CSHO observation are coded programmed inspections on the Inspection Report.
- E. For any inspection under this LEP, in the Unplanned Activity Report, in the Program Info Field, under LEP select “BRIDGE”, “RDSILICA”, “HIGHWAY”, as appropriate.

VIII. Outreach: Region II will develop an outreach plan which will implement programs that will support the efforts of the Agency in meeting the Strategic Plan/Operating Plan goals. Such programs could include speeches, training sessions, or news releases to our constituents such as unions, professional organizations, and community groups. Inspections may be initiated independent of any outreach being conducted.

IX. Program Evaluation:

- A. Abatement documentation/verification will be submitted to or otherwise collected

by the Area Office for all violations. The abatement information must be included in the case file in a timely manner.

- B. Each Region II Office participating in the LEP will prepare a written evaluation of this LEP in the format specified by OSHA Instruction CPL 04-00-001. The evaluation must respond to the questions outlined in Appendix A of the Instruction. Evaluations will be submitted to FEO no later than September 15, 2016. The evaluation will include a recommendation for the continuation or elimination of this program. In addition, the evaluation will include the following evaluation factors:

1. The goal of the program and the Area Office's opinion of how effective the program was in meeting its goals.

2. Data and information used to support the conclusions stated above such as enforcement statistics, serious hazards eliminated, any impact on covered, non-inspected employers, and the number of employees affected by enforcement activities covered by the LEP. Data to be evaluated may also include:

- a. Number and percentage of serious, repeat, 5(a)(1) and willful violations cited
- b. Number and type of violations issued directly related to hazards targeted by the LEP
- c. Number of fatality inspections
- d. Number of visits coded as "No Inspection"
- e. Number and type of referrals made to other agencies
- f. Number of outreach activities conducted
- g. Number of employers contacted through outreach
- h. Number of individuals affected through outreach